

Information Memorandum regarding the Application as “Nominated Person” at the Eurex Deutschland

Pursuant to Section 26, Paragraph 2 of the Eurex Exchange Rules in conjunction with Section 19 par. 4 No.1 Exchange Act, companies may only be admitted as exchange participants provided that their proprietor, in the case of enterprises organized in the legal form of a sole proprietorship, or those persons, in the case of other companies, entrusted with the management and representation of the business of the applicant by law, articles of association or shareholders’ agreement, is or are reliable and that at least one of such persons has the professional qualification required for securities business on exchanges.

With regard to existing exchange admissions, a minimum of one person from the group described above must be registered *as nominated person* with the exchange.

For the provision of evidence regarding the requirements pursuant to Section 26, Paragraph 2 of the Eurex Exchange Rules, the following documents and evidence must be enclosed with the application for registration:

1. Proof that the person to be registered belongs to the group of persons described above, in the following form:

Excerpt from the Companies’ Register or from a relevant comparable register, showing the entry of the person to be registered as proprietor, Member of the Board, Director or Managing Director of such company or another document of comparable expressiveness;

2. Proof that the person to be registered has the professional qualification required for securities business on exchanges.

The professional qualification shall be deemed present, if:

- the Exchange Traders’ Examination at the Eurex Deutschland and/or the Frankfurt Stock Exchange has been passed successfully

and/or

- there is an existing admission at another securities or futures and options exchange

and/or

- the person to be registered has acquired relevant qualification in securities or futures and options business on exchanges in the course of his/her activities to date (proof by signed CV).

For persons registered as Managing Director of a Credit Institution, such professional qualification shall generally be deemed as present.

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3. Declaration of applicant regarding their personal reliability necessary for the registration as nominated person (enclosure 1 to the application);

Note: In case the applicant wishes to become active on the exchange as an exchange trader, the separate admission as exchange trader, pursuant to Section 50 of the Eurex Exchange Rules is required. For further information regarding applications, please refer to the "Information Memorandum Regarding the Application for Admission as Exchange Trader at the Eurex".