

Commodity Futures Trading Commission
CEA CASES

NAME: SECRETARY OF AGRICULTURE V. ADRIAN ETTINGER, EWING W. BRAND, AND ETTINGER & BRAND

DOCKET NUMBER: GFA-6

DATE: 1934

DOCUMENT TYPE: SUMMARY OF CASE

NOTE: PROCEEDING BEFORE THE GRAIN FUTURES COMMISSION UNDER THE GRAIN FUTURES ACT

GFA Docket No. 6

Adrian Ettinger,
Ewing W. Brand, and
Ettinger & Brand, respondents

A complaint issued by the Secretary of Agriculture on January 9, 1934, alleged that the respondents, members of the Chicago Board of Trade, made grain futures contracts in the months of May, June, and July 1933 for certain individuals and concealed the identity of such persons by carrying their accounts in the names of fictitious persons and persons having no trades whatsoever, and that on or about August 14, 1933, respondents submitted false records to a representative of the Department of Agriculture.

The purpose of the misrepresentation was to conceal joint action on the part of several persons in their trading.

On November 13, 1934, the Grain Futures Commission ordered all contract markets to deny trading privileges to the respondents for a period of six months, effective December 1, 1934.

LOAD-DATE: December 15, 2008

