

SWAPEXSM

October 2, 2013

By Electronic Mail (submissions@cftc.gov – and – DMOsubmissions@cftc.gov)

Secretary
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: Amendment to Application for Registration as a Swap Execution Facility

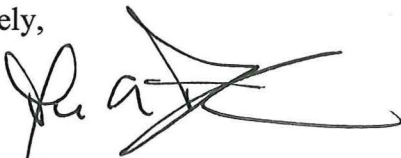
Ladies and Gentlemen:

SwapEx, LLC (“SwapEx”) hereby amends its application for registration as a swap execution facility (“SEF”) pursuant to Section 5h of the Commodity Exchange Act and Commodity Futures Trading Commission (“Commission”) Regulation 37.3(b).

As required by Commission Regulation 37.3(b)(3), SwapEx is filing herewith an amendment to its Form SEF that, together with the accompanying Exhibits and its original Form SEF and prior amendments thereto, contains all information and documentation required by Regulation 37.3 and Form SEF. SwapEx is separately filing, pursuant to Commission Regulations 37.3(b)(2) and 145.9, a petition for confidential treatment of those portions of its application that are not required by Commission Regulation 40.8(a) to be made publicly available.

Questions regarding this application should be directed to the attention of Brian J. Regan, SwapEx’s Chief Compliance Officer, at BJRegan@statestreet.com or (212)259-3025.

Sincerely,



Tomas Zikas
Chief Executive Officer

Encl. (Amendment to Form SEF, with Exhibits)

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cc: Richard A. Shilts
Nancy Markowitz
David Van Wagner
Division of Market Oversight

Justin A. McCormack
Brian J. Regan
SwapEx, LLC