

**INFX SDR, INC.**  
**BOARD OF DIRECTORS**  
**COMMITTEES**  
**MISSION STATEMENTS**

**(1) Regulatory Oversight Committee.**

The Regulatory Oversight Committee is committed to efficiently overseeing the cost-effective and workable compliance initiatives within the corporation while also bringing to bear an Independent Perspective on regulatory oversight within the corporation. The Committee shall foster a culture of compliance and cooperative relationship with applicable regulators. Specifically, the Regulatory Oversight Committee shall assist the corporation and its Chief Compliance Officer and compliance department in fulfilling their oversight responsibility with respect to the regulatory compliance of the firm including, without limitation, the system of internal controls, the compliance audit process, recordkeeping and the annual compliance report to the CFTC.

**(2) Nominating Committee.**

The Nominating Committee is charged with assisting the Board by identifying, investigating and recommending to the Board those individuals who are qualified to become directors on the Board of Directors who are consistent with the corporation's vision and mission.

In addition to individual Director personal traits there are certain competencies that should be collectively represented by the Directors on the Nominating Committee. These competencies should be represented on the Committee as a whole, but each Nominating Committee member need not necessarily possess all of them. The competencies include:

1. Ability to discern candidate qualifications – One or more members of the Nominating Committee should demonstrate an ability to select and assess director nominees based on qualifications set forth by regulatory agencies.
2. Vision – One or more members of the Nominating Committee should be capable of bringing innovative thinking to bear when discussing board planning strategy. Any director(s) with this competency should also be capable of partnering with management to develop a vision for the future of the corporation and its Board leadership.
3. Strategic perspective – One or more members of the Nominating Committees should demonstrate an ability to develop recommendations and evaluate director recommendations from a multi-functional perspective. Any Director(s) with this competency should also be capable of translating corporate vision into thoughts on a long-term, executable strategic and operating plan for Board membership.
4. Industry knowledge – One or more members of the Nominating Committee should be familiar with historical and emerging trends in the capital markets, derivatives and technology industries. They should have a strong understanding of regulatory requirements as well as key characteristics, traits and leadership skills required for Directors.