

Confidential Treatment Request of SGX-DT FBOT Application

Exhibit	Name of Exhibit	Confidential Treatment Request
-	SGX-DT Cover Letter	Not confidential
-	SGX-DT FOIA Confidential Treatment Request	Not confidential
-	Form FBOT Cover Sheet	Not confidential
A	General Information <ul style="list-style-type: none"> • Exhibit A-1 • Exhibit A-2 • Exhibit A-3-1 • Exhibit A-3-2 • Exhibit A-4 • Exhibit A-5 • Exhibit A-6 • Exhibit A-7 • Exhibit A-8 • Exhibit A-9 	Not confidential
A-A-1	Board Evaluation Process (Confidential)	Confidential
A-A-2	Disciplinary and Enforcement Actions (Confidential)	Confidential
A-1-1	Companies Act 2006 (Chapter 50 of Singapore)	Not confidential
A-1-2	Securities and Futures Act 2008 Rev Ed (Chapter 289 of Singapore)	Not confidential
A-1-3	Corporate Structure of SGX-DT	Not confidential
A-1-4	Code of Corporate Governance	Not confidential
A-1-5	Securities and Futures (Corporate Governance of Approved Exchanges, Designated Clearing Houses and	Not confidential

	Approved Holding Companies) Regulations 2005	
A-1-6	SGX Board Evaluation Policy	Confidential
A-2-1	SGX-DT Articles of Association	Not confidential
A-2-2	SGX-DT Memorandum of Association	Not confidential
A-2-3	SGX Organization Chart	Not confidential
A-3-1	Membership Application Forms	Not confidential
A-3-2	SGX-DC Security Deed for Securities Executed by Clearing Member	Confidential
A-3-3	SGX-DC Terms and Conditions for Management of Cash Collateral	Confidential
A-4-1	Contract Specifications	Not confidential
A-5-1	Banking Act 2008 Rev Ed (Chapter 19 of Singapore)	Not confidential
A-5-2	Securities and Futures (Markets) Regulations 2005	Not confidential
A-5-3	Securities and Futures (Licensing and Conduct of Business) Regulations 2002	Not confidential
A-5-4	Securities and Futures (Financial and Margin Requirements for Holders of Capital Markets Services Licenses) Regulations 2002	Not confidential
A-6-1	SGX-DT Trading Rules	Not confidential
A-7-1	MAS Confirmation of the licensing status of SGX-DT in Singapore	Confidential
A-8-1	Undertaking of CEO of FBOT	Not confidential
B	Membership Criteria	Not confidential
B-B-1	Risk Control Architecture (Confidential)	Confidential
B-1	MAS Guidelines on Criteria for Grant of CMS License	Not confidential
B-2	MAS Notice on Minimum Entry and Examination Requirements for Representatives of Holders of Capital Markets Service License and Exempt Financial Institutions	Not confidential

B-3	MAS Guidelines on Fit and Proper Criteria	Not confidential
B-4	SGX-DT Consultation Paper on Proposed Removal of Bypass Privileges in the SGX-DT Market	Confidential
B-5	MAS Notice (SFA04-N02) on the Prevention of Money Laundering and Countering the Financing of Terrorism – Capital Markets Intermediaries	Not confidential
B-6	MAS Notice (MAS 626) on the Prevention of Money Laundering and Countering the Financing of Terrorism - Banks	Not confidential
C	Board and Committee	Not confidential
C-C-1	Corporate Governance Practices (Confidential)	Confidential
C-C-2	SGX-DT Governance (Confidential)	Confidential
C-C-3	SRO Conflicts (Confidential)	Confidential
C-C-4	Board and Committee (Confidential)	Confidential
C-1	Exemption Letter from the MAS to SGX dated 14 December 2005	Confidential
C-2	Terms of Reference	Confidential
C-3	SRO Conflicts Handbook	Confidential
C-4	SRO Conflicts Guidelines	Confidential
C-5	SRO Escalation Policy	Confidential
C-6	SGX Code of Conduct and Ethics	Confidential
C-7	SGX Code of Dealing in Securities	Confidential
D	Automated Trading System <ul style="list-style-type: none"> Exhibit D-1 Exhibit D-2 	Not Confidential
D-D-1	Significant System Failures	Confidential
D-D-2	IOSCO Principles	Confidential
D-1-1	MAS Guidelines on the Regulation of Markets	Not confidential

D-1-2	ORM Policy and Guidelines	Confidential
D-1-3	SGX Document Retention and Destruction Policy	Confidential
D-2-1	MAS Internet Banking Technology Risk Management Guidelines	Not confidential
D-2-2	SGX QUEST DT CLICK Environment Version 3.3	Confidential
E	Contracts <ul style="list-style-type: none"> • Exhibit E-1 • Exhibit E-2 • Exhibit E-3 • Exhibit E-4 • Exhibit E-5 • Exhibit E-6 	Not confidential
E-1-1	SGX Option Contract on S&P CNX Nifty Index	Not confidential
F	Regulatory Regime	Not confidential
F-F-1	Regulation of SGX-DT (Confidential)	Confidential
F-F-2	Use of Custodians (Confidential)	Confidential
F-F-3	Investment of Clearing Members monies (Confidential)	Confidential
F-F-4	Capital Requirements (Confidential)	Confidential
F-F-5	New Product Listing (Confidential)	Confidential
F-F-6	Reporting Account Identities (Confidential)	Confidential
F-F-7	Market Surveillance (Confidential)	Confidential
F-F-8	Default Governance Structure (Confidential)	Confidential
F-F-9	MAS' Supervisory Powers (Confidential)	Confidential
F-F-10	SGX-DT Regulatory Good Standing (Confidential)	Confidential
F-F-11	Cooperation with Regulators (Confidential)	Confidential

F-1	Bankruptcy Act 2009 Rev Ed (Chapter 20 of Singapore)	Not confidential
F-2	MAS Letter of Conditions on Financial Requirements for SGX dated 9 January 2008	Confidential
F-3	Rule Amendment Procedures	Confidential
F-4	Terrorism (Suppression of Financing) Act 2003 Rev Ed (Chapter 325 of Singapore)	Not confidential
F-5	Corruption, Drug Trafficking and Other Serious Crimes (Confiscation of Benefits) Act 2000 (Chapter 65A of Singapore)	Not confidential
F-6	SGX Framework on Anti-Money Laundering & Countering the Financing of Terrorism	Confidential
F-7	Sale of Goods Act 1999 Rev Ed (Chapter 393 of Singapore)	Not confidential
F-8	MAS Confirmation of the licensing status of SGX-DT in Singapore	Confidential
G	Rules and Enforcement <ul style="list-style-type: none"> Exhibit G-1 Exhibit G-2 Exhibit G-3 Exhibit G-4 	Not Confidential
G-G-1	RMR group (Confidential)	Confidential
G-G-2	Trade Surveillance System (confidential)	Confidential
G-G-3	Disciplinary Authority and Procedures (Confidential)	Confidential
G-G-4	Market Surveillance Program (Confidential)	Confidential
G-G-5	Monitoring Daily Settlement Price (Confidential)	Confidential
G-G-6	Position Limits of Clearing Members (Confidential)	Confidential
H	Information Sharing	Not confidential

H-1	Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information, 16 May 2000	Not confidential
S-1 A	General Information <ul style="list-style-type: none"> • Exhibit A-1 • Exhibit A-2 • Exhibit A-3 • Exhibit A-4 • Exhibit A-5 • Exhibit A-6 • Exhibit A-7 • Exhibit A-8 	Not confidential,
S-1 A-A-1	Supplement to Exhibit A-6 (Confidential)	Confidential
S-1 A-A-2	Supplement to Exhibit A-7 (Confidential)	Confidential
S-1 A-4-1	Securities and Futures (Clearing Facilities) Regulations 2005	Not confidential
S-1 A-4-2	MAS Guidelines on the Regulation of Clearing Facilities	Not confidential
S-1 A-5-1	SGX-DC Clearing Rules	Not confidential
S-1 A-8-1	Undertaking by CEO of SGX	Not Confidential
S-1 B	Membership Criteria	Not confidential
S-1 B-B-1	Arrangements for default rules (Confidential)	Confidential
S-1 B-1	List of SGX-DC Clearing Members	Not confidential
S-1 B-2	OTCF Default Management Agreement	Confidential
S-1 B-3	OTCF Default Managers - Letter of Appointment	Confidential
S-1 B-4	SGX Default Management Exercise, Report on Exercise Uranium, 4 Sept 2009	Confidential
S-1 B-5	SGX-DC OTCF Default Management Firedrill Report	Confidential

S-1 B-6	SGX-DC OTCF Report on IRS Firedrill	Confidential
S-1 B-7	SGX-DC Consultation Enhancements to Default Management Framework	Confidential
S-1 B-8	OTC Clearing Default Management	Confidential
S-1 C	Board and Committee	Not confidential
S-1 D	Settlement and Clearing <ul style="list-style-type: none"> Exhibit D-1 Exhibit D-2 Exhibit D-3 Exhibit D-3-1 Exhibit D-3-2 Exhibit D-3-3 	Not confidential
S-1 D-D-1	Settlement Banks (Confidential)	Confidential
S-1 D-D-2	Protection of Clearing Member and Customer Funds and Assets (Confidential)	Confidential
S-1 D-D-3	Supplements to Exhibit D-3 (Confidential)	Confidential
S-1 D-1-1	List of All SGX-DC Contracts & Contract Specifications	Not confidential
S-1 D-1-2	SGX-DC List of Settlement Banks	Not confidential
S-1 D-1-3	Settlement Bank Agreement	Confidential
S-1 D-3-1-2	SGX-DC Risk Framework (February 2012)	Confidential
S-1 E	Regulatory Regime	Not confidential
S-1 E-E-1	Financial Resource Requirements (Confidential)	Confidential
S-1 E-E-2	Regulatory Approval Rules (Confidential)	Confidential
S-1 E-E-3	Financial Protection Afforded Customer Funds (Confidential)	Confidential

S-1 E-1	Finance Companies Act 1995 Rev Ed (Chapter 108 of Singapore)	Not confidential
S-1 E-2	Money-Changing and Remittance Businesses Act 2008 Rev Ed (Chapter 187 of Singapore)	Not confidential
S-1 E-3	Trust Companies Act 2006 Rev Ed (Chapter 336 of Singapore)	Not confidential
S-1 E-4	Financial Statements of SGX (Annual)	Not confidential
S-1 E-5	SGX-ST Listing Rules	Not confidential
S-1 E-6	Financial Statements of SGX (Quarter)	Not confidential
S-1 F	Rules of Clearing Organization	Not confidential
S-1 G	Information Sharing	Not confidential
S-1 H	Additional Information	n/a