

**COMMODITY FUTURES TRADING COMMISSION**

**FORM FBOT**

**FOREIGN BOARD OF TRADE APPLICATION FOR REGISTRATION (IN ORDER TO PERMIT DIRECT ACCESS TO MEMBERS AND OTHER PARTICIPANTS)**

DUBAI MERCANTILE EXCHANGE LIMITED  
**Name of applicant as specified in organizational documents**

LEVEL 3, BUILDING 2, DUBAI INTERNATIONAL FINANCIAL CENTRE, DUBAI, UAE  
**Address of principal executive office**

- If this Form FBOT is a new application for registration, complete in full and check here.
- If this Form FBOT is an amendment to a pending application or to a final application that resulted in the issuance of an Order of Registration, list and/or describe all items that are amended or otherwise updated and check here.  
Please see attached sheets for updated Exhibits to the DME's previously submitted FBOT Application.

**GENERAL INFORMATION**

1. Name under which the business of the foreign board of trade will be conducted, if different than name specified above:  
AS SPECIFIED ABOVE

2. List of principal office(s) where foreign board of trade activities are/will be conducted (please use multiple entries, when applicable):

Office (name and/or location):	<u>DUBAI MERCANTILE EXCHANGE LIMITED</u>
Address:	<u>BUILDING 2, LEVEL 3</u> <u>DUBAI INTERNATIONAL FINANCIAL CENTRE</u> <u>DUBAI, UNITED ARAB EMIRATES</u>
Phone Number:	<u>+971 4 365 5500</u>
Fax Number:	<u>+971 4 365 5599</u>
Website Address:	<u><a href="http://www.dubaimerc.com">www.dubaimerc.com</a></u>

3. Contact Information.

3a. Primary Contact for Form FBOT (i.e., the person authorized to receive Commission correspondence in connection with this Form FBOT and to whom questions regarding the submission should be directed):

Name:	<u>AHMED, UZMA MARIAM</u>
Title:	<u>HEAD OF COMPLIANCE</u>
Email Address:	<u><a href="mailto:mariam.ahmed@dubaimerc.com">mariam.ahmed@dubaimerc.com</a></u>

Mailing Address: PO BOX 66500  
DUBAI, UAE

Phone Number: +971 4 365 5512  
Fax Number: +971 4 365 5599

3b. If different than above, primary contact at the foreign board of trade that is authorized to receive all forms of Commission correspondence:

Name: AS IN 3a ABOVE  
Title: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
Phone Number: \_\_\_\_\_  
Fax Number: \_\_\_\_\_

**BUSINESS ORGANIZATION**

Describe organizational history, including date and, if applicable, location of filing of original organizational documentation, and describe all substantial amendments or changes thereto. For example:

[Foreign Board of Trade] is a [corporation, partnership, limited liability company, or other applicable organizational designation], having filed its [articles of incorporation, certificate of formation, articles of organization, other applicable organizational formation document] with the [applicable regulatory body] in [city, state/province, country] on [applicable date].

DME is a private limited company under the DIFC Companies Law (No. 2 of 2004) (as amended) with registered number 0084. It was incorporated on September 29, 2005 in the Dubai International Financial Centre (DIFC), Dubai, UAE.

**SIGNATURES**

By signing and submitting this Form FBOT, the applicant agrees to and consents that the notice of any proceeding before the Commission in connection with the foreign board of trade’s application for registration or registration with the Commission may be given by sending such notice by certified mail or similar secured correspondence to the persons specified in sections 3a and 3b above.

Dubai Mercantile Exchange has duly caused this Form FBOT to be signed on its behalf by the undersigned, hereunto duly authorized, this 19<sup>th</sup> day of September, 2013. Dubai Mercantile Exchange and the undersigned represent that all information and representations contained herein are true, current, and complete. It is understood that all information, documentation, and exhibits are considered integral parts of this Form FBOT. The submission of any amendment to

Form FBOT represents that all items and exhibits not so amended remain true, current, and complete as previously filed.



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**CHRISTOPHER FIX  
CHIEF EXECUTIVE OFFICER  
DUBAI MERCANTILE EXCHANGE**