VIA EMAIL: Secretary@cftc.gov



October 1, 2013

Ms. Melissa Jurgens
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21<sup>st</sup> Street, NW
Washington, DC 20581

Re: Southwest Power Pool, Inc.'s Application for Exemptive Order Pursuant to Section 4(c)(6) of the Commodity Exchange Act

Dear Ms. Jurgens:

Southwest Power Pool, Inc. ("SPP"), a Regional Transmission Organization ("RTO") approved by the Federal Energy Regulatory Commission ("FERC") herewith encloses for filing its Application for Exemptive Order pursuant to Section 4(c)(6) of the Commodity Exchange Act ("Application"). SPP's Application is comprised of the following documents submitted herewith:

- 1. Main Application Document entitled In the Matter of the Application for an Exemptive Order Under Section 4(c) of the Commodity Exchange Act by Southwest Power Pool, Inc.;
- 2. Addendum A, entitled List of FERC Orders Regulating SPP;
- 3. Addendum B, entitled FERC Order No. 741 Implementation; and
- 4. Attachments "A" through "GG" regarding DCO and SEF Core Principles.

As is discussed in SPP's Application, SPP's Integrated Marketplace is scheduled to go into effect March 1, 2014. As a result of this timing, SPP continues to await certain orders from FERC and continues to prepare compliance filings directed by prior FERC orders in connection with the implementation of SPP's Integrated Marketplace. In the event this ongoing process causes any of the circumstances underlying this Application to change, SPP will notify the Commission staff promptly and make revisions to the Application if appropriate.

Ms. Melissa Jurgens October 1, 2013 Page 2 of 2

If you have any questions or need further information, please contact me at (501) 614-3368 or jghormley@spp.org.

Sincerely,

Joseph W. Ghormley, Senior Attorney

For Southwest Power Pool, Inc.

cc:

Alicia Lewis Riva Adriance Laura Astrada