

Application for the Granting of a Clearing License of Eurex Clearing AG



Eurex Clearing AG
Member Services & Admission
60485 Frankfurt am Main

Germany

Applicant

* Name of applying company _____

Address

* Street _____ * Nr. _____

* Zip Code _____ * City _____

* Country _____

* VAT Identification Number (EU-countries only) _____

Contact person

* First name and name of contact _____

* Phone _____

* Fax _____

Application for the Granting of a Clearing License

1) Pursuant to chapter I, part 1 subsection 2.1.1 of the Clearing Conditions of Eurex Clearing AG, we herewith apply for a

1.1) General Clearing Membership

Direct Clearing License

for Eurex Derivatives

Eurex Repo

FWB Equities

Irish Stock Exchange ("ISE Dublin")

Xetra International Market

Cooperation Products at the European Energy Exchange (EEX)

Eurex Bonds

as authorisation for the participation in the clearing process of Eurex Clearing AG.

1.2) Pursuant to Chapter 8, part 1 subsection 1.1.1 of the Clearing Conditions of Eurex Clearing AG, we herewith apply for an OTC clearing license for

for OTC Interest Rate Derivatives

OTC Credit Derivatives

as authorisation for the participation in the OTC clearing process of Eurex Clearing AG.

2) Contribution to the Clearing Fund

The contribution to the Clearing Fund pursuant to chapter I, part 1 subsection 6.1 of the Clearing Conditions of Eurex Clearing AG has already been provided to Eurex Clearing AG within an already existing admission as DCM/GCM at Eurex Clearing AG except for the OTC Credit Derivative Clearing license.

The contribution to the Clearing Fund will be provided by

providing of securities (in its pledged securities account at Clearstream Banking AG oder SegalInterSettle AG) in the amount of EUR* and/or _____

cash for direct debit by Eurex Clearing AG in the amount of EUR* _____

*Or the equivalent amount in the currency of the home country of the applicant.

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3) Information regarding the account setup for settlement shall be submitted by separate application.

4) For a Clearing License for Clearing of Transactions at Eurex Deutschland optional:

For automated processing of the Taxpayer Identification Number (TIN) within the delivery management for Italian bonds, we advise our following Taxpayer Identification Number:

5) For Eurex Repo Clearing License: GC Pooling

Information regarding Eurex Repo GC Pooling shall be submitted by separate application for participation.

6) For a Clearing License for the Clearing of Transactions at the Irish Stock Exchange (ISE):

We confirm, that

- we are "CM Undertaking" at Euroclear UK & Ireland (EUI) (we have enclosed a copy of the confirmation of admission at Euroclear UK & Ireland)
- we are "Sponsored CM Undertaking" at Euroclear UK & Ireland (EUI) (we have enclosed a copy of the confirmation of admission at Euroclear UK & Ireland)

Additionally, we confirm that

- we are EUI Settlement Member at Euroclear UK & Ireland,
and / or
- we use the following EUI Settlement Member(s) at Euroclear UK & Ireland:

Furthermore, we confirm that we are

- Clearing Only Member Firm at the Irish Stock Exchange (ISE)
- or
- Member Firm at the Irish Stock Exchange (ISE).

7) For an OTC Credit Derivative Clearing License:

We also confirm that we hold the following Markit licences

- RED Data Licences (Markit entities, Markit Pairs, Markit RED indices and Markit Successor) - mandatory
- Markit Index and Trademark License (iTraxx and CDX) - mandatory
- Markit-Eurex Settlement Price License - required to receive access to all Settlement prices, otherwise only Settlement prices related to open positions will be provided
- Markit Single Name and Index History License - required to receive access to the relevant historical data

Furthermore, we agree that Eurex Clearing will be granted access to the Markit Proprietary Feed. The Markit Proprietary Feed is related to the bid, mid-point and offer data as well as other data provided from the party to Markit.

