<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>GH FINANCIALS LLC</td>
<td>FCM</td>
<td>09/30/2018</td>
<td>1,500,000</td>
<td>64,240,296</td>
<td>24,424,269</td>
<td>0</td>
<td></td>
<td>461,391</td>
<td>2,000,000</td>
<td>144,072,070</td>
<td>91,858,706</td>
<td>50,395,384</td>
<td>14,527,280</td>
<td>4,094,079</td>
<td>2,000,000</td>
<td>0</td>
</tr>
<tr>
<td>COMMERCE BUSINESS LLC</td>
<td>FCM</td>
<td>09/30/2018</td>
<td>739,017,751</td>
<td>1,182,862,157</td>
<td>444,091,406</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DE LORENZO CAPITAL CORPORATION</td>
<td>FCM</td>
<td>09/30/2018</td>
<td>26,807,554</td>
<td>2,522,334</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>EFL FUTURES LIMITED</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>35,000,000</td>
<td>327,413,807</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RBC CAPITAL MARKETS LLC</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>660,434,673</td>
<td>468,214,379</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HSBC SECURITIES USA INC</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>308,000,000</td>
<td>245,142,057</td>
<td>62,857,943</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WELLS FARGO SECURITIES LLC</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>59,193,238</td>
<td>5,277,892</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E D &amp; F MAN CAPITAL MARKETS INC</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>3,800,000</td>
<td>13,538,451,772</td>
<td>14,217,601</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>UBS FINANCIAL SERVICES INC</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>5,277,892</td>
<td>3,716,562</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OANDA CORPORATION</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>10,461,421,954</td>
<td>3,758,287,212</td>
<td>6,703,134,742</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BOCI COMMODITIES &amp; FUTURES USA LLC</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>10,000,000</td>
<td>14,386,872</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E &amp; N CORPORATION</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>307,414,139</td>
<td>3,354,215,305</td>
<td>3,046,801,166</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E &amp; N CORPORATION</td>
<td>FCM</td>
<td>08/31/2018</td>
<td>3,354,215,305</td>
<td>3,354,215,305</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
SELECTED FCM FINANCIAL DATA AS OF August 31, 2018 FROM REPORTS FILED BY September 27, 2018

- This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

- This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

- This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities, and property held in a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.

- Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

- This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

- Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

- This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

- This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

- This represents the total amount of money, securities, and property held in secured accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

- This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

- This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are customers of a derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

- Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

- FCM/RFED minimum dollar amount ($1,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- FCM minimum dollar amount ($20,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- Excess/Deficient funds in secured accounts is customer assets held, less the customer segregation requirement.

- A firm’s net capital requirement is the greater of:

- FCM/RFED minimum dollar amount ($1,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- FCM minimum dollar amount ($20,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- Minimum dollar amount for FCM’s offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM’s or RFED’s total retail forex obligation in excess of $10,000,000.

- DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs... the DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

- A firm’s net capital requirement is the greater of:

- Minimum dollar amount for FCM’s offering or engaged in retail forex transactions and RFEDs ($20,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- Minimum dollar amount for FCM’s offering or engaged in retail forex transactions and RFEDs ($20,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs... the DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

- A firm’s net capital requirement is the greater of:

- Minimum dollar amount for FCM’s offering or engaged in retail forex transactions and RFEDs ($20,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- Minimum dollar amount for FCM’s offering or engaged in retail forex transactions and RFEDs ($20,000,000); or

- Risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or

- The amount of capital required by a registered futures association (currently NFA is the only such association); or

- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or

- DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs... the DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.