

Commodity Futures Trading Commission
CEA CASES

NAME: DANIEL A. DE LATTRE

DOCKET NUMBER: 122

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington 25, D. C.

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 122

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Daniel A. de Lattre

(Chicago, Illinois)

The complaint charges that the respondent, by commingling funds belonging to a customer with his own, failed to handle money received from the customer as required by the act and regulations. It also charges that he failed to account separately for such money and failed to comply with the recordkeeping requirements of the act and regulations.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, November 18, 1964, in Chicago, Illinois.

LOAD-DATE: June 12, 2008

