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<th>Futures Commission Merchant / Retail Foreign Exchange Dealer</th>
<th>Registered As</th>
<th>DGID</th>
<th>As of Date</th>
<th>Adjusted Net Capital</th>
<th>Net Capital Requirement</th>
<th>Excess Net Capital</th>
<th>Customers’ Seg</th>
<th>Customers’ Seg Required</th>
<th>Excess/Deficit Funds in Seg</th>
<th>Target Residential Interest in Seg</th>
<th>Funds in Separate Section 30.7 Accounts</th>
<th>Customer Amount Cleared in Section 30.7 Accounts</th>
<th>Target Residential Interest in Separate Cleared Seg Accounts</th>
<th>Excess/Deficit Funds in Separate Cleared Seg Accounts</th>
<th>Target Residential Interest in Cleared Seg Accounts</th>
<th>Total Amount of Retail Forex Obligation</th>
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SELECTED FCM FINANCIAL DATA AS OF September 30, 2018 FROM REPORTS FILED BY October 24, 2018

(a): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(b): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(c): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(d): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States.

(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are clearing through a derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are otherwise clearing through an intermediate clearing organization.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers in compliance with Part 30 of the Commodity Exchange Act.

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(s): Excess net capital is adjusted net capital, less the firm's net capital requirement.

(t): This represents the total amount of cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

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(v): This represents the minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.

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