



**NYSE Liffe**  
U.S.

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OFFICE OF THE SECRETARIAT

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**By Electronic Mail**

April 22, 2009

Mr. David A. Stawick  
Secretary  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street NW  
Washington DC 20581

**Re: 2009-112 NYSE Liffe, LLC – Guidance and Reminder on Member and Registered User Responsibility for Compliance with Exchange Rules**

Dear Mr. Stawick:

I am the Chief Regulatory Officer of NYSE Liffe, LLC (“NYSE Liffe US” or the “Exchange”). Pursuant to U.S. Commodity Futures Trading Commission Rule 40.6, I enclose a Cover Sheet for NYSE Liffe, LLC Submission 2009-112 and NYSE Liffe US Notice 14/2009.

Notice 14/2009 provides guidance and serves as a reminder that, although Market Operations and other Exchange staff are available to answer questions regarding use of the Exchange’s trading platform, Members and Registered Users are ultimately responsible for their own compliance with Exchange Rules.

NYSE Liffe US hereby certifies that Notice 14/2009 complies with the Commodity Exchange Act and the Regulations thereunder.

If you have any questions, please call me at (212) 656-4568.

With best regards,

Karl D. Cooper

Enclosures



## NYSE LIFFE U.S. NOTICE No. 14/2009

ISSUE DATE: April 22, 2009  
EFFECTIVE DATE: April 24, 2009

### NYSE Liffe U.S. – Guidance and Reminder on Member and Registered User Responsibility for Compliance with Exchange Rules

#### Summary

This Notice provides guidance and serves as a reminder that, although Market Operations and other Exchange staff are available to answer questions regarding use of the Exchange's trading platform, Members and Registered Users are ultimately responsible for their own compliance with Exchange Rules.

- 1.1 As set forth on the cover page of the Exchange Rulebook and in Chapter 3 of the Exchange's Rules, by accessing the NYSE Liffe US Trading Platform, a Member or Registered User agrees to be bound by, and comply with, the Rules of the Exchange.
- 1.2 Although Exchange Helpdesk, Market Operations and other staff are available to assist Members and Registered Users with issues, questions or problems arising from their use of the NYSE Liffe US Trading Platform, it ultimately remains the sole responsibility of the Member or Registered User to comply with the Exchange's Rules. Members' staff should consult in the first instance their own Compliance or Legal staff should they have questions regarding compliance with an Exchange Rule, or seek clarification of an Exchange Rule. This is because such advisers are best placed to determine how the particular circumstances of the Member or Registered User impact the application of the Exchange's Rules.
- 1.3 With the exception of written guidance from the Exchange's Market Regulation Department staff, it is no defense in a Disciplinary Proceeding instituted under Chapter 7 of the Exchange Rules that a particular course of action, order or transaction was entered into based on the advice of Exchange Staff.

Members who have questions or seek additional information in respect of this Notice should contact:

Karl Cooper

(212) 656-4300

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NYSE Liffe U.S. is the brand name for NYSE Euronext's U.S. Futures Market, operating through NYSE Liffe, LLC, a Commodity Futures Trading Commission designated contract market.

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