

Commodity Futures Trading Commission  
CEA CASES

**NAME:** ROBERT HALTMIER

**DOCKET NUMBER:** 229; 75-2

**DATE:** JUNE 10, 1974

**DOCUMENT TYPE:** COMPLAINT

**NOTE:** LATER BECAME CFTC DOCKET # 75-2

UNITED STATES DEPARTMENT OF AGRICULTURE  
BEFORE THE SECRETARY OF AGRICULTURE

In re: Robert Haltmier, Respondent

CEA Docket No. 229

Complaint and Notice of Hearing under the Commodity Exchange Act

There is reason to believe that the respondent, Robert Haltmier, has violated the Commodity Exchange Act "the Act" (7 U.S.C. § 1 et seq.) and the regulations made pursuant thereto. In accordance with the provisions of sections 6(b) and 6(c) of the Act (7 U.S.C. 9 and 13b), this Complaint and Notice of Hearing is issued stating the charges in that respect as follows:

I

The respondent, Robert Haltmier, an individual whose address is 97-25 118 Street, Richmond Hill, New York 11419, was at all times material herein employed by the firm of Conti-Commodity Services, located at 2 Broadway, New York, New York. At all times material herein, Conti-Commodity Services was a registered futures commission merchant under the Act.

II

During the period from on or about April 18, 1972, through on or about October 10, 1972, Mr. Albert Millet maintained a commodity futures, account at Conti-Commodity Services, and during this period the respondent handled the trading in such account.

III

Acting without the knowledge or authorization of Mr. Millet, the respondent made 208 transactions for Mr. Millet's account, involving 690 contracts in various regulated commodities.

IV

By reasons of the facts alleged in this Complaint, the respondent willfully violated section 4b of the Commodity Exchange Act (7 U.S.C. § 6b).

WHEREFORE, it is hereby ordered that this Complaint and Notice of Hearing be served upon the respondent and this proceeding shall be governed by sections 0.1, 0.2, 0.4(b), 0.5 through 0.22, and 0.28 of the Rules of Practice under the Commodity Exchange Act (17 CFR §§ 0.1, 0.2, 0.4(b), 0.5 through 0.22, and 0.28). The respondent will have twenty (20) days after the receipt of this Complaint in which to file with the Hearing Clerk, United States Department of Agriculture, Washington, D. C. 20250, an answer fully and completely stating the nature of the defense and admitting or denying, specifically and in detail, each allegation of this Complaint. Allegations not answered will be deemed admitted for the purpose of this proceeding. Failure to file an answer will constitute an admission of all the allegations of this Complaint and a waiver of hearing.

The filing of an answer in which all of the material allegations of fact contained in the Complaint are admitted likewise shall constitute a waiver of hearing unless a hearing is requested. The respondent is hereby notified that unless hearing

is waived, a hearing will be held in New York, New York, at a place therein and a date to be specified later before an Administrative Law Judge designated to conduct such hearing. At such hearing, the respondent will have the right to appear and show cause, if any there be, why an appropriate order should not be issued in accordance with the Commodity Exchange Act (1) prohibiting the respondent from trading on or subject to rules of any contract market and directing that all contract markets refuse all trading privileges to the respondent for such period of time as may be determined, and (2) directing the respondent shall cease and desist from violating the Act and regulations in the manner alleged herein. June 10 1974

Done at Washington, D.C.

[SEE SIGNATURE IN ORIGINAL]

RICHARD L. FELTNER

Assistant Secretary

**LOAD-DATE:** August 6, 2008

