

## Exhibit U

Each of the following exhibits to Form SEF is subject to a request for confidential treatment pursuant to § 145.9 of the Commission's regulations:

<b>Exhibit</b>	<b>Description</b>
A	List of control persons of MarketAxess SEF
B-2	Compensation of directors of MarketAxess SEF
D-2	Addresses for legal service of process
E	Qualifications of MarketAxess SEF's professional employees
F	Staffing requirements of MarketAxess SEF; name and qualifications of each key staff person
H	Pending non-routine legal proceedings
I-1	Statement of Financial Condition of MarketAxess SEF
I-2	Operating costs, financial resources, and sources of operational resources of MarketAxess SEF
J	Financial information of certain MarketAxess SEF affiliates
K	MarketAxess SEF fee schedule
N-1	Regulatory Services Agreement between MarketAxess SEF and the Regulatory Service Provider
N-2	MarketAxess SEF User License Agreement
N-3	MarketAxess SEF Compliance Manual
N-4	MarketAxess Holdings Inc. and MarketAxess Corporation Secondment Agreements
N-5	Agreements with Registered Entities
O-1	Regulatory Service Provider's compliance manual
O-2	MarketAxess SEF in-house compliance functions
O-3	MarketAxess SEF Policy Regarding the Listing of Swaps
O-4	MarketAxess SEF Policy Regarding Reporting to the Commodity Futures Trading Commission
P	MarketAxess SEF disciplinary and enforcement procedures; alternative dispute resolution
Q	Functional descriptions of MarketAxess SEF's RFQ and Order Book systems
Q-2	MarketAxess SEF Trading Functionality
R	MarketAxess SEF rules prohibiting specific trade practice violations
S	Discussion of how trading data will be maintained
V-1	Technology questionnaire
V-2	Exhibit V attachments