NOTICE OF LISTING OF PRODUCTS BY TRADITION SEF, INC. FOR TRADING BY CERTIFICATION

1. Tradition SEF, Inc., ("the SEF") is making this submission pursuant to CFTC Reg. 40.2
2. The products certified by this submission are the following interest rate swaps: 1) Forward Rate Agreements, 2) Overnight Index Swaps, 3) Fixed for Floating Interest Rate Swap, 4) Interest Rate Options, 5), Interest Rate Caps, 6) Interest Rate Floors, 7) Inflation Swaps, 8) Inflation Options, 9) Inflation Asset Swaps, 10) Inflation Fixing Swaps, 11) Constant Maturity Swaps, 12) Cross Currency Basis Swaps, 13) Single Currency Basis swaps.
3. The intended listing date is October 2, 2013.
4. Certain terms and conditions will be negotiated and set by the counterparties.
5. The products listed herein comply with SEF Core Principle 3, "Swaps Not Readily Susceptible to Manipulation" in that: (1) The interest rate swaps listed for trading contract terms will define the settlement details of each swap. (2) Interest rate swaps will be based on widely available, independent and observable benchmarks such as LIBOR, EURIBOR, which are published by the British Bankers Association or other market standard benchmarks that reflect the overall level of interest rates, rather than the interest rate paid by a specific company or corporate entity. (3) The markets for these swaps is sufficiently liquid to prevent them from being susceptible to manipulation. (4) The SEF's predecessor brokers have experience in operating platforms and executing trades in these swaps. (5) The SEF will monitor trading in theses swaps in conjunction with the SEF's regulatory service provider. (6) The SEF has rules to prevent SEF participants from engaging in manipulative activity and possesses discretion to impose fines and disciplinary sanctions on its participants.

PRODUCT DESCRIPTIONS – INTEREST RATE SWAPS

Rates

Contract	Type	Product	Cleared Y/N	Details	
				Currencies(*)	Tenors
Forward Rate Agreement (FRA)	Rates	Rates	Y	AUD, CAD, CHF, CZK, DKK, EUR, GBP, HKD, HUF, JPY, NOK, NZD, PLN, SEK, SGD, USD, ZAR, MXN, ILS, RUB, TRY, INR, CNY, CNH, KRW, MYR, PHP, LATAM Currencies	All Available Tenors
Overnight Index Swap (OIS)	Rates	Rates	Y		
Interest Rate Swap (IRS)	Rates	Rates	Y		
Interest Rate Options (IRO / Swaptions)	Rates	Rates			
Interest Rate Caps	Rates	Rates			
Interest Rate Floors	Rates	Rates			
Inflation Swaps	Rates	Rates			
Inflation Options	Rates	Rates			
Inflation Asset Swaps	Rates	Rates			
Inflation Fixing	Rates	Rates			
Constant Maturity Swaps (CMS)	Rates	Rates			
Cross Currency Basis Swap	Rates	Rates			
Single Currency Basis swaps	Rates	Rates	Y		

(*) Currencies supported include but are not limited to
Currencies which are cleared

SAMPLE PRODUCT SPECIFICATION:

USD Interest Rate Swaps – product types

(1) The following product types shall be eligible for admission to and capable of being traded on the Trad-X Platform and may be modified by Tradition SEF from time to time:

(a) **USD Spread Over** these are the combination spot dated interest rates swaps 1 to 50 years Semi-Annual bond basis (30/360) on the fixed side versus 3 mth LIBOR (reference page LIBOR01) on the floating side dealt with of a hedge of benchmark treasuries of corresponding size; and

(b) **USD Interest Rate Swaps** 1 to 50 years Semi-Annual bond basis (30/360) on the fixed side versus 3 mth LIBOR (reference page LIBOR01) on the floating side;

USD INTEREST RATE PRODUCTS MINIMUM ORDER SIZES

Minimum sizes are as set forth below and maybe changed from time to time by Tradition SEF.

Maturities	**Spreadover**	**SemiBond**
2y	100 million	75 million
3y	75 million	50 million
4y	50 million	30 million
5y	50 million	30 million
6y	50 million	30 million
7y	30 million	20 million
8y	25 million	15 million
9y	25 million	15 million
10y	25 million	15 million
11y	25 million	15 million
12y	25 million	15 million
13y	20 million	10 million
14y	20 million	10 million
15y	20 million	10 million
16y	20 million	10 million
17y	20 million	10 million
18y	20 million	10 million
19y	20 million	10 million
20y	20 million	10 million
21y	20 million	10 million
22y	20 million	10 million
23y	20 million	10 million
24y	20 million	10 million
25y	20 million	10 million
26y	20 million	10 million
27y	20 million	10 million
28y	20 million	10 million
29y	20 million	10 million
30y	20 million	10 million

Note : Size on curves are calculated on the longer leg of the structure.
Size on butterflies are calculated on the body of the structure

CERTIFICATION PURSUANT TO CFTC REGULATION 40.2

The undersigned hereby certifies that each product described in this submission complies with the Commodity Exchange Act and the CFTC Regulations thereunder, and that concurrent with the filing of this submission with the Commission, Tradition SEF, Inc. will be posting on its website prior to 8:00 a.m. October 2, 2013 a copy of this submission and a notice of pending product certification of these products with the Commission.

By: Eric Earnhardt
Title: Chief Compliance Officer
Date: October 1, 2013