

**EXHIBIT R**

The following rules at Chapter III of the Rulebook prohibit specific trade practice violations:

**Section 24 General Trading Standards and Prohibited Practices**

- (a) No Futures Participant may accept a Customer Order for submission to the Trading System unless the Futures Participant has provided the Customer with the Uniform Electronic Trading and Order Routing Systems Disclosure Statement or any successor disclosure published by the National Futures Association.
- (b) No Futures Participant shall disclose the existence or terms of an Order not yet disseminated by the Exchange, except to representatives of the Exchange or Commission or otherwise for the sole, necessary, and appropriate purpose of executing the Order.
- (c) No Futures Participant shall aggregate two or more Customer Orders, allocate trades, or provide for average price transactions among Customer Accounts except that average price transactions for an individual Customer are permissible as provided for Chapter V, Section 10.
- (d) No Futures Participant shall knowingly submit to the Trading System or an Order for any Contract for the account of that Futures Participant or any account in which that Futures Participant has an interest while holding an Order of another Person for the same Contract on the same side of the market that is executable at the then current market price or at the price at which the Order is executable for the account of the Futures Participant or an account in which that Futures Participant has an interest.
- (e) No Futures Participant shall exercise discretion and submit an Order to or through the Trading System for an account of another Person without the prior specific consent of that Person.
- (f) No Futures Participant shall accept or submit any Order to or through the Trading System for an employee, agent, or other Person acting on behalf of another Futures Participant, or its associated Authorized Traders without the prior written consent of that other Futures Participant, which is filed with the Exchange. If an Order for another Futures Participant results in a transaction, then the Futures Participant through which the Order is submitted to the Trading System must promptly send a duplicate confirmation of the transaction to the Person providing the prior written consent of the other Futures Participant.
- (g) Futures Participants and Authorized Traders shall not:
- (1) Engage in practices that may cause degradation of the Exchange's services or facilities, or that may cause a disorderly market, including but not limited to, unwarranted use of cancelling and resubmitting Orders;

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- (2) Engage in pre-arranged transactions other than transactions executed in compliance with Chapter IV, Sections 11 (Block Trades) and 12 (Exchange for Related Positions) and Chapter V, Section 11 (Pre- Negotiated Business and Cross Transactions); or
- (3) Engage in acts, practices, or conduct contrary to the purposes of the Exchange or likely to bring the Exchange into disrepute. These prohibited practices include, but are not limited to:
  - (i) effecting a transaction in, or inducing the purchase or sale of, any Contract through any manipulative, deceptive, or fraudulent device or contrivance;
  - (ii) engaging in price manipulation or cornering of the market;
  - (iii) engaging in wash transactions (or other activities that may or may not involve the making of a Contract) that creates a misleading appearance of activity occurring on the Trading System and/or causes the reporting of a misleading price level;
  - (iv) engaging in accommodation transactions, by which one party enters into a Contract with another party knowing or having reason to know that such transaction was an attempt to conceal a trading abuse;
  - (v) engaging in "front-running" or "trading-ahead," where a party knowingly places an Order or executes a trade for a Contract while in possession of material nonpublic information concerning an imminent Block Trade or Customer Order;
  - (vi) engaging in "cherry picking," where a party assigns a trade for a Customer to the account of another Customer or party (for any reason, even if only temporarily, where the situation is not remedied) and the trade assigned to the other Customer or party is at a superior price than the trade price received by the Customer;
  - (vii) withdrawing, withholding, disclosing, or taking advantage of a Customer Order in whole or in part for the benefit of any other Person;
  - (viii) engaging in compensation trades, where one or more parties executes non-competitive trades to transfer money between accounts; and
  - (ix) engaging in conduct or practices detrimental to the best interests of the Exchange.
  - (x) engaging in any other manipulative or disruptive trade practices prohibited by the Commodity Exchange Act, as amended, or Commission regulations, including but not limited to, "spoofing," "improper cross trading," "money passes," and trading against a Customer Order

**Section 26 Sales Practice Rules**

Without limiting the generality of Section 25, each Futures Participant (including its related parties) shall comply with any and all sales practice rules (including those relating to bunched

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Orders, opening and approval of accounts, suitability, use of discretion, supervision of accounts, risk disclosure document delivery, communications, monthly statements and confirmations, registration, qualification and continuing education, Customer complaints, prohibition against guarantees and profit sharing and money laundering) from time to time promulgated by the National Futures Association or rules which are hereby incorporated by reference.