

Directors

The following list details the information requested on Form SEF for the Applicant's directors.

1. Peter T. Grauer

Title: Director

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Narrative: Peter T. Grauer is Chairman of Bloomberg Inc., the parent company of Bloomberg L.P. He has been a member of the Bloomberg Board of Directors since October 1996 and was named Chairman of the Board in March 2001 succeeding Michael R. Bloomberg. Since 2013, Mr. Grauer has served on the boards of Bloomberg STP LLC and BSDR LLC, wholly-owned subsidiaries of Bloomberg L.P. Mr. Grauer joined Bloomberg full time as Chairman, President and CEO in March 2002. Prior to this, he was a Managing Director of Donaldson, Lufkin & Jenrette from 1992 to 2000 when DLJ was acquired by Credit Suisse First Boston. He served as a Managing Director and Senior Partner of CSFB Private Equity until March 2002. Mr. Grauer is a founder of DLJ Merchant Banking Partners and DLJ Investment Partners. Mr. Grauer graduated from the University of North Carolina in 1968 and the Harvard University Graduate School of Business, Program for Management Development in 1975. Mr. Grauer serves as lead director of Davita, Inc. (NYSE: DVA), a healthcare services company based in California, and has been on the board of directors of over twenty-five public and private companies. He is a member of the Business Council and serves on its Executive Committee. Mr. Grauer is also a member of the International Business Council of the World Economic Forum and serves on its Media, Entertainment and Information Industry Board of Governors.

No disqualifiers: Bloomberg SEF LLC is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (6) in Exhibit B of Form SEF for any of its directors or officers.

2. Domenic Maida

Title: Director

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Narrative: Domenic Maida is the head of Bloomberg's Global Data group, which gathers, analyzes, and curates timely, comprehensive and high-quality datasets that are foundational to the analytics and tools Bloomberg provides through its products, including the Bloomberg Terminal. In this role, Mr. Maida oversees the areas responsible for acquiring data and managing relationships with more than 350 exchanges, regulators, and trading venues, as well as Bloomberg's industry research products. Mr. Maida started his career at Bloomberg as a software engineer in 1995, later led the software infrastructure and applications teams and then became the global manager of Research and Development. From 2008 to 2012 he held the position of Global Head of Bloomberg's Terminal business, with responsibility for sales, customer support and product development. He graduated from Johns Hopkins University, where he earned a Bachelor of Science degree in Mechanical and Electrical Engineering.

No disqualifiers: Bloomberg SEF LLC is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (6) in Exhibit B of Form SEF for any of its directors or officers.

3. Jean-Paul Zammitt

Title: Director

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Narrative: Jean-Paul Zammitt has served as the Head of Core Terminal and Chief Operating Officer of Core Terminal for Bloomberg L.P. since 2013. Since 2013, Mr. Zammitt has served on the boards of Bloomberg STP LLC and BSDR LLC, wholly-owned subsidiaries of Bloomberg L.P. From 2008 to 2012, Mr. Zammitt served as the Global Head of Core Product Development & Strategy for Bloomberg L.P. Mr. Zammitt has worked for Bloomberg since 1998 and currently oversees the global development of financial products and services across all asset classes on the BLOOMBERG PROFESSIONAL service, including Bloomberg's Launchpad 2010 and Bloomberg's credit analysis tool. Previously Mr. Zammitt served as head of sales for the BLOOMBERG PROFESSIONAL service in Europe, the Middle East and Africa. Prior to joining Bloomberg, Mr. Zammitt worked as a fixed-income broker at a number of different firms. Mr. Zammitt holds a Bachelor of Science degree in Industrial & Business Economics from the London School of Economics.

No disqualifiers: Bloomberg SEF LLC is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (6) in Exhibit B of Form SEF for any of its directors or officers.

4. Arthur Levitt, Jr.

Title: Director

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Committee Appointments: Regulatory Oversight Committee

Narrative: Arthur Levitt, Jr. is a Senior Advisor to The Carlyle Group where he advises management on strategic business matters. Mr. Levitt is also a Senior Advisor to Goldman Sachs & Co. and serves on the Firmwide Suitability Committee and the Investment Management Division's Client Business Standards Committee. Mr. Levitt is a Bloomberg Board Member, an Advisory Board Member of GETCO, a Board Member for Motif and a Senior Advisor for Promontory Financial Group. Mr. Levitt also serves on the boards of Bloomberg STP LLC and BSDR LLC. Mr. Levitt is a member of the American Council of Arts and Science and a member of the Foreign Policy Association. Mr. Levitt was the 25th Chairman of the U.S. Securities and Exchange Committee ("SEC"). First appointed by President Clinton in July 1993, the President reappointed Chairman Levitt to a second five-year term in May 1998. In September 1999, he became the longest-serving Chairman of the Commission. He left the Commission in February 2001. Before joining the Commission, Mr. Levitt owned Roll Call, a newspaper that covers Capitol Hill. From 1989 to 1993, he served as the Chairman of the New York City Economic Development Corporation, and from 1978 to 1989, he was the Chairman of the American Stock Exchange. Prior to joining the American Stock Exchange, Mr. Levitt worked for 16 years on Wall Street.

No disqualifiers: Bloomberg SEF LLC is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (6) in Exhibit B of Form SEF for any of its directors or officers.

5. Frank Savage

Title: Director

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Committee Appointments: Regulatory Oversight Committee

Narrative: Frank Savage has been Chief Executive Officer of Savage Holdings LLC, a global financial services company focusing on private equity and investment advisory services, since 2001 and currently serves as the company's Chairman. In addition, Mr. Savage serves on the board of Bloomberg Inc. Prior to becoming CEO of Savage Holdings LLC, Mr. Savage served as Chairman of Alliance Capital Management International from 1993 to 2001. Mr. Savage also served as Senior Vice President of the Equitable Life Assurance Society of the United States from 1987 to 1996 and Vice Chairman of the Board of Equitable Capital Management Corporation from 1986 to 1992. Mr. Savage is a Trustee Emeritus of Johns Hopkins University and Chairman Emeritus of Howard University. Currently Mr. Savage serves on the Board of Directors of Bloomberg L.P. He previously served on the Board of Directors of Enron Corporation, Alliance Capital Management L.P., Qualcomm Inc. and Lockheed Martin Corporation. Mr. Savage earned a B.A. degree from Howard University and an M.A. degree from Johns Hopkins University's Paul H. Nitze School of Advanced International Studies.

No disqualifiers: Bloomberg SEF LLC is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (6) in Exhibit B of Form SEF for any of its directors or officers.

Officers

The following list details the information requested on Form SEF for the Applicant's officers.

1. Benjamin Peter Macdonald

Title: President

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Area of Responsibility: Responsible for overall product strategy and delivery of the Applicant's swap execution facility service.

Narrative: Mr. Macdonald serves as the Head of Core Product for Bloomberg L.P. Since 2013, Mr. Macdonald has served as President of Bloomberg STP LLC and BSDR LLC. From 2010 to 2012, Mr. Macdonald served as Global Head of Fixed Income for Bloomberg. Mr. Macdonald was the Head of Fixed-Income Electronic Trading Product Development for Bloomberg from 2008 to 2010, overseeing Bloomberg's Order Management Systems and Fixed-Income Electronic Trading Systems globally, including product strategy and development, as well as sales and support services. Prior to joining Bloomberg, Mr. Macdonald served as Vice President of Credit Default Swap Operations at Goldman Sachs & Co from 2004 to 2006. Mr. Macdonald worked in the Interest Rate Derivatives Exotics Middle Office at JPMorgan Chase & Co from 1998 to 2004.

No disqualifiers: Bloomberg SEF LLC is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (6) in Exhibit B of Form SEF for any of its directors or officers.

2. George Edward Harrington

Title: Vice President

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Area of Responsibility: Responsible for product development, strategy and delivery of the Applicant's swap execution facility service.

Narrative: Mr. Harrington has served as the Global Head of Fixed-Income Trading for Bloomberg L.P. since 2011. Since 2013, Mr. Harrington has served as Vice President of Bloomberg STP LLC and BSDR LLC. Previously Mr. Harrington worked as a Fixed-Income and Derivatives Manager for Bloomberg from 2010 to 2011 and as a Fixed-Income Credit Derivatives Trading Manager for Bloomberg from 2007 to 2010. Prior to joining Bloomberg, Mr. Harrington was a Credit Default Swaps Business Manager at Tradeweb LLC from 2003 to 2007.

No disqualifiers: Bloomberg SEF LLC is not aware of the existence of any disqualifying issues raised in subsections (h)(1) through (6) in Exhibit B of Form SEF for any of its directors or officers.

3. Gregory James Dumark

Title: Chief Compliance Officer

Date of commencement: May 30, 2013

Position held since: May 30, 2013

Area of Responsibility: Responsible for regulatory oversight of Applicant's swap execution facility.

Narrative: Mr. Dumark has served as a Compliance Officer for Bloomberg L.P. since 2010. Since 2013, Mr. Dumark has served as Chief Compliance Officer of Bloomberg STP LLC. Prior to joining Bloomberg, he was a Director at Citigroup from 2004 to 2010 and was responsible for regulatory oversight for Citigroup's Institutional Client Group, Global Transaction Services and Prime Brokerage Business.