

Commodity Futures Trading Commission
CEA CASES

NAME: NEVIN F. HENCH

DOCKET NUMBER: 230

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington, D. C. 20250

ISSUANCE OF COMPLAINT -- CEA DOCKET NO. 230

(Administrative Hearing Under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture charging violation of the Commodity Exchange Act by:

Nevin F. Hench

(Brooklyn Center, Minnesota)

The complaint charges that during the period from June 1972 through July 1973, Nevin F. Hench cheated and deceived a number of his customers while pursuing a course of conduct in which he acted as a futures commission merchant without being registered. The complaint alleges that he induced 15 persons to give him a total of approximately \$ 39,900 to trade in regulated commodity futures for their individual accounts, but instead of using the money for that purpose, he converted it for his own use.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when there has been a determination that the evidence substantiates allegations in the complaint. A determination is considered as having been made when a decision of the Administrative Law Judge becomes final, or after appeal, a decision is made by the Judicial Officer of the Department. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition of a complaint, it is the policy of the CEA not to comment or elaborate on charges contained therein or to discuss evidence on which it is based.

A public hearing on the complaint will be held before the Administrative Law Judge at a place, date, and time to be specified at a later date.

LOAD-DATE: June 16, 2008

