

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional) 14-131SR Date: April 15, 2014

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.

ORGANIZATION | New York Mercantile Exchange, Inc.

FILING AS A: **DCM** **SEF** **DCO** **SDR** **ECM/SPDC**

TYPE OF FILING

- **Rules and Rule Amendments**

- Certification under § 40.6 (a) or § 41.24 (a)
- “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)
- Notification under § 40.6 (d)
- Request for Approval under § 40.4 (a) or § 40.5 (a)
- Advance Notice of SIDCO Rule Change under § 40.10 (a)

- **Products**

- Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)
- Swap Class Certification under § 40.2 (d)
- Request for Approval under § 40.3 (a)
- Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

N/A

DESCRIPTION

This supplemental filing to a previously filed submission contains additional information relating to modifications to Energy Options RFQ Incentive Program. The information contained herein is subject to a Freedom of Information Act notification.

PRIVILEGED AND CONFIDENTIAL



Christopher Bowen
Managing Director and Chief Regulatory Counsel
Legal Department

April 15, 2014

VIA E-MAIL

Ms. Melissa Jurgens
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**RE: REVISED – SUPPLEMENTAL SUBMISSION: NYMEX Submission No. 14-131SR: Additional Supplemental Information Relating to Energy Options RFQ Incentive Program.
Subject to a Freedom of Information Act Protection.**

Dear Ms. Jurgens:

Previously, pursuant to Submission No. 14-131R dated April 15, 2014, the New York Mercantile Exchange, Inc. (“NYMEX” or “Exchange”) notified the Commodity Futures Trading Commission (“CFTC” or “Commission”) of modifications to the Energy Options RFQ Incentive Program (“Program”).

In this letter, the Exchange is providing the Commission with certain additional information related to the incentives under the Program. The additional supplemental information is provided in Appendix A, for which a request for confidential treatment is being simultaneously submitted to the Commission. A copy of that request for confidential treatment is included with this supplemental filing.

Modifications appear below, with additions underscored and deletions ~~overstruck~~.

NYMEX certifies that the Program complies with the Commodity Exchange Act (“CEA”) and the regulations thereunder. A concise explanation and analysis of the operation, purpose and effect of the Program was provided in Submission No. 14-131R. There were no substantive opposing views to this Program.

The Exchange certifies that a description of this submission has been concurrently posted on the Exchange’s website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

If you require any additional information regarding this submission, please contact Tim Elliott at 312-466-7478 or via e-mail at Tim.Elliott@cmegroup.com, or contact me at 212-299-2200. Please reference our NYMEX Submission No. 14-131SR in any related correspondence.

Sincerely,

/s/ Christopher Bowen
Managing Director and Chief Regulatory Counsel

Attachment: Appendix A

APPENDIX A

(CONFIDENTIAL TREATMENT REQUESTED)

ADDITIONAL SUPPLEMENTAL INFORMATION

Program Incentives:

1. *Mass Quote Message.* NYMEX shall permit the participant to submit quotes for the Products using the Mass Quote message under an assigned identification granted by NYMEX staff.
2. *Quotes Per Seconds.* Additionally, NYMEX shall allocate to participants the ability to submit up to a designated amount of quotes per second for the Products.
3. *Fee Waiver.* If a participant responds to a RFQ in one of the Products within ~~sixty (60)~~ fifteen (15) seconds of the request, volume traded on Globex in the same Product by the participant, within ~~ten (10)~~ the greater lesser of sixty (60) minutes of the first response or the end of the trading session, will receive a transaction fee waiver.