

COMMODITY FUTURES TRADING COMMISSION

FORM SEF

SWAP EXECUTION FACILITY
APPLICATION OR AMENDMENT TO APPLICATION FOR REGISTRATION

COVER SHEET

GTX SEF, LLC

Exact name of Applicant as specified in charter

135 ROUTE 202/206, BEDMINSTER, NJ 07921

Address of principal executive offices

- If this is an **APPLICATION** for registration, complete in full and check here.
- If this is an **AMENDMENT** to an application, or to an existing order of registration, list all items that are amended and check here.

GENERAL INFORMATION

1. Name under which the business of the swap execution facility is or will be conducted, if different than name specified above (include acronyms, if any):

2. If name of swap execution facility is being amended, state previous swap execution facility name:

3. Contact information, including mailing address if different than address specified above:

Number and Street			
City	State	Country	Zip Code
855-762-8277	908-731-0701		
Main Phone Number	Fax		
www.gaingtx.com	clientservices@gaingtx.com		
Website URL	E-mail Address		

4. List of principal office(s) and address(es) where swap execution facility activities are/will be conducted:

Office

Bedminster, NJ

Address

135 Route 202/206, Bedminster, NJ

5. If the Applicant is a successor to a previously registered swap execution facility, please complete the following:

a. Date of succession

b. Full name and address of predecessor registrant

Name

Number and Street

City

State

Country

Zip Code

Main Phone Number

Website URL

BUSINESS ORGANIZATION

6. Applicant is a:

Corporation

Partnership

Limited Liability Company

Other form of organization (specify) _____

7. Date of incorporation or formation: SEPTEMBER 13, 2013

8. State of incorporation or jurisdiction of organization: DELAWARE, USA

9. The Applicant agrees and consents that the notice of any proceeding before the Commission in connection with this application may be given by sending such notice by certified mail to the person named below at the address given.

ALEXANDER BOBINSKI, CHIEF COMPLIANCE OFFICER
Print Name and Title

GTX SEF, LLC
Name of Applicant

135 ROUTE 202/206
Number and Street

BEDMINSTER NJ 07921
City State Zip Code

SIGNATURES

10. The Applicant has duly caused this application or amendment to be signed on its behalf by the undersigned, hereunto duly authorized, this 25TH day of September, 2013. The Applicant and the undersigned represent hereby that all information contained herein is true, current, and complete. It is understood that all required items and Exhibits are considered integral parts of this Form SEP and that the submission of any amendment represents that all unamended items and Exhibits remain true, current, and complete as previously filed.

GTX SEP, LLC
Name of Applicant


Signature of Duly Authorized Person

JOSEPH WALD, CHIEF EXECUTIVE OFFICER
Print Name and Title of Signatory