## Application for the Granting of a Clearing License of Eurex Clearing AG



Eurex Clearing AG	* Name of applying company  Address							
Member Services & Admission 60485 Frankfurt am Main								
					Germany	* Street		* Nr.
	* Zip Code * City  * Country  * VAT Identification Number (EU-countries only)  Contact person  * First name and name of contact							
						* Phone	* Fax	
					Application for the Granting of a Clearing	g License		
1) Durayant to sharter Linert 1 subsection 2.1.1 of th	o Claaring Canditi	one of Eurov Clooring A.C. we her	awith apply for a					
1) Pursuant to chapter I, part 1 subsection 2.1.1 of th	_		эмин арріу юг а					
1.1) General Clearing Membership		ct Clearing License						
for Eurex Derivatives	Eure	ex Repo						
FWB Equities	Irish	Stock Exchange ("ISE Dublin")						
Xetra International Market	Coo	peration Products at the European	Energy Exchange (EEX					
Eurex Bonds								
as authorisation for the participation in the clearing pr	rocess of Eurex Cl	earing AG.						
1.2) Pursuant to Chapter 8, part 1 subsection 1.1.1 o OTC clearing license for	f the Clearing Con	ditions of Eurex Clearing AG, we h	nerewith apply for an					
for OTC Interest Rate Derivatives								
OTC Credit Derivatives								
as authorisation for the participation in the OTC clear	ring process of Eur	ex Clearing AG.						
O) Contribution to the Observe Fund								
2) Contribution to the Clearing Fund			. Pr					
The contribution to the Clearing Fund pursuant to Clearing AG has already been provided to Eure. Clearing AG except for the OTC Credit Derivative.	x Clearing AG with	in an already existing admission a						
The contribution to the Clearing Fund will be pro	ovided by							
providing of securities (in its pledged securities and Clearstream Banking AG oder SegaIntersettle And amount of EUR* and/or								
cash for direct debit by Eurex Clearing AG in the amount of EUR*								
*Or the equivalent amount in the currency of the	e home country of t	he applicant.						

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3) Information regarding the account setup for settlement shall be submitted by separate application.						
4) For a Clearing License for Clearing of Transactions at Eurex Deutschland <u>optional:</u> For automated processing of the Taxpayer Identification Number (TIN) within the delivery management for Italien bonds, we advise our following Taxpayer Identification Number:						
5) For Eurex Repo Clearing License: GC Pooling						
Information regarding Eurex Repo GC Pooling shall be submitted by separate application for participation.						
6) For a Clearing License for the Clearing of Transactions at the Irish Stock Exchange (ISE):						
We confirm, that						
we are "CM Undertaking" at Euroclear UK & Ireland (EUI) (we have enclosed a copy of the confirmation of admission at Euroclear UK & Ireland)						
we are "Sponsored CM Undertaking" at Euroclear UK & Ireland (EUI) (we have enclosed a copy of the confirmation of admission at Euroclear UK & Ireland)						
Additionally, we confirm that						
we are EUI Settlement Member at Euroclear UK & Ireland,						
and / or						
we use the following EUI Settlement Member(s) at Euroclear UK & Ireland:						
Furthermore, we confirm that we are						
Clearing Only Member Firm at the Irish Stock Exchange (ISE)						
or  Member Firm at the Irish Stock Exchange (ISE).						
7) For an OTC Credit Derivative Clearing Lincense:						
We also confirm that we hold the following Markit licences						
RED Data Licences (Markit entities, Markit Pairs, Markit RED indices and Markit Successor) - mandatory						
Markit Index and Trademark License (iTraxx and CDX) - mandatory						
Markit-Eurex Settlement Price License - required to receive access to all Settlement prices, otherwise only Settlement prices related to open positions will be provided						
Markit Single Name and Index History License - required to receive access to the relevant historical data						
Furthermore, we agree that Eurex Clearing will be granted access to the Markit Proprietary Feed. The Markit Proprietrary Feed is related to the bid, mid-point and offer data as well as other data provided from the party to Markit.						

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8) With respect to the back-office, we provide the following binding statement:



We have appropriate technical e	equipment (back-office facilities	s) to ensure the orderly re	ecording, booking and supervision of

all transactions as well as the provision of margin and the calculation of margin requirements pursuant to the minimum requirements of Eurex Clearing AG (clearing obligations). Name of back-office Software: We confirm that we have at least one sufficiently qualified member of staff for the orderly fulfilment of the clearing obligations and that this staff is present and available during the business day by telephone or fax at any time. 9) General We herewith confirm that we are aware of the Clearing Conditions for Eurex Clearing AG, the Exchange Rules of Eurex Deutschland and Eurex Zürich as well as, if applicable, the Exchange Rules of the Frankfurt Stock Exchange, the Terms and Conditions for Participation on Eurex Bonds and/or Eurex Repo in their respective valid version. We are aware of the fact that our admission as Clearing Member will be published. We have enclosed two copies of the Clearing Agreement signed by ourselves to this application. 15 April 2014 \* Date \* Place \* Company stamp and signature (Name in Capitals)