Demonstration that the contracts are not prohibited from being traded by US persons, i.e., the contracts are not prohibited security futures or single stock contracts, or narrow-based index contracts.

Eurex does not offer or sell security futures, single stock contracts or narrowbased index contracts to persons located in the US.

All equity index-based Eurex products that have been made available for trading by US customers have been the subject of CFTC actions, either through no-action letters or certification. Eurex has demonstrated and relies upon the Commission's confirmation that these index products meet the criteria of Section 2(a) (1) (C) (ii) of the Commodity Exchange Act ("CEA").

To verify that an index is not narrow-based, the Commission confirms that the index satisfies the following criteria:

- 1. The index may not be comprised of fewer than 10 securities or components;
- 2. Any single security or component may not comprise more than 30% of the total index weight;
- 3. The first five largest securities or components may not comprise more than 60% of the total index weight; and
- 4. The lowest-weighted securities that together account for 25% of the total weight of the index may not have an aggregate dollar value of average daily trading volume of less than US \$30 million (or US \$50 million if the index includes fewer than 15 securities).

Access to products that Eurex makes available to trade may be customized by the trading platform. For market participants located in the US, trading in equity index based products is restricted to the following products are subject to the following respective CFTC actions:

## **Eurex Equity Index Derivatives Available in the US:**

Stock Index Futures Contract	<u>Status</u>	<u>Date</u>
STOXX® Europe 50 Index	No-Action Letter Issued	04/06/2000
EURO STOXX 50® Index	No-Action Letter Issued	04/06/2000
STOXX® Europe 600 Banks Index	No-Action Letter Issued	04/01/2002
Dow Jones Global Titans 50 Index <sup>SM</sup>	No-Action Letter Issued	06/28/2002
Dow Jones Italy Titans 30 Index <sup>SM</sup>	No-Action Letter Issued	11/23/2005
MDAX® Index	No-Action Letter Issued	03/13/2006
STOXX Europe 600 Index	No-Action Letter Issued	07/18/2007
STOXX Europe Mid 200 Index	No-Action Letter Issued	07/18/2007
Deutscher Aktienindex (DAX®)	No-Action Letter Issued	12/20/1994

RDXxt USD-RDX Extended Index	No-Action Letter Issued	03/06/2008
STOXX® Europe 600 Industrial Goods &	No-Action Letter Issued	02/06/2008
Services Index		
STOXX® Europe 600 Insurance Index	No-Action Letter Issued	02/06/2008
STOXX® Europe 600 Media Index	No-Action Letter Issued	02/06/2008
STOXX® Europe 600 Personal &	No-Action Letter Issued	02/06/2008
Household Goods Index		
STOXX® Europe 600 Travel & Leisure Index	No-Action Letter Issued	02/06/2008
STOXX® Europe 600 Utilities Index	No-Action Letter Issued	02/06/2008
STOXX® Europe Large 200 Index	No-Action Letter Issued	02/06/2008
STOXX® Europe Small 200 Index	No-Action Letter Issued	02/06/2008
Euro STOXX® Select Dividend 30 Index	No-Action Letter Issued	08/18/2008
SLI Swiss Leader Index®	No-Action Letter Issued	08/18/2008
Swiss Market Index Midcap (SMIM®)	No-Action Letter Issued	08/18/2008
TecDAX® Index	No-Action Letter Issued	08/18/2008
STOXX® Europe 600 Index	Certified	06/04/2012
STOXX® Europe Large 200 Index	Certified	06/04/2012
STOXX® Europe Mid 200 Index	Certified	06/04/2012
STOXX® Europe Small 200 Index	Certified	06/04/2012
EURO STOXX® Index	Certified	06/04/2012
EURO STOXX® Large Index	Certified	06/04/2012
EURO STOXX® Mid Index	Certified	06/04/2012
EURO STOXX® Small Index	Certified	06/04/2012
Dow Jones Global Titans 50 Index USD	Certified	06/04/2012
Index		
VSTOXX® Mini Futures	Certified	07/20/2012

For an up-to-date list, please see <a href="http://sirt.cftc.gov/sirt/sirt.aspx?Topic=ForeignOrganizationProducts">http://sirt.cftc.gov/sirt/sirt.aspx?Topic=ForeignOrganizationProducts</a>