



ICE Swap Trade, LLC
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BY ELECTRONIC TRANSMISSION

Submission No. 13-02
October 1, 2013

Secretary of the Commission
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW Washington, DC 20581
via email: submissions@cftc.gov; dmosubmissions@cftc.gov

Re: Listing of Commodity Swaps and Related Rule Amendments- Submission Pursuant to Section 5c(c)(1) of the Act and Regulations 40.2 and 40.6

Ladies and Gentleman:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (the "CEA") and the Commodity Futures Trading Commission (the "Commission") Regulations 40.2 and 40.6(a), ICE Swap Trade, LLC ("IST" or "SEF"), a temporarily registered SEF with the Commission, submits by written certification the terms and conditions for certain cash-settled Natural Gas, Power and Oil contracts (collectively, the "Energy Contracts") to be listed for trading on the SEF. The Energy Contracts will be listed by the SEF as permitted contracts and will be made available for trading on October 2, 2013. All of the Energy Contracts are bilateral uncleared swaps that previously traded on ICE U.S. OTC Commodity Markets LLC ("ICE OTC"), an exempt commercial market ("ECM").

The contract terms and conditions are set forth in a new Chapter 13 of the ICE Swap Trade Rulebook ("Rules") and in related amendments to existing IST Rules, as specified in **Exhibit A**. The underlying cash market analysis is contained in **Exhibit B**. The SEF is listing twenty-four (24) cash-settled Natural Gas contracts, thirty-five (35) cash-settled Financial Power contracts, and forty-nine (49) cash-settled Financial Oil contracts, as described below.

Financial Natural Gas Contracts

The twenty-four (24) cash-settled Natural Gas contracts are comprised of four (4) Fixed Price swaps, two (2) Basis swaps, two (2) Index swaps, and sixteen (16) Swing swaps. Three (3) of the fixed price swaps are based on monthly prices published by Inside FERC and one is based on the U.S. Energy Information Administration (EIA) Weekly Natural Gas Report. The two (2) Basis swaps and two (2) Index swaps are based on monthly prices published by Inside FERC. The sixteen (16) Swing swaps are based on prices published by Gas Daily.

Rule	Contract Name
Rule 13.10	Fixed Price Swap - El Paso - San Juan Basin, Blanco Pool (Primary only)
Rule 13.11	Fixed Price Swap - Henry Hub - Tailgate, Louisiana
Rule 13.12	Fixed Price Swap - Northwest Pipeline Corp. - Rocky Mountains



Rule 13.13	Fixed Price Swap - Weekly Gas Storage Inventory
Rule 13.14	Basis Swap - Consumers Energy Citygate
Rule 13.15	Basis Swap - Texas Eastern Transmission Corp. - East Texas Zone
Rule 13.16	Index Swap - El Paso - San Juan Basin, Blanco Pool (Primary only)
Rule 13.17	Index Swap - Tennessee Gas Pipeline Co. - Zone L, 800 Leg Pool
Rule 13.18	Swing Swap - Algonquin Citygates (Excluding J-Lateral deliveries)
Rule 13.19	Swing Swap - Carthage Hub Tailgate
Rule 13.20	Swing Swap - Cheyenne Hub
Rule 13.21	Swing Swap - Columbia Gulf Transmission Co. - Onshore Pool
Rule 13.22	Swing Swap - Consumers Energy Citygate
Rule 13.23	Swing Swap - Enable - Flex Pool only
Rule 13.24	Swing Swap - Florida Gas Transmission - Zone 1 (non-constrained pool gas only)
Rule 13.25	Swing Swap - Florida Gas Transmission - Zone 2 (non-constrained pool gas only)
Rule 13.26	Swing Swap - Tennessee Gas Pipeline Co. - Zone L, 500 Leg Pool
Rule 13.27	Swing Swap - Tennessee Gas Pipeline Co. - Zone L, 800 Leg Pool
Rule 13.28	Swing Swap - Texas Eastern Transmission Corp. - East Texas Zone
Rule 13.29	Swing Swap - Texas Gas Transmission Corp. - Zone SL FT Pool
Rule 13.30	Swing Swap - Transcontinental Gas Pipe Line Corp. - Station 30 (Zone 1)
Rule 13.31	Swing Swap - Transcontinental Gas Pipe Line Corp. - Station 45 (Zone 2)
Rule 13.32	Swing Swap - Trunkline Gas Company - West Louisiana Pool
Rule 13.33	Swing Swap - Texas Eastern Transmission Corp. - East Louisiana Zone

Financial Power Contracts

The thirty-five (35) cash-settled Financial Power contracts are comprised of fifteen (15) Monthly Peak contracts, six (6) Monthly Off-Peak contracts, nine (9) Daily Peak contracts, and six (6) Daily Off-Peak contracts. Of the Monthly Peak contracts, three (3) contracts reference prices published by ERCOT, six (6) reference prices published by MISO, one (1) reference prices published by ISO New England, three (3) reference prices published by NYISO, and two (2) reference prices published by PJM. Of the Monthly Off-Peak contracts, five (5) reference prices published by MISO, and one (1) reference prices published by ISO New England. Of the nine (9) Daily Peak contracts, one (1) contract reference prices published by ERCOT, three (3) contracts reference prices published by PJM, and four (4) reference prices published by MISO. Of the Daily Off-Peak contracts, five (5) reference prices published by MISO, and one (1) reference prices published by ISO New England.

Rule	Contract Name
Rule 13.34	ERCOT - Houston 345KV Hub Day-Ahead Peak
Rule 13.35	ERCOT - South 345KV Hub Day-Ahead Peak
Rule 13.36	ERCOT - West 345KV Hub Day-Ahead Peak
Rule 13.37	MISO First Energy Hub Real-Time Peak
Rule 13.38	MISO Illinois Hub Real-Time Peak
Rule 13.39	MISO Mich Hub Day-Ahead Peak
Rule 13.40	MISO Michigan Hub Real-Time Peak
Rule 13.41	MISO Minn Hub Day-Ahead Peak
Rule 13.42	MISO Minnesota Hub Real-Time Peak
Rule 13.43	NEPool Mass Hub Real-Time Peak
Rule 13.44	NYISO Zone A Real-Time Peak
Rule 13.45	NYISO Zone G Real-Time Peak
Rule 13.46	NYISO Zone J Real-Time Peak
Rule 13.47	PJM Eastern Hub Real-Time Peak
Rule 13.48	PJM PSEG Zone Real-Time Peak
Rule 13.49	MISO First Energy Hub Real-Time Off-Peak

Rule 13.50	MISO Illinois Hub Real-Time Off-Peak
Rule 13.51	MISO Michigan Hub Real-Time Off-Peak
Rule 13.52	MISO Minnesota Hub Day-Ahead Off-Peak
Rule 13.53	MISO Minnesota Hub Real-Time Off-Peak
Rule 13.54	NEPool Mass Hub Real-Time Off-Peak
Rule 13.55	ERCOT - North 345KV Hub Real-Time Peak Daily
Rule 13.56	PJM Western Hub Real-Time Peak Daily
Rule 13.57	MISO First Energy Hub Real-Time Peak Daily
Rule 13.58	MISO Illinois Hub Real-Time Peak Daily
Rule 13.59	MISO Michigan Hub Real-Time Peak Daily
Rule 13.60	MISO Minnesota Hub Real-Time Peak Daily
Rule 13.61	PJM PEPCO Real-Time Peak Daily
Rule 13.62	PJM PSEG Zone Real-Time Peak Daily
Rule 13.63	MISO First Energy Hub Real-Time Off-Peak Daily
Rule 13.64	MISO Illinois Hub Real-Time Off-Peak Daily
Rule 13.65	MISO Michigan Hub Real-Time Off-Peak Daily
Rule 13.66	MISO Minnesota Hub Day-Ahead Off-Peak Daily
Rule 13.67	MISO Minnesota Hub Real-Time Off-Peak Daily
Rule 13.68	NEPool Mass Hub Real-Time Off-Peak Daily

Financial

Oil Contracts

The forty-nine (49) cash-settled Financial Power contracts are comprised three (3) Brent CFD swaps, fourteen (14) Fuel Oil swaps, twenty (20) Gasoil swaps, three (3) Kerosene swaps, three (3) Jet Fuel swaps, two (2) Gasoline swaps, four (4) Naphtha swaps contracts as noted in the table below.

Rule	Contract Name
Rule 13.69	Daily CFD - Brent CFD vs Second Month Swap – 1,000 bbl
Rule 13.70	Brent CFD vs Second Month Swap
Rule 13.71	Brent CFD vs Second Month Swap
Rule 13.72	Fuel Oil 1% FOB NWE Cargoes Swap
Rule 13.73	Fuel Oil 1% FOB NWE Cargoes vs 3.5% FOB Rotterdam Barges Swap
Rule 13.74	Fuel Oil 180 CST Singapore Swap
Rule 13.75	Fuel Oil 3.5% FOB Med Cargoes vs 3.5% FOB Rotterdam Barges Swap
Rule 13.76	Fuel Oil 3.5% FOB Rotterdam Barges Swap
Rule 13.77	Fuel Oil 380 CST Singapore Swap
Rule 13.78	Fuel Oil 1% FOB NWE Cargoes Balmo Swap
Rule 13.79	Fuel Oil 1% FOB NWE Cargoes vs 3.5% FOB Rotterdam Barges Balmo Swap
Rule 13.80	Fuel Oil 180 CST Singapore Balmo Swap
Rule 13.81	Fuel Oil 3.5% FOB Med Cargoes vs 3.5% FOB Rotterdam Barges Balmo Swap
Rule 13.82	Fuel Oil 380 CST Singapore Balmo Swap
Rule 13.83	Singapore Gasoil Swap
Rule 13.84	Singapore Gasoil Balmo Swap
Rule 13.85	Diesel 10ppm FOB Rotterdam Barges vs Gasoil 1 st Line Swap
Rule 13.86	Gasoil 0.1% CIF Med Cargoes vs Gasoil 1 st Line Swap
Rule 13.87	Gasoil 0.1% CIF NWE Cargoes vs Gasoil 1 st Line Swap
Rule 13.88	Gasoil 0.1% FOB Rotterdam Barges vs Gasoil 1 st Line Swap
Rule 13.89	Gasoil 50ppm FOB Rotterdam Barges vs Gasoil 1 st Line Swap e
Rule 13.90	ULSD 10ppm CIF Med Cargoes vs Gasoil 1 st Line Swap
Rule 13.91	Diesel Diff – ULSD 10ppm CIF NWE Cargoes vs Gasoil 1 st Line Swap
Rule 13.92	Diesel 10ppm FOB Rotterdam Barges vs Gasoil 1 st Line Balmo Swap
Rule 13.93	Gasoil 0.1% CIF Med Cargoes vs Gasoil 1 st Line Balmo Swap
Rule 13.94	Gasoil 0.1% CIF NWE Cargoes vs Gasoil 1 st Line Balmo Swap
Rule 13.95	Gasoil 0.1% FOB Rotterdam Barges vs Gasoil 1 st Line Balmo Swap



Rule 13.96	ULSD 10ppm CIF Med Cargoes vs Gasoil 1 st Line Balmo Swap
Rule 13.97	ULSD 10ppm CIF NWE Cargoes vs Gasoil 1 st Line Balmo Swap
Rule 13.98	Singapore Jet Kerosene Swap
Rule 13.99	Singapore Jet Kerosene Balmo Swap
Rule 13.100	Jet CIF NWE Cargoes vs Gasoil 1 st Line Swap
Rule 13.101	Jet FOB Rotterdam Barges vs Jet CIF NWE Cargoes Swap
Rule 13.102	Jet CIF NWE Cargoes vs Gasoil 1 st Line Balmo Swap
Rule 13.103	Singapore Mogas 92 Unleaded Swap
Rule 13.104	Singapore Mogas 95 Unleaded vs Singapore Mogas 92 Unleaded Swap
Rule 13.105	Naphtha CIF NWE Cargoes Swap
Rule 13.106	Naphtha CIF NWE Cargoes Balmo Swap
Rule 13.107	Naphtha CIF NWE Cargoes vs Brent 1 st Line Swap
Rule 13.108	Naphtha CIF NWE Cargoes vs Brent 1 st Line Balmo Swap
Rule 13.109	Singapore Jet Kero vs Singapore Gasoil Swap
Rule 13.110	Bunker 380cst (Delivered Hong Kong) Swap
Rule 13.111	Bunker 380cst (Delivered South Korea (Busan)) Swap
Rule 13.112	Bunker 380cst (Delivered Tokyo Bay) Swap
Rule 13.113	Singapore Gasoil Swap
Rule 13.114	Singapore Gasoil 0.25% Swap
Rule 13.115	Singapore Gasoil 10ppm Swap
Rule 13.116	FOB Arab Gulf Gasoil 500ppm Swap
Rule 13.117	Singapore Gasoil 0.05% Swap

Certifications

IST certifies that the rules and amendments related to the listing of the contracts comply with the requirements of the CEA and the rules and regulations promulgated by the Commission thereunder. IST has reviewed the designated contract market Core Principles and has determined that the listing of the contracts impacts the following relevant Core Principles:

COMPLIANCE WITH RULES (Principle 2): The terms and conditions of the new contracts are set forth in Chapter 13 of the Rules, which will be enforced by IST. Trading of the contracts is subject to all relevant IST rules which are enforced by the Market Regulation Department. Pursuant to Chapters 8 of the Rules, the Market Regulation Department and the Business Conduct Committee have the authority to sanction, suspend or expel members and market participants that violate IST Rules.

SWAPS NOT READILY SUSCEPTIBLE TO MANIPULATION (Principle 3): The contracts should not be readily subject to manipulation as they are based on deep and liquid cash markets as demonstrated in the analysis included in Exhibit B. In addition, the contracts will be subject to market surveillance by IST Market Regulation staff to detect attempted manipulation.

MONITOR OF TRADING AND TRADE PROCESSING (Principle 4): All contracts listed for trading by IST are subject to prohibitions against abusive trading practices as set forth in Chapter 5 of the Rules. The Market Regulation staff actively monitors all IST markets to detect abusive practices.

ABILITY TO OBTAIN INFORMATION (Principle 5): IST has rules and procedures in place that allow for the collection of non-routine data from Participants. In addition, IST has agreements in place with other regulatory, data repository and reporting services.

TIMELY PUBLICATION OF TRADING INFORMATION (Principle 9): IST will publish on its website and distribute through quote vendors contract trading volume, open interest levels, and daily price information.



IST will also adhere to the reporting requirements as detailed in Part 43 and 45¹. Prior to the commencement of trading, the terms and conditions for the contracts will be available on IST's website. In addition, IST will publish on a daily basis the settlement prices, volume, open interest and the opening and closing ranges for actively traded contracts.

RECORDKEEPING AND REPORTING (Principle 10): IST has rules and procedures in place to require market participants to keep records of their trading and provide for the recording and storage of the requisite trade information sufficient for the Market Regulation Department to detect and prosecute customer and market abuses.

IST not aware of any substantive opposing views expressed with respect to the rules and the amendments. IST further certifies that concurrent with this filing, a copy of this submission was posted on its website, which may be accessed at: (<https://www.theice.com/notices/Notices.shtml?regulatoryFilings>).

If you have any questions or need further information, please contact the undersigned at (212) 323-8543 or (robert.laorno@theice.com).

Sincerely,

A handwritten signature in black ink, appearing to read "Robert J. Laorno", written over a printed name and title.

Robert J. Laorno
Secretary and General Counsel

cc: Division of Market Oversight

¹ 17 CFR Part 43 Real-Time Public Reporting of Swap Transaction Data and 17 CFR Part 45 Swap Data Recordkeeping and Reporting Requirements.