

Rule Self-Certification

July 26, 2013

Office of the Secretary
Commodity Futures Trading Commission
Three Lafayette Center
1155 21st Street, NW
Washington, DC 20581

Re: Regulation §40.6 Submission Certification
Adopting new and revised trading rules and other rule amendments
Reference File: SR-NFX-2013-07S

Ladies and Gentlemen:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (“Act”), and Section 40.6 of the regulations promulgated by the Commodity Futures Trading Commission under the Act, NASDAQ OMX Futures Exchange, Inc. (“NFX” or “Exchange”) amends its previous rule filing¹ which revised and adopted new trading rules in connection with modernizing its trading platform. The amendments will be effective on August 5, 2013.

The Exchange recently filed to adopt revised and new trading rules in Chapter IV entitled “Trading System” and create a new Chapter V entitled, “Trading Procedures and Standards” in connection with upgrading its trading platform. The Exchange proposes an additional revision to Chapter V, Section 11 entitled “Pre-Negotiated Business and Cross Transactions” to specify that Orders in the relevant Contract Month that have been pre-negotiated must be exposed to the market as specified in the Rule. The Exchange also seeks to amend cross references in Chapter IV and recently filed² Frequently Asked Questions. Amendments to previously filed text are attached as Exhibit A. Pursuant to Core Principle 9, the Exchange seeks to provide a competitive, open, and efficient market and mechanism for executing transactions that protects the price discovery process.

¹ SR-NFX-2013-07.

² SR-NFX-2013-08.

There were no opposing views among NFX's Board of Directors, members or market participants. NFX hereby certifies that the revised Rule and cross-reference amendments comply with the Commodity Exchange Act and regulations thereunder. The Exchange also certifies that a notice of pending certification with the Commission and a copy of this submission have been concurrently posted on the Exchange's website at <http://www.nasdaqtrader.com/Micro.aspx?id=NFX>.

Regards,

A handwritten signature in black ink that reads "Daniel R. Carrigan". The signature is written in a cursive style with a large, sweeping "D" and "C".

Daniel R. Carrigan
President

cc: Nancy Markowitz
Mr. J. Goodwin
National Futures Association

Exhibit A

Amendments reflect previously filed rule text. Additions are underlined; deletions are in brackets.

Chapter IV Trading System

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Section 3 Acceptable Orders

Orders entered into the Trading System for display and/or execution, as appropriate, are executable against marketable contra-side Orders in the Trading System.

(a) Types of Orders accepted by the Trading System as follows:

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(vi) *Wait Order*. A “Wait Order” is an Order that upon entry into the Trading System is held for a period of time as defined in Chapter V, Section 11([e]d) without processing for potential display and/or execution. The Order is thereafter processed for potential display and/or execution in accordance with all Order entry instructions as determined by the entering party. Wait Orders may not have a designation of Good-‘Till-Cancelled.

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Chapter V Trading Procedures and Standards

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Section 11 Pre-Negotiated Business and Cross Transactions

(a) Except as otherwise provided for in Chapter IV, Section 11, a Futures Participant may only execute Cross Transactions or seek to match an Order through pre-negotiation with itself or with its other Customers in accordance with this Rule.

(b) When pre-negotiating and executing a Cross Transaction for a Customer, a Futures Participant must (1) obtain a prior written consent from the Customer which is either a generic or transaction specific consent and (2) act with due skill, care, and diligence, and ensure that the Customer's interests are not prejudiced.

(c) When submitting a Cross Transaction to the Trading System through this Rule, if only one side of the transaction is a Customer Order, then the Futures Participant must submit the Customer Order first to the Trading System.

[(d) A Futures Participant may enter Orders matched through pre-negotiation immediately into the Trading System if, at the time of entry, a bid and an offer exists for the relevant Contract

Month in the Trading System. Because both Orders submitted pursuant to this Rule are exposed to the market, the Trading System may not necessarily match the two Orders.]

([e]d) [If a bid and an offer for a Contract Month does not exist in the Trading System, then] [b]Before submitting Orders in the relevant Contract Month that have been pre-negotiated, a Futures Participant must (1) submit one Order (in compliance with Section (c), if applicable), (2) wait five seconds for futures and eight seconds for options, and (3) submit the second Order for the relevant Contract Month. Because both Orders submitted pursuant to this Rule are exposed to the market, the Trading System may not necessarily match the two Orders.

([f]e) A Person must not enter a bid and/or offer into the Trading System in an attempt to circumvent the requirements of this Section.

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Frequently Asked Questions NASDAQ OMX Futures Exchange (NFX)

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Q: What are the Customer Order exposure requirements on NFX?

A: Futures Participants must expose Customer Orders for the designated time to comply with Exchange Rules at Chapter V, Section 11([e]d). If there is no bid/offer, the Customer Order must be exposed for 5 or 8 seconds, depending on the type of contract. If there is a bid and offer, the Customer can be matched immediately.

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