

From: [Stephen Szarmack](#)
To: [McCall, W. Graham](#)
Cc: [Van Wagner, David](#)
Subject: RE: request for extension
Date: Monday, April 29, 2013 9:31:14 AM

Graham:

OCC agrees to an extension of rule filing SR-OCC-2011-14 (CBOE Silver ETF Volatility index) for 45 day or until June 13, 2013. A separate filing approving an extension for rule filings SR-OCC-2010-03 and -09 will be sent to you today.

Regards,

Steve

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From: McCall, W. Graham [mailto:GMcCall@CFTC.gov]
Sent: Friday, April 26, 2013 4:15 PM
To: Stephen Szarmack
Cc: Van Wagner, David
Subject: request for extension

Steve –

I spoke with Matt Daigler at the SEC this morning. While his shop continues to be mired in getting their cross-border guidance issued, we have scheduled a call for next Friday to discuss remaining issues on the commodity-based ETF orders. Our current extension of time, however, expires on Monday. Therefore, I am requesting on behalf of the CFTC an additional 47-day extension through June 15, 2013 on the following three OCC rule filings to clear options and futures on various commodity-based ETFs:

- SR-OCC-2010-03 (ETFS Platinum & Palladium Shares)
- SR-OCC-2010-09 (Sprott Physical Gold Shares)
- SR-OCC-2011-14 (CBOE Silver ETF Volatility Index)

Please give David or me a call if you would like to discuss. As always, we appreciate your patience and cooperation as we work to move this matter forward.

Regards,
Graham

W. Graham McCall

Attorney-Advisor

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