

Addendum A (Updated copy, as of August 1, 2014)

List of FERC Orders Regulating SPP:

Regional Transmission Organizations, Order No. 2000, 1996-2000 FERC Stats. & Regs., Regs. Preambles ¶ 31,089 (1999) – final order establishing requirements for Regional Transmission Organizations (“RTO”)

Regional Transmission Organizations, order on reh’g, Order No. 2000-A, 1996-2000 FERC Stats. & Regs., Regs. Preambles ¶ 31,092 (2000) – order on rehearing of Order No. 2000

Southwest Power Pool, Inc., 106 FERC ¶ 61,110 (2004) – order granting SPP’s request for RTO status subject to fulfillment of requirements

Southwest Power Pool, Inc., 109 FERC ¶ 61,009 (2004) – order accepting compliance filing and granting RTO status, subject to SPP submitting a further compliance filing and committing to file a joint operating agreement

Southwest Power Pool, Inc., 110 FERC ¶ 61,137 (2005) – order on rehearing of SPP’s RTO status and directing compliance filing

Long-Term Firm Transmission Rights in Organized Electricity Markets, Order No. 681, 2006-2007 FERC Stats. & Regs., Regs. Preambles ¶ 31,226 (2006) – final rule requiring Independent System Operators and Regional Transmission Organizations to make available long-term firm transmission rights, issued pursuant to section 1233(b) of the Energy Policy Act of 2005

Long-Term Firm Transmission Rights in Organized Electricity Markets, Order No. 681-A, 117 FERC ¶ 61,201 (2006) – order clarifying certain aspects of Order No. 681

Wholesale Competition in Regions with Organized Electric Markets, Order No. 719, III FERC Stats. & Regs., Regs. Preambles ¶ 31,281 (2008) – final rule reforming organized wholesale electric markets in the areas of (among other things) market-monitoring policies and RTO/ISO governance and responsiveness

Long-Term Firm Transmission Rights in Organized Electricity Markets, Order No. 681-B, 126 FERC ¶ 61,254 (2009) – final rule affirming and clarifying certain aspects of Order Nos. 681 and 681-A

Wholesale Competition in Regions with Organized Electric Markets, Order No. 719-A, III FERC Stats. & Regs., Regs. Preambles ¶ 31,292 (2009) – Order on rehearing and clarification of Order No. 719

Southwest Power Pool, Inc., 129 FERC ¶ 61,163 (2009) – order accepting in part and rejecting in part SPP’s compliance with the market monitoring requirements of Order No. 719 and requiring further compliance filing

Wholesale Competition in Regions with Organized Electric Markets, Order No. 719-B, 129 FERC ¶ 61,252 (2009) – Order denying rehearing and granting clarification of Order Nos. 719 and 719-A

Southwest Power Pool, Inc., 132 FERC ¶ 61,240 (2010) – order accepting in part and rejecting in part SPP’s compliance with the market monitoring requirements of Order No. 719 and requiring further compliance filing

Southwest Power Pool, Inc., 133 FERC ¶ 61,069 (2010) – order accepting SPP’s compliance with the RTO governance and responsiveness requirements of Order No. 719

Credit Reforms in Organized Wholesale Electric Markets, Order No. 741, III FERC Stats. & Regs., Regs. Preambles ¶ 31,317 (2010) – final order amending regulations to improve the risk management and the use of credit in the organized wholesale electric markets

Credit Reforms in Organized Wholesale Electric Markets, Order No. 741-A, III FERC Stats. & Regs., Regs. Preambles ¶ 31,320 (2011) – order on rehearing and clarification of Order No. 741

Credit Reforms in Organized Wholesale Electric Markets, Order No. 741-B, 135 FERC ¶ 61,242 (2011) – order denying rehearing of Order No. 741-A

Southwest Power Pool, Inc., 136 FERC ¶ 61,189 (2011) – order conditionally accepting SPP’s compliance with Order Nos. 741 and 741-A subject to a further compliance filing

Southwest Power Pool, Inc., 137 FERC ¶ 61,011 (2011) – order accepting SPP’s compliance with the market monitor reporting requirements of Order No. 719

Southwest Power Pool, Inc., 137 FERC ¶ 61,046 (2011) – order accepting SPP’s compliance with the market monitoring requirements of Order No. 719

Southwest Power Pool, Inc., 138 FERC ¶ 61,187 (2012) – order accepting SPP’s partial compliance with Order No. 741

Southwest Power Pool, Inc., 141 FERC ¶ 61,048 (2012) – order conditionally accepting tariff revisions to establish the SPP Integrated Marketplace

Southwest Power Pool, Inc., 142 FERC ¶ 61,205 (2013) – order on rehearing and clarification of SPP’s Integrated Marketplace

Southwest Power Pool, Inc., 143 FERC ¶ 61,262 (2013) – order accepting SPP’s proposal to become the counterparty to market transactions in compliance with Order No. 741

Southwest Power Pool, Inc., 144 FERC ¶ 61,224 (2013) – order accepting in part and rejecting in part SPP Integrated Marketplace subject to further compliance

Southwest Power Pool, Inc., 144 FERC ¶ 61,251 (2013) – order conditionally accepting Tariff revisions to address disclosure of information to the Commodity Futures Trading Commission without prior notice to market participants, to enhance minimum market participation criteria, and to clarify the use of Bilateral Settlement Schedules in the Integrated Marketplace, subject to a further compliance filing regarding a certain information disclosure provision

Southwest Power Pool, Inc., FERC Docket No. ER13-2091-001, Letter Order (Nov. 22, 2013) – letter order accepting revisions to section 11.2(3) of Attachment AE of the SPP Tariff to revise the phrase “from a source other than the CFTC or its staff” to state “from a source other than [FERC] or its staff or the CFTC or its staff” regarding information sharing by the Market Monitor without prior written consent

Southwest Power Pool, Inc., 146 FERC ¶ 61,050 (2014) – order conditionally accepting SPP’s November 11, 2013 Integrated Marketplace compliance filing, subject to SPP making additional revisions to its Tariff

Southwest Power Pool, Inc., FERC Docket No. ER14-1204-000, Letter Order (Mar. 14, 2014) – letter order accepting revisions to Attachment X of the SPP Tariff necessary to permit federal power marketing agencies to provide a federal power marketing agency letter as financial security in lieu of cash and letters of credit, which permits the Western Area Power Authority to participate in the Integrated Marketplace

Southwest Power Pool, Inc., FERC Docket No. ER14-1357-000, Letter Order (Apr. 11, 2014) – letter order accepting revisions to Article 5A of Attachment X of the SPP Tariff to permit the netting of credit positions associated with TCRs self-converted from ARRs during the time frame between the requested conversion and the subsequent publication of TCR Auction clearing results

Southwest Power Pool, Inc., Letter Order, Docket No. ER14-1580-000 (May 12, 2014) – letter order accepting for filing clean-up revisions to the Guaranty Agreement set forth as Appendix D to Attachment X of the Tariff, which among other things, corrected the cure period to two business days consistent with the requirement of Order No. 741 that each RTO adopt a two-day grace period for “curing” collateral calls

Sw. Power Pool, Inc., 147 FERC ¶ 61,212 (2014) – order conditionally accepting SPP’s February 26, 2014 Integrated Marketplace compliance filing, subject to SPP making additional revisions to its Tariff