

Form SDR – Reval SDR, Inc.

COMMODITY FUTURES TRADING COMMISSION

FORM SDR

SWAP DATA REPOSITORY APPLICATION OR AMENDMENT TO APPLICATION FOR REGISTRATION

Reval SDR, Inc.

Exact name of Applicant as specified in charter

420 Fifth Avenue 5th Floor, New York, NY 10018

Address of principal executive offices

- If this is an **APPLICATION** for registration, complete in full and check here.
- If this is an **APPLICATION FOR PROVISIONAL REGISTRATION**, complete in full and check here.
- If this is an **AMENDMENT** to an application, or to an effective registration (other than an annual amendment) list all items that are amended and check here and list below.
- If this is an **ANNUAL AMENDMENT** to an application, or to an effective registration (other than an annual amendment) list all items that are amended and check here and list below. The originally submitted Exhibit J has been revised and should be replaced with the attached Exhibit J – Reval SDR, Inc. Rule Book.

GENERAL INFORMATION

1. Name under which business is/will be conducted, if different than name specified above: N/A

2. If name of business is being amended, state previous business name: N/A

3. Contact information, including mailing address if different than address specified above:

420 Fifth Avenue, 5th Floor

Number and Street

New York	New York	United States	10018
City	State	Country	Zip Code
212-393-1313			212-901-9797
Main Phone Number			Fax

http://www.revalsdr.com	
Website URL	E-mail Address

4. List of principal office(s) and address(es) where swap data repositories activities are conducted

<u>Office</u>	<u>Address</u>
New York	420 Fifth Avenue 5 th Floor, New York, NY 10018

5. If Applicant is a successor to a previously registered swap data repository, please complete the following:

- a. Date of succession N/A
- b. Full name and address of predecessor registrant

Name

Number and Street

City State Country Zip Code

Phone Number	Fax Number	E-mail Address
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6. Furnish a description of the function(s) that the Applicant performs or proposes to perform:

Reval SDR, Inc. will perform the requisite functions in accordance with 17 CFR Part 43, 45 and 49 Rules regarding the SDR Duties inclusive of being a repository for the Interest Rate, FX and Commodity Asset Classes, the affirmation and as needed confirmation of all trades reported, processes and procedures to

insure data accuracy, provide real-time reporting of the requisite trade data per the Part 43 Rules and provide the needed access to the repository data to Prudential Regulators.

Please indicate which asset class(es) the Applicant intends to serve:

- Interest Rate
- Equity
- Credit
- Foreign Exchange
- Commodity (Specify) Environmental, Energy, Metals, and Agriculture
- Other (Specify) _____

BUSINESS ORGANIZATION

7. Applicant is a:

- Corporation
- Partnership
- Limited Liability Company
- Other (Specify) _____

8. Date of formation: January 24th, 2012

9. Jurisdiction of organization: Delaware

List all other jurisdictions in which Applicant is qualified to do business (including non-US jurisdictions):

New York

10. List all other regulatory licenses or registrations of Applicant (or exemptions from any licensing requirement) including with non-US regulators:

N/A

11. Fiscal Year End: December

12. Applicant agrees and consents that the notice of any proceeding before the Commission in connection with its application may be given by sending such notice by certified mail to the person named below at the address given.

Patrick Trozzo, President Reval SDR, Inc.
Print Name and Title

420 Fifth Avenue, 5th Floor
Number and Street

<u>New York</u>	<u>NY</u>	<u>10018</u>
City	State	Zip Code

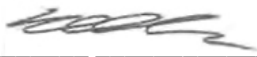
<u>212-901-7924</u>	<u>212-901-9797</u>	<u>patrick.trozzo@reval.com</u>
Phone Number	Fax Number	E-mail Address

SIGNATURES

13. The Applicant had duly caused this application or amendment to be signed on its behalf by the undersigned, hereunto duly authorized, this 22nd day of March, 2012. The Applicant and the undersigned represent hereby that all information contained herein is true, current and complete. It is understood that all required items and Exhibits are considered integral parts of this form and that the submission of any amendment represents that all unamended items and Exhibits remain true, current, and complete as previously filed.

Reval SDR, Inc.

Name of Applicant

By: 
Manual Signature of Authorized Person

Jiro Okochi, Chief Executive Officer
Print Name and Title of Signatory)