

Commodity Futures Trading Commission
CEA CASES

NAME: RICHARD J. SENNOTT AND AUGUST COMENZO

DOCKET NUMBER: 133

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT -- CEA DOCKET NO. 133

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Richard J. Sennott

(Chicago, Illinois) and

August Comenzo

(Hempstead, New York)

The complaint charges that during the period September 25, 1963, through February 27, 1964, Richard J. Sennott entered into non-competitive trades with August Comenzo. It alleges that certain of such transactions were entered into by Mr. Sennott to enable him to fill customers' orders by offset. The complaint also charges that during the period July 11, 1963, through October 27, 1964, Mr. Comenzo entered into wash transactions, each of which enabled Mr. Sennott to fill both a buying and a selling customer's order. All transactions covered by the complaint occurred in soybean oil and soybean meal futures on the Chicago Board of Trade.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, January 26, 1966, in Chicago, Illinois.

LOAD-DATE: June 12, 2008

