From: Gyanwati Maurya <gyanwatimaurya@yahoo.co.in>
Sent: Thursday, December 9, 2010 5:52 AM
To: CapMargin <CapMargin@CFTC.gov>
Subject: Fw: 17 CFR Parts 39 and 140 RIN 3038-AC98,3038-AD02 for refund amound noted form 30
Attach: Gyanwati CFTC 15 001.zip; Speedpost CFTC.jpg

Dear sir
All documents are submitted for n/a please.
With Thanks&warmregard
Gyanwati Maurya
Govt.Hospital Shamli 247776
Muzaffarnagar (U.P) INDIA
Mob- 91+9458823515
--- On **Sat, 4/12/10, Gyanwati Maurya** <***gyanwatimaurya@yahoo.co.in***> wrote:

From: Gyanwati Maurya <gyanwatimaurya@yahoo.co.in>
Subject: 17 CFR Parts 39 and 140 RIN 3038-AC98,3038-AD02 for refund amound noted form 30
To: DCOSIDCOfinres@cftc.gov
Date: Saturday, 4 December, 2010, 4:54 PM

Dear Sir,
David A.Stawick
Secretary of the Commision
CFTC Washington
Please get my attachment I.D NO-ingya0310601, ingya0310602 of admistrater swisscash all documents attached of swaps total refund amount in noted form 30 . I request you please refund my amount from smf 1948.com of Michael Mansfield.

Michael Mansfield, Chief Financial Officer
SWISS MUTUAL FUND (1948)
280 Madison Avenue, 912-9th Floor,
New York, NY10016, U.S.A
P.O. Box 2342, Roseau,
The Commonwealth of Dominica
Phone: +1 212 3865570
Fax : +1 212 3865573
E-mail: swiss1948@mail.com
http://smf1948.com http://swiss1948.org http://swiss1948.us

I have submitted all documents in mail and e-mail adress for refund said amount .
With Thanks and warmregard
Your Sincerely
Gyanwati Maurya
Govt.Hospital Shamli 247776
Muzaffarnagar (U.P) INDIA

--- On **Tue, 29/6/10, Enforcement** <***enforcement@CFTC.gov***> wrote:

From: Enforcement <enforcement@CFTC.gov>
Subject: RE: SEC Response - File HO::~00007977~::HO [ref:00D3JxQy.50037iUws:ref]
To: "'Gyanwati Maurya'" <gyanwatimaurya@yahoo.co.in>
Date: Tuesday, 29 June, 2010, 2:57 AM

Thank you for your e-mail to the Division of Enforcement at the Commodity Futures Trading Commission (CFTC). The Commission relies upon individuals such as yourself, who have information which may be of assistance to us in our efforts to protect the public interest.

Any further inquiry conducted by the Commission as a result of the information you have provided is confidential; the fact that the Commission may investigate a firm or person generally will not be disclosed until such time as a public proceeding is actually brought either before the Commission or in the federal court.

We appreciate that you took the time to contact us. In the event that any further information is required regarding this matter, we will be in contact with you.

Sincerely,

Division of Enforcement

CFTC

From: Gyanwati Maurya [mailto:gyanwatimaurya@yahoo.co.in]
Sent: Monday, June 28, 2010 8:32 AM
To: Enforcement
Cc: questions; newyork@sec.gov
Subject: Fw: SEC Response - File HO::~00007977~::HO [ref:00D3JxQy.50037iUws:ref]

Dear Sir,

According to suggestion of **Steven G. Johnston**
Special Counsel SEC. I have been submitted all investment & refund documents,you & Administrator Swiss Cash. I hope you are also help me to refund of investment money USD 17500. I am highly Obliged for your early ection against to Swiss Cash Company for non refund of Swiss Mutual Fund money .

With Thanks & warms regards

Gyanwati Maurya

Govt. Hospital Shamli Muzaffarnagar (U.P)

247776 India Mob-
9458823515 Phone 01398252363

--- On **Mon, 7/12/09, "Help" <help@sec.gov>** ***<help@sec.gov>*** wrote:

From: "Help" <help@sec.gov> <help@sec.gov>
Subject: SEC Response - File HO::~00007977~::HO [ref:00D3JxQy.50037iUws:ref]
To: "gyanwatimaurya@yahoo.co.in" <gyanwatimaurya@yahoo.co.in>
Date: Monday, 7 December, 2009, 7:46 PM

Dear Mrs. Maurya:

Thank you for forwarding your additional information regarding the company Swiss Mutual Fund. I have included the information in your file and forwarded it to the appropriate division. As you have been told before, the SECs Office of Investor Education and Advocacy (OIEA) processes many complaints received from individual investors and others. We keep records of the correspondence we receive in a searchable database that SEC staff may make use of in inspections, examinations, and investigations. In addition, some correspondence received by OIEA is referred directly to other SEC offices and divisions for their review.

As you have been told before, the SEC conducts its investigations on a confidential and nonpublic basis and neither confirms nor denies the existence of an investigation unless the SEC brings charges against someone involved. We do this to protect the integrity and effectiveness of our investigative process and to preserve the privacy of the individuals and entities involved.

As a result, we will not be able to confirm whether an investigation exists or provide you with any future updates on the status of your complaint or of any pending SEC investigation. You may wish to check our website, www.sec.gov, for information about pending SEC civil actions, administrative cases, and other matters. Please do note that if an investigation or other Commission action is initiated, it is possible you will be contacted by SEC staff for additional information.

Ive attached a flyer that describes our policy as it will apply to your complaint. Please dont hesitate to contact me if you have any other questions.

Sincerely,

Bryan Burke
Investor Assistance Specialist

Office of Investor Education and Advocacy
U.S. Securities and Exchange Commission
(800) 732-0330
www.sec.gov

Sincerely,

Bryan Burke
Investor Assistance Specialist
Office of Investor Education and Advocacy
U.S. Securities and Exchange Commission
(800) 732-0330
www.sec.gov

File Attachment:
Correspondent Name: Mrs. Gyanwati Maurya
Create Date: 12/5/2009
Origin: Email
File #: HO::~00007977~::HO
Description:
SEC Response - File HO1335999
Dear Sir,

The folling attached e-mail which we are Correspondence the company swiss mutual fund 1948 Micheal Meansfield but till now date 5/12/2009 no refund on my investment USD11500. USD11500. I request you please take action againest Micheal Meansfield.

With warms regards
Your sincerely
Gyanwati Maurya
Govt. Hospital Shamli
Muzaffarnagar (U.P)
Pin code-247776 India
Mob +919412213183
Phone-01398-252363

Correspondence file attached:-
Sir,
According to suggestion of Rackspace chat Mr. Dan C to contact Michael Mansfield, Chief Financial Officer the refund (ROI) & submit the subject but no response deposite browse to deposite or transfer the amount USD11500 iforex account no-659107 atonce. I several times contact you in this matter.
I am submitted this letter to refund (ROI) approximately roughly idea the amount send to my iforex account at once. noted below:- All documents attached on browse .Please get & inform me further action .
My old id no- ingya0310601& ingya0310602 investment amount USD1000each code Plan SIP25 date - 8Aug2007certificate no-251799938 & 251799986 was submitted to SWISS MUTUAL FUND (1948)Company . I am submitted the deposited attached (browse) of iforex account no-659107 .Account name- gyanwatimaurya@yahoo.co.in refund amount USD11500 Adpost Ad174017Cw & Adpost id 83706 .Kindly send my (ROI) in my iforex

account at once . Adpost Ad175700Cw ,Date 7/11/2009.

With Thanks & warms regards
Gyanwati Maruya
Govt.Hospital Shamli Muzaffarnagar
(U.P) India Pin code247776 India
Phone-01398-252363
Mob-9412213183
Contact e-mail- gyanwatimaurya@yahoo.co.in

COMPANY ADDRESS)

Michael Mansfield, Chief Financial Officer
SWISS MUTUAL FUND (1948)
280 Madison Avenue, 912-9th Floor,
New York, NY10016, U.S.A
P.O. Box 2342, Roseau,
The Commonwealth of Dominica
Phone: +1 212 3865570
Fax : +1 212 3865573
E-mail: swiss1948@mail.com
http://smf1948.com http://swiss1948.org http://swiss1948.us
Correspondence Mail through Adpost http://www.adpost.com/in

E-mail:scsvc1@cssupport.net
E-mail:support@swiss1948.org
E-mail:sales@rackspace.com
E-mail:accounts@readnotify.com
E-mail:accounting@iforex.com

E-mail: swiss1948@mail.com

E-mail:admin1948@gmail.com

--- On Wed, 29/7/09, SEC Help <help@sec.gov> wrote:

From: SEC Help <help@sec.gov>
Subject: SEC Response - File HO1335999
To: "Maurya, Gyanwati Maurya" <gyanwatimaurya@yahoo.co.in>
Date: Wednesday, 29 July, 2009, 5:50 PM

Dear Mrs. Maurya:

We are taking your complaint very seriously, and have referred it to the appropriate people within the SEC. I apologize for the delay in responding.

Please understand that the SEC conducts its investigations on a confidential basis and neither confirms nor denies the existence of an investigation unless we bring charges against someone involved. We do this to protect the integrity and effectiveness of our investigative process and

to preserve the privacy of the individuals and entities involved. As a result, we will not be able to provide you with any future updates on the status of your complaint or of any pending SEC investigation.

Below is a flyer that describes our policy as it will apply to your complaint. Please contact me if you have other questions.

Sincerely,

JOHN H. MCCREERY
Office of Investor Education and Advocacy
U.S. Securities and Exchange Commission
(202)551-6311

INFORMATION ABOUT SEC INVESTIGATIONS

Each year, thousands of investors ask the Securities and Exchange Commission to investigate the activities of other investors, financial professionals, corporations, brokerage firms, investment companies, stock exchanges, and others. These complaints generally suggest some impropriety or misconduct and sometimes make a plea to the SEC for direct assistance in resolving a grievance.

The SEC has the authority to investigate whether violations of the federal securities laws have occurred, and we make every effort to evaluate promptly and thoroughly the information provided by investors. But we cannot investigate every investor complaint. While many investor complaints do lead to full investigations and, if appropriate, to enforcement actions, we we cannot guarantee that our review will lead to further investigation or that the SEC will take any legal action.

We also cannot provide you with updates on the status of your complaint or your request for an investigation. The SEC conducts investigations confidentially for two main reasons. First, we can conduct investigations more effectively if they are not announced publicly. For instance, important documents and evidence can be destroyed quickly if people hear of an investigation. Second, we keep our investigations confidential to protect the reputations of companies and individuals if we find no wrongdoing or decide we cannot bring a successful action against them. The SEC will not confirm or deny the existence of an investigation unless, and until, it becomes a matter of public record as the result of a court action or administrative proceeding.

When there is proof that someone has violated the securities laws, the sanctions may include financial penalties, orders to surrender profits, cease and desist orders, or an injunction by a court to prevent further violations. The SEC may also bar individuals from working for a securities firm, investment adviser, or investment company. We can also ask a federal court to bar individuals from being officers and directors of publicly held companies. In some situations, we may refer a case to the Department of Justice for possible criminal prosecution.

The SEC publishes news releases about its lawsuits and administrative actions, and the news media often report on them. You can read and download the SECs Enforcement Actions on

our website at www.sec.gov/divisions/enforce/enforceactions.shtml. Or you can obtain hard copies by reviewing How to Request Public Documents at:
http://www.sec.gov/answers/publicdocs.htm

Or

Submit a written request to:
U.S. Securities and Exchange Commission
Office of Investor Education and Advocacy
100 F Street N.E.
Washington, DC 20549-021
Fax: (202) 772-9295
Phone: (202) 551-8090

QUESTION

Submitted: 2009-05-30

INVESTOR INFORMATION
Name: Mrs. Gyanwati Maurya Maurya
Day Phone: +91-9412213183
Email: gyanwatimaurya@yahoo.co.in

QUESTION

The account will Expire soonFriday, 3 August, 2007 5:34 AM
From SwissCash Support Fri Aug 3 05:34:49 2007
Return-Path: <scsvc2@scsupport.net>
Authentication-Results: mta139.mail.in2.yahoo.com from=scsupport.net; domainkeys=neutral (no sig)
Received: from 205.178.146.62 (EHLO omr12.networksolutionsemail.com) (205.178.146.62) by mta139.mail.in2.yahoo.com with SMTP; Fri, 03 Aug 2007 05:34:54 +0530
Received: from mail.networksolutionsemail.com (ns-omr12.mgt.hosting.dc2.netsol.com [10.49.6.75]) by omr12.networksolutionsemail.com (8.13.6/8.13.6) with SMTP id l7304n8k010262 for <gyanwatimaurya@yahoo.co.in>; Thu, 2 Aug 2007 20:04:49 -0400
Message-Id: <200708030004.l7304n8k010262@omr12.networksolutionsemail.com>
Received: (qmail 8078 invoked by uid 78); 3 Aug 2007 00:04:49 -0000
Received: from unknown (HELO w2k3-web5) (scsvc2@scsupport.net@205.178.152.5) by 10.49.36.75 with SMTP; 3 Aug 2007 00:04:49 -0000
mime-version: 1.0
from: "SwissCash Support" <scsvc2@scsupport.net>
to: gyanwatimaurya@yahoo.co.in
date: 2 Aug 2007 20:04:49 -0400
subject: =?utf-8?B?VGhlIGFjY291bnQgd2lsbCBFeHBpcmUgc29vbg==?=
content-type: text/html; charset=utf-8

content-transfer-encoding: base64
Content-Length: 200

Dear ingya0310601.

Please activate your swisscash account. You have 3 days remaining before your trading account account expires.

Thank You
ADDRESS COMPANY

SWISS MUTUAL FUND (1948)
280 Madison Avenue, 912-9th Floor,
New York, NY10016, U.S.A
P.O. Box 2342, Roseau,
The Commonwealth of Dominica
Phone: +1 212 3865570
Fax : +1 212 3865573
E-mail: swiss1948@mail.com

http://smf1948.com http://swiss1948.org http://swiss1948.us

Ic3Complaint Id: I0806180800537372 & Complaint Id: I0806180808435112
Sir,
My old id no- ingya0310601& ingya0310602 investment amount USD1000each Plan SIP25 certificate no-251799938 & 251799986 date 8Aug2007 Time 11:47:22AM & 11:47:04 AM was submitted to Swisscash Company Michael Mansfield several times to send my monthly return (ROI) but no response. I am feeling it fake website & investment of Swisscash Michael Mansfield . Please help me. My Contact mobile no-+91-9412213183 Phone no-01398252363India

The INTERNET now has a personality. YOURS! See your Yahoo! Homepage.
http://in.yahoo.com/

ref:00D3JxQy.50037iUws:ref

YAHOO! MAIL INDIA Classic

Welcome to SwissCash!

Wednesday, 25 July, 2007 3:18 PM

From: "SwissCash Support" <scsvc1@scsupport.net>
To: gyanwatimaurya@yahoo.co.in

Dear ingya0310602,

Thank you for signing up as a SwissCash's investor.
You are now entitled to login to SwissCash Global Online Investment Platform and may proceed to activate your trading account.

.: TRADING ACCOUNT INFORMATION :.

Trading ID : ingya0310602

Referral Trading ID : inram0310501

Applicant Residential Country : India

.: PERSONAL INFORMATION :.

Account Holder Name : Gyanwati Maurya

NIC/Passport Number : ATVPK3106B

Date Of Birth : 9/19/1951

Gender : F

Email : gyanwatimaurya@yahoo.co.in

Mobile Phone Number : +919412213183

.: PASSWORD :.

Password : 166201

Please login to SwissCash. Your Global Online Investment Platform, step yourself into the gateway of financial freedom!

----- THIS IS AN AUTO GENERATED EMAIL. PLEASE DO NOT REPLY -----

Best Regards,

The Administrator □SwissCash
7/25/2007 9:49:28 AM

Gyanwati

HDFC BANK

PAYABLE AT PAR THROUGH CLEARING/TRANSFER AT ALL BRANCHES OF HDFC BANK LTD

Weekly Holiday on SUNDAY

Date: 30-11-2010

Account payee only

PAY The Commodity Futures Trading Commission to OR BEARER

RUPEES $ One Hundred Twentyfive Only

Rs. $125.00

(NewAccount)

A/c No.	19131000000799

SB A/C

HDFC BANK LTD.
150 C.B. GUPTA COLONY,MEERUT - KARNAL ROAD
SHAMLI,SHAMLI-247776,UTTAR PRADESH
RTGS / NEFT IFSC : HDFC0001913
SEC 241209

RMaurya

RAM KARAN MAURYA / GYANWATI MAURYA

Seshasai eforms (P) Ltd. Chennai (18-09)

⑈001234⑈ 247240302⑆ 000124⑈ 31

Dear Sir,

I Ramkaran Maurya application sending. My own and my family's matter of payment from Swiss Mutual Fund (1948) details of certificates are as under.

Name	Address	ID NO	Certificate No
1. Ramkaran Maurya	Govt. Hospital SHAMLI 247776 Distt.muzaffarnagar U.P India	inram0310501	251667146
2. Gyanwati Maurya	(")	ingya0310601	251799938
		ingya0310602	251799986
3.Himanshu Maurya	(")	inhim0204901	251853944
		inhim0204902	251859077
4.Richa Maurya	(")	inric0188101	251833847

Photocopy of said Certificates are attached . With the application form .

I pay the filing fee by the cheque no- 001234 date- 30-11-2010 worth amount $ 125.00 as per instruction given by you . (HDFC Bank)

Thanking you

Your's

Rmaurya

Ramkaran Maurya
(applicant)

Yahoo! My Yahoo! Mail More

YAHOO! MAIL INDIA Classic

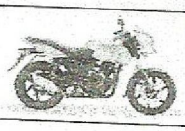
Get your own website!

Hi, Ram Karan Sign Out All-New Mail Help

Search WEB SEARCH

BAJAJ

PULSAR 150 AND 220 NOW AVAILABLE IN METALLIC ORANGE



pulsar

Mail Contacts Calendar Notepad

Check Mail New Mail Search What's New? Mobile Mail हिन्दी Options

Find a partner From 20million

Try the new Yahoo! Mail

Previous | Next | Back to Messages

Delete Reply Forward Spam Move... Mark as Unread | Print

Folders
Inbox (1)
Drafts (26)
Sent
Spam (24) [Empty]
Trash [Empty]

My Photos
My Attachments

My Folders [Add - Edit]
ADPOST INBOX (4)
ADPOST SENT (87)
adpost (53)
Bajaj Switchin... (35)
Failure Notice (1)
Notification s...
unused mail
wordpress

SEC Response - File HO::~00007975~::HO [ref:00D3JxQy.50037iUwO:ref]

From: "Help" <help@sec.gov> Saturday, 12 June, 2010 12:29 AM

To: "ramkaranmaurya@yahoo.co.in" <ramkaranmaurya@yahoo.co.in>

Dear Mr. Maurya:

Thank you for contacting the U.S. Securities and Exchange Commission. Upon further review of your complaint, I realize it falls outside of our jurisdiction.

The issue you raise -- your inability to receive funds from a FOREX account -- is not one over which the SEC has jurisdiction. As explained in greater detail on our website, www.sec.gov, the SEC administers and enforces the federal laws that govern the sale and trading of stocks, bonds, mutual funds, and other securities.

You may wish to report your concerns to the Commodity Futures Trading Commission (CFTC), which investigates and prosecutes fraud against CFTC-registered firms and their affiliates offering or selling foreign currency futures and options contracts. Contact information for the CFTC is as follows:

Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington DC 20581
CFTC Toll-Free Complaint Line: 866-366-2382
Online Complaint Info: www.cftc.gov/customerprotection/redressandreparations/index.htm.

The SEC's website also provides a general discussion of foreign currency trading, including a link to the CFTC's warning about foreign currency frauds. See www.sec.gov/answers/forcurr.htm.

I hope this information is helpful. Please contact the CFTC and not the SEC about this matter.

Sincerely,

Steven G. Johnston
Special Counsel
Office of Investor Education and Advocacy
U.S. Securities and Exchange Commission
(800) 732-0330
www.sec.gov

File Attachment:
Correspondent Name: Mr. Ramkaran Maurya
Create Date: 12/5/2009
Origin: Email
File #: HO::~00007975~::HO
Description:
Dear Sir,

The folling attached e-mail which we are Correspondence the company swiss mutual fund 1348 Micheal Meansfield but till now date 5/12/2009 no refund on my investment USD12000. I request you please take action againest Micheal Meansfield.

With warms regards
Your sincerely
Ramkaran Maurya
Govt. Hospital Shamli
Muzaffarnagar (U.P)
Pin code-247776 India
Mob ~~+919412213183~~ 9458823515
Phone-01398-252363

Rmaurya

Rmaurya

Commodity Futures Trading Commission
Reparations Complaint Form

If the space provided on this form is not sufficient, attach your own supplementary sheets containing the required information. This form must be typed or printed legibly. Note: Fill out both sides completely.

1. COMPLAINANT'S NAME	TEL.NO. (Home)	TEL. NO (Work)	FAX NO.	EMAIL ADDRESS
Gyanwati Maurya	01398-252363			gyanwatimaurya
ADDRESS (Street)	**(City)**		**(State)**	**(ZIP)**
Government Hospital Shamli	Muzaffarnagar		Uttar Pradesh	247776
COMPLAINANT'S NAME	**TEL. NO. (Home)**	**TEL. NO (Work)**	**FAX NO.**	**EMAIL ADDRESS**
				@yahoo.co.in
ADDRESS (Street)	**(City)**		**(State)**	**(ZIP)**

2. Registered persons and/or firms you think violated the Commodity Exchange Act

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
Michael Mansfield	+12123865570	+12123865573	swiss1948@mail.com
ADDRESS (Street)	**(City)**	**(State)**	**(ZIP)**
smf (1948) 280 Madison Avenue	912-9th floor, New York	New York	2342, Roseau

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☑ NO

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
ADDRESS (Street)	**(City)**	**(State)**	**(ZIP)**

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☐ NO

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
ADDRESS (Street)	**(City)**	**(State)**	**(ZIP)**

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☑ NO

3. If known, cite the specific portions of the Act, rules or regulations violated:
CHAPTER-1 COMMODITY EXCHANGES S6B

4. Description of complaint. Describe in detail, giving names, dates and the facts which will show how the Commodity Exchange Act was violated and how you were injured by that violation. You must set forth this information on supplementary sheets which you must attach to this complaint form. supplemantry sheat is attach with this form. 5th no. layer -

5. Amount of damages claimed. You must include an explanation of how you calculated the damages you have claimed.
1,000.00 PA 9750.00 Int. 0.1% Per/day int. till date of actual pmt. amt. you think fit as panalty. all figture are is U.S.D.

6. Have you brought another action based on the same set of facts in an arbitration forum or civil court? ☐ YES ☑ NO

7. If yes, has the case been decided? ☐ YES ☑ NO

8. To your knowledge, are any of the respondents you named the subject of an ongoing receivership or bankruptcy proceedings? ☐ YES ☑ NO

YOU MUST ALSO COMPLETE THE BACK OF THIS FORM

Gyanwati

CFTC Form 30 (Rev. 2/09)
Previous editions are obsolete

Important: You must enclose the total amount of the filing fee for the type of decisional procedure you select or your complaint will not be processed. Please indicate the procedure you select and enclose a check or money order made payable to the Commodity Futures Trading Commission in the total amount. The filing fee must accompany your complaint. (See attached Notice to Customers Making Payment by Check) **Under no circumstances will the filing fee be refunded. CHECK ONE:**

☐ **$50 Voluntary Decisional Procedure.** This procedure entitles you, if the respondents agree, to present your case in written form before a CFTC judgment officer. A final decision will be issued without explanation of the reasons. By electing the voluntary procedure, you will waive your right to appeal as well as prejudgment interest and costs. Post-judgment interest may be awarded if you prevail.

☑ **$125 Summary Decisional Procedure.** If your claim is $30,000 or less, it can be heard by a judgment officer. You may present your case in written form, and if deemed necessary by the judgment officer, orally, in Washington, or by telephone under this procedure. The judgment officer will issue brief statements of factual findings and conclusions based on law which are appealable first to the Commission and from there to a U.S. Court of Appeals. Prejudgment and post-judgment interest may be awarded if you prevail.

☐ **$250 Formal Decisional Procedure.** If your claim is over $30,000, it can be assigned to an administrative law judge (ALJ) for a formal hearing. You may present your case in written form. If oral testimony is deemed necessary by the ALJ, you may be required to travel up to 300 miles to attend the hearing. The ALJ will issue findings of fact and conclusions of law which are appealable first to the Commission and from there to a U.S. Court of appeals. Prejudgment and post-judgment interest may be awarded if you prevail.

Notice: Filing fees, once paid to the Commodity Futures Trading Commission, are not refundable. Verify that the person or persons named in the complaint were registered with the CFTC at this time of the alleged offense. Call (202) 418-5506 for registration information. If individuals named in the complaint were registered, send the complaint form and check for the filing fee made payable to the Commodity Futures Trading Commission to: Proceedings Clerk, 1155 21st Street, N.W., Washington, D.C. 20581.

NOTARY
Anil Kumar Sharma
Tehsil Shamli
Regd. No. 08/24/2000
GOVT. OF INDIA

VERIFICATION

I hereby swear or affirm **(under penalty of law)** that the facts set forth in this complaint are known to be true or, based on my best information, are believed to be true. To the extent that any facts are believed, instead of known, to be true, the information upon which I formed that belief is set forth as follows (attach detailed, specific information on a supplementary sheet):

Each complainant must sign:

Gyaneshwar — Date 28/11/2010
Complainant's signature

Date
Complainant's signature

Date
Notary

Attested & Authenticated
A.K. Sharma
28-11-2010
Anil Kumar Sharma
Notary
Regd No. 03(24)/2000
SHAMLI (Muzaffarnagar)

IDENTIFIED

Supplimentry Sheat
(see clause of foram)

Dear Sir,

I Applicant Gyanwati Maurya ID No. ingya 0310602 invest 1,000.00 epoints of USD 1.00 each, into Swiss Cash on 8-8-2007, 11:47:04 A.M. for the following plan ID S1P25, Cerificate No. is 251799986. It was certified by the company on 8 day of Aug 2007, du ly signed by Michael Mansfield the chief financial officer. The photocopy of the certificate is attached with This application.

That the said company is not make a payment against my certificate. I made correspondence several times to company for my said payment but the said company not response as yet.

Applicant

Encloser_____

Gyanwati

Gyanwati Maurya
Government Hospital
Shamli Muzaffarnagar PinCode - 247776
Uttar Pradesh (India)
Email:gyanwatimaurya@yahoo.co.in
Mob.91+9458823515

RIN No. 3038-AC98, 3038-AD02

(1) Photocopy of Certificate.
(2) Applicants I.D. as Bank Passbook Photostate.
(3) Narrative of Payment.
(4) Copy of correspondence with said Company.
(5) Applicants I.D.Issue by Company.

Investment Certificate

To

Gyanwati Maurya
ID No: ingya0310602

For investing 1,000.00 epoints of
USD1.00 each into Swiss Cash on 8/8/2007 11:47:04 AM
for the following Plan ID: SIP25

Your investment ID for the said 1,000.00 epoints
is 251799986.

Certified under the common seal of the company
this 8 day of Aug 2007.

Michael Mansfield

Chief Financial Officer

Principal Guaranteed by Swiss Mutual Fund (1948)

Gyanwati

Commodity Futures Trading Commission
Reparations Complaint Form

If the space provided on this form is not sufficient, attach your own supplementary sheets containing the required information. This form must be typed or printed legibly. Note: Fill out both sides completely.

1. COMPLAINANT'S NAME	TEL.NO. (Home)	TEL. NO (Work)	FAX NO.	EMAIL ADDRESS
Gyanwati Maurya	01398-252363			gyanwatimaurya
ADDRESS (Street)	(City)		(State)	(ZIP)
Government Hospital Shamli	Muzaffarnagar		Uttar Pradesh	247776
COMPLAINANT'S NAME	TEL. NO. (Home)	TEL. NO (Work)	FAX NO.	EMAIL ADDRESS
				@yahoo.co.in
ADDRESS (Street)	(City)		(State)	(ZIP)

2. Registered persons and/or firms you think violated the Commodity Exchange Act

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
Michael Mansfield	+12123865570	+12123865573	swiss1948@mail.com
ADDRESS (Street)	(City)	(State)	(ZIP)
smf (1948) 280 Madison Avenue	912-9th floor, New York	New York	2342, Roseau

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☑ NO

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
ADDRESS (Street)	(City)	(State)	(ZIP)

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☐ NO

RESPONDENT'S NAME	TEL. NO.	FAX NO.	EMAIL ADDRESS
ADDRESS (Street)	(City)	(State)	(ZIP)

Was this individual/firm registered with the CFTC at the time of the alleged violation? ☐ YES ☑ NO

3. If known, cite the specific portions of the Act, rules or regulations violated:

CHAPTER-1 COMMODITY EXCHANGES S6B

4. Description of complaint. Describe in detail, giving names, dates and the facts which will show how the Commodity Exchange Act was violated and how you were injured by that violation. You must set forth this information on supplementary sheets which you must attach to this complaint form. supplemantry sheat is attach with this form. 5th no. layer -

5. Amount of damages claimed. You must include an explanation of how you calculated the damages you have claimed.

1,000.00 PA 9750.00 Int. 0.1% Per/day int. till date of actual pmt. amt. you think fit as panalty. all figture are is U.S.D.

6. Have you brought another action based on the same set of facts in an arbitration forum or civil court? ☐ YES ☑ NO

7. If yes, has the case been decided? ☐ YES ☑ NO

8. To your knowledge, are any of the respondents you named the subject of an ongoing receivership or bankruptcy proceedings? ☐ YES ☑ NO

YOU MUST ALSO COMPLETE THE BACK OF THIS FORM

Gyanwati

CFTC Form 30 (Rev. 2/09)
Previous editions are obsolete

Important: You must enclose the total amount of the filing fee for the type of decisional procedure you select or your complaint will not be processed. Please indicate the procedure you select and enclose a check or money order made payable to the Commodity Futures Trading Commission in the total amount. The filing fee must accompany your complaint. (See attached Notice to Customers Making Payment by Check) **Under no circumstances will the filing fee be refunded. CHECK ONE:**

☐ **$50 Voluntary Decisional Procedure.** This procedure entitles you, if the respondents agree, to present your case in written form before a CFTC judgment officer. A final decision will be issued without explanation of the reasons. By electing the voluntary procedure, you will waive your right to appeal as well as prejudgment interest and costs. Post-judgment interest may be awarded if you prevail.

☑ **$125 Summary Decisional Procedure.** If your claim is $30,000 or less, it can be heard by a judgment officer. You may present your case in written form, and if deemed necessary by the judgment officer, orally, in Washington, or by telephone under this procedure. The judgment officer will issue brief statements of factual findings and conclusions based on law which are appealable first to the Commission and from there to a U.S. Court of Appeals. Prejudgment and post-judgment interest may be awarded if you prevail.

☐ **$250 Formal Decisional Procedure.** If your claim is over $30,000, it can be assigned to an administrative law judge (ALJ) for a formal hearing. You may present your case in written form. If oral testimony is deemed necessary by the ALJ, you may be required to travel up to 300 miles to attend the hearing. The ALJ will issue findings of fact and conclusions of law which are appealable first to the Commission and from there to a U.S. Court of appeals. Prejudgment and post-judgment interest may be awarded if you prevail.

Notice: Filing fees, once paid to the Commodity Futures Trading Commission, are not refundable. Verify that the person or persons named in the complaint were registered with the CFTC at this time of the alleged offense. Call (202) 418-5506 for registration information. If individuals named in the complaint were registered, send the complaint form and check for the filing fee made payable to the Commodity Futures Trading Commission to: Proceedings Clerk, 1155 21st Street, N.W., Washington, D.C. 20581.

NOTARY
Anil Kumar Sharma
Tehsil Shamli
Regd. No. 08/24/2000
GOVT. OF INDIA

VERIFICATION

I hereby swear or affirm **(under penalty of law)** that the facts set forth in this complaint are known to be true or, based on my best information, are believed to be true. To the extent that any facts are believed, instead of known, to be true, the information upon which I formed that belief is set forth as follows (attach detailed, specific information on a supplementary sheet):

Each complainant must sign:

Gyan wati — 20/11/2010
Complainant's signature — Date

Complainant's signature — Date

Notary — Date

Attested & Authenticated
A.K. Sharma
Anil Kumar Sharma 20-11-2010
Notary
Regd No. 08(24)/2000
SHAMLI (Muzaffarnagar)

IDENTIFIED

Supplimentry Sheat
(see clause of foram)

Dear Sir,

I Applicant Gyanwati Maurya ID No. ingya 0310601 invest 1,000.00 epoints of USD 1.00 each, into Swiss Cash on 8-8-2007, 11:41:22 A.M. for the following plan ID SIP25, Cerificate No. is 251799938. It was certified by the company on 8 day of Aug 2007, du ly signed by Michael Mansfield the chief financial officer. The photocopy of the certificate is attached with This application.

That the said company is not make a payment against my certificate. I made correspondence several times to company for my said payment but the said company not response as yet.

Applicant

Encloser_____

Gyanwati

Gyanwati Maurya
Government Hospital
Shamli Muzaffarnagar PinCode - 247776
Uttar Pradesh (India)
Email:gyanwatimaurya@yahoo.co.in
Mob.91+9458823515

(1) Photocopy of Certificate.
(2) Applicants I.D. as Bank Passbook Photostate.
(3) Narrative of Payment.
(4) Copy of correspondence with said Company.
(5) Applicants I.D.Issue by Company.

Investment Certificate

For

Gyanwati Maurya
ID No: ingya0310601

For investing 1,000.00 epoints of
USD1.00 each into Swiss Cash on 8/8/2007 11:41:22 AM
for the following Plan ID: SIP25

Your investment ID for the said 1,000.00 epoints
is 251799938.

Certified under the common seal of the company
this 8 day of Aug 2007.

Michael Mansfield

Chief Financial Officer

Principal Guaranteed by Swiss Mutual Fund (1948)

Gyanwati

विशेष मियादी जमा

SPECIAL TERM DEPOSIT

जब व्यावसायिक कोर्स चुनेगी
आपकी गुड़िया होनहार...
तब आपकी बचत भी होगी
पूरी तैयार!

When your bright spark is ready
to do a professional course.
Your savings are ready too!

10 वर्ष में बचत हो जाए
तिगुनी से ज़्यादा

Your savings more than
triple in 10 years

भारतीय स्टेट बैंक
State Bank of India
KAIRANA
01398-266228
बचत खाता पास बुक
SAVINGS BANK PASS BOOK

Zero new cards
on 27/4/24

15401

नाम : New / First Passbook
Name(s) : GYAN WATI MORYA
पता : Ram Karan mourya
Address : C.H.C.
व्यवसाय : KAIRANA
Occupation

पास बुक क्र. :
Pass Book No. :
खाता-बही क्रमांक: 001606
Ledger No. :
खाता क्रमांक
Account No 11688920036

मुख्य प्रबन्धक
CHIEF MANAGER

Joint Names:MS GYAN WATI MORYA

Gyan wati

Moopu

01190008004

ank is at libertry to refuse payment of cheques that have been altered in any way unless the alteration is authenticated nder his signature. Cheques should be drawn in such a way as to prevent alteration after issue and the drawer's d be uniform with that on record at the Bank. It is essential that the cheque book be kept in a place of safety.

Bank will register instructions from the drawer regarding cheques lost, stolen, etc., but cannot guarantee depositors such case in the event of such a cheque being paid.

smallest sum that can be accepted at the time of opening a savings bank account is Rs. 20 and thereafter no deposit for less thea.. ne. 1 will be accepted thereon. A savings bank account the balance of which falls below Rs. 20 may be closed at the Bank"s discretion. In case of savings bank accounts where the facility of withdrawal by cheque is permitted a minimum balance of Rs. 250 shall always be maintained in the account.

Rule 33 - A savings bank account holder will not be permitted more than 50 debits on his account in a half-year. The debits will include all types of debits i.e. by cheques, transfers, letters, standing instructions or in any other manner. For accounts opened after the commencement of a half-year the number of permissible withdrawals will be calculated pro rata. In the case of a cheque, the date of payment, and not the date of cheque, will be taken as the date of withdrawal for the purpose.

Rule 34 - A depositor cannot withdraw a smaller sum than one rupee, nor any sum which is not a multiple of Rs. 1 unless it be to close the account in which case the entire balance at credit of the account will be withdrawn. In the case of a withdrawal by cheque, however, amounts need not be multiples of one rupee. No cheque should be drawn for amounts above Rs. 5,000/– and below Rs. 20/– A depositor desiring to withdraw an amount larger than Rs. 5,000/–may do so by using a withdrawal order form.

INTEREST

Rule 37 - The current rate of interest allowed on deposits in savings bank accounts can be ascertained at the Bank. Any change in this rate, which is governed by the directives of the Reserve Bank of India, is notified through advertisement in the newspapers.

Gyanvati

बड़े-बड़े
खर्चों का बोझ
निपटाए
आपको भी
निश्चिंत बनाए

S·T·A·T·E

म·नी·बॉ·क्स
आवर्ती जमा योजना

MO·N·E·Y·B·O·X
RECURRING DEPOSIT SCHEME

According to suggestion of Mr. Ashish Jha I was transferred money from the **SBI Account no10674110296 to Ramesh SBI Account no-30171786684 Rupees 96628.00** (Ninety six Thousand Six Hundred twenty eight Only) date 20July2007 and then the swiss cash company issued certificates.:- **id no- ingya0310601& ingya0310602 code Plan SIP25 date - 8Aug2007certificate no-251799938 & 251799986 investment amount** 1000e point each certificate = USD 1.00each, **SWISS MUTUAL FUND (1948)** Michael Mansfield, Chief Financial Office Issued online from swisscash

.Mr. Ashish Jha explained & Said to the Omegha Hotel Muzaffarnagar (U.P) India about swisscash mutual fund business binary system under the banner of Mr. Subra Banium Kangalingam Dealer of India who was the bank HSBC Bank Holder A/C No.14201945492 power vantage& the swisscash company also opened his account in this bank it means all responsibility of Subra Banium Kangalingam all member care free under this banner.

Gyanwati

Investor Signature

Gyanwati Maurya
Govt. Hospital Shamli Muzaffarnagar
(U.P)247776 India
Phone-01398252363
Mob-+91-9458823515
Mob+9557976664
E-mail address- **gyanwatimaurya@yahoo.co.in**

YAHOO! MAIL INDIA Classic

Re: Name: Gyanwati Maurya ICNO: ATVPK3106B Tuesday, 21 September, 2010 1:44 PM

From: "administrator.swisscash@my.pwc.com" <administrator.swisscash@my.pwc.com>
To: gyanwatimaurya@yahoo.co.in

Dear Gyanwati,

We have already replied your emails several times to inform you that you are **not** eligible for payment. This is because your investment was transacted after 21 June 2007 (subsequently extended to 7 July 2007) which is one of the eligible criteria approved by the Court. Please note that we do not have any information on your latest findings and your communication with US SEC. We regret we are unable to assist you further.

Regards

For and on behalf of the Administrator-SwissCash
http://www.administrator-swisscash.com.my/

<gyanwatimaurya@yahoo.co.in>
20/09/2010 19:28

To administrator swisscash/MY/FAS/PwC@ASIA
cc
Subject Name: Gyanwati Maurya ICNO: ATVPK3106B

Name : Gyanwati Maurya
Identity Card/Passport Number : ATVPK3106B
Nationality : Indian
Contact Telephone Number : 01398252363
Email address : gyanwatimaurya@yahoo.co.in
Status : Investor
IP Address : 117.205.98.58
Subject : RefundamountUSD19000.00
Enquiry : Fw: Name: Gyanwati Maurya ICNO: ATVPK3106BWednesday, 8 September, 2010 7:06 PMFrom: "Gyanwati Maurya" View contact detailsTo: administrator.swisscash@my.pwc.comMessage contains attachments1 File (1130KB)rebuilt.rebuilt.Ramkaran Maurya 003.zip Dear Sir, According to order of CFTC Press Release 5881-10 &CFTC Press Release 5880-10 30Augest 2010 & SEC Response - File HO::~00007975~::HO [ref:00D3JxQy.50037iUwO:ref] Saturday, 12 June, 2010 12:29 AM Steven G. Johnston Special Counsel Office of Investor Education and Advocacy U.S. Securities and Exchange Commission (800) 732-0330 www.sec.gov All above documents attached this latter . I request you please help me to refund my return amount till now And take action against swiss mutual fund 1948 Michael Mansfild email adders swiss1948@mail.com , USD 19000.00 from date of purchase With Thanks & Warmregard Your Sinscerely & nbsp; Gyanwati Maurya & nbsp; Govt.Hospital Shamli Fw: Name: Gyanwati Maurya ICNO: ATVPK3106BWednesday, 8 September, 2010 7:06 PMFrom: "Gyanwati Maurya" View contact detailsTo: administrator.swisscash@my.pwc.comMessage contains attachments1 File (1130KB)rebuilt.rebuilt.Ramkaran Maurya 003.zip Dear Sir, According to order of CFTC Press Release 5881-10 &CFTC Press Release 5880-10 30Augest 2010 & SEC Response - File HO::~00007975~::HO [ref:00D3JxQy.50037iUwO:ref] Saturday, 12 June, 2010 12:29 AM Steven G. Johnston Special Counsel Office of Investor Education and Advocacy U.S. Securities and Exchange Commission (800) 732-0330 www.sec.gov All above documents attached this latter . I request you please help me to refund my return amount till now And take action against swiss mutual fund 1948 Michael Mansfild email adders swiss1948@mail.com , USD 19000.00 from date of purchase With Thanks & Warmregard Your Sinscerely & nbsp; Gyanwati Maurya & nbsp; Govt.Hospital Shamli

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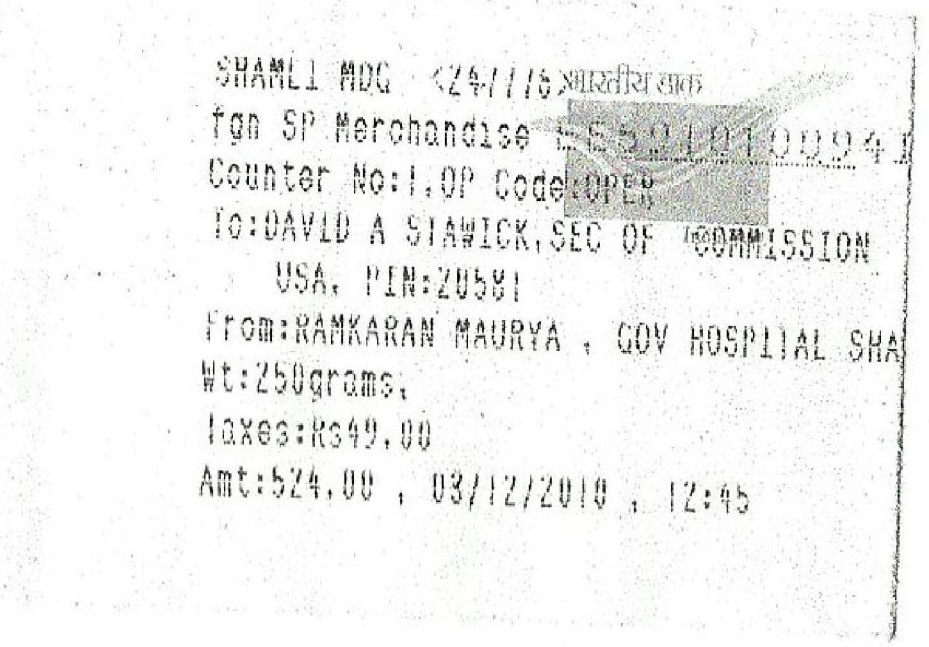
Gyanwati


SHAMLI MDG
fgn SP Merchandise
Counter No:1,OP Code:OPER
To:DAVID A SIAWICK,SEC OF COMMISSION
USA, PIN:20581
From:RAMKARAN MAURYA , GOV HOSPITAL SHA
Wt:250grams,
Taxes:Rs49.00
Amt:524.00 , 03/12/2010 , 12:45