

SELECTED FCM FINANCIAL DATA AS OF
August 31, 2011
FROM REPORTS FILED BY
October 2, 2011

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount	
Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex	
	(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation	
							(e)	(f)	(g)	
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	08/31/2011	224,042,543	125,289,029	98,753,514	1,987,735,015	296,518,282	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	08/31/2011	263,524,720	142,746,603	120,778,117	2,680,225,686	109,796,344	0
3	ADVANCED MARKETS LLC	FCMRFD	NFA	08/31/2011	20,444,262	20,000,000	444,262	0	0	1,527,782
4	ADVANTAGE FUTURES LLC	FCM	CME	08/31/2011	18,983,125	9,588,140	9,394,985	437,139,264	38,166,284	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	06/30/2011	84,773,980	4,227,112	80,546,868	0	0	0
6	ALPARI (US) LLC	FCMRFD	NFA	08/31/2011	25,503,068	20,041,466	5,461,602	64,771	0	10,829,320
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	08/31/2011	172,489,373	1,000,000	171,489,373	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	08/31/2011	1,566,004	1,000,000	566,004	31,370,453	132,978	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	08/31/2011	5,285,030,252	928,636,954	4,356,393,298	6,530,637,467	2,804,666,657	0
10	BGC FINANCIAL LP	FCM BD	NFA	08/31/2011	61,377,251	1,000,000	60,377,251	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	08/31/2011	352,455,839	231,230,756	121,225,083	1,306,765,337	547,480,160	0
12	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NFA	08/31/2011	1,878,339,439	379,429,856	1,498,909,583	0	0	0
13	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	08/31/2011	2,002,795,270	84,144,465	1,918,650,805	460,301,535	0	0
14	BNY MELLON CLEARING LLC	FCM	CME	08/31/2011	300,707,001	40,045,329	260,661,672	500,259,042	0	0
15	CANTOR FITZGERALD & CO	FCM BD	CBOT	08/31/2011	315,880,161	8,208,216	307,671,945	2,103,080	0	0
16	CAPITAL MARKET SERVICES LLC	FCM	NFA	08/31/2011	2,003,138	1,000,000	1,003,138	0	0	0
17	CIBC WORLD MARKETS CORP	FCM BD	CME	08/31/2011	412,138,325	1,500,000	410,638,325	0	0	0
18	CITADEL SECURITIES LLC	FCM BD	CME	08/31/2011	481,222,816	1,000,000	480,222,816	0	0	0
19	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	08/31/2011	7,524,807,904	765,571,113	6,759,236,791	10,968,517,016	1,963,241,919	0
20	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	08/31/2011	2,183,043	1,000,000	1,183,043	0	0	0
21	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	08/31/2011	3,977,205	1,000,000	2,977,205	0	0	0
22	COUNTRY HEDGING INC	FCM	NFA	08/31/2011	20,765,251	17,014,424	3,750,827	217,627,303	1,186,882	0
23	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	08/31/2011	9,315,494,983	1,036,628,495	8,278,866,488	6,243,706,980	2,041,688,676	0
24	CROSSLAND LLC	FCM	CBOT	08/31/2011	11,640,364	2,343,473	9,296,891	60,566,414	10,226,783	0
25	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	08/31/2011	3,380,443	1,189,922	2,190,521	55,923,202	273,710	0
26	CX CAPITAL MARKETS LLC	FCM	NFA	08/31/2011	3,564,275	1,000,000	2,564,275	20,139,552	0	0
27	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	08/31/2011	314,955,126	1,984,210	312,970,916	7,328,413	0	0
28	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	08/31/2011	6,632,401,414	658,952,826	5,973,448,588	16,139,481,230	1,637,822,978	0
29	DORMAN TRADING LLC	FCM	CME	08/31/2011	9,615,781	1,123,216	8,492,565	112,839,476	1,550,369	0
30	EAGLE MARKET MAKERS INC	FCM	CBOT	08/31/2011	6,262,988	1,000,000	5,262,988	700,307	0	0
31	EASY FOREX US LTD	FCM	NFA	08/31/2011	1,769,266	1,000,000	769,266	0	0	0
32	ENSKILDA FUTURES LTD	FCM	CME	08/31/2011	29,578,677	22,897,974	6,680,703	350,633,728	0	0
33	FCSTONE LLC	FCM	CME	08/31/2011	108,894,653	66,835,492	42,059,161	1,352,422,500	23,103,797	3,703,491
34	FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	08/31/2011	79,608,011	26,763,209	52,844,802	0	0	145,264,186
35	FOREX CLUB LLC	FCMRFD	NFA	08/31/2011	22,988,102	22,000,000	988,102	0	0	3,097,749
36	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	08/31/2011	3,409,166	1,000,000	2,409,166	13,834,102	1,202,073	0
37	FRONTIER FUTURES INC	FCM	NFA	08/31/2011	1,889,785	1,007,683	882,102	32,036,610	0	0
38	FUTURES TECH LLC	FCM	NFA	08/31/2011	1,591,719	1,000,000	591,719	0	0	0
39	FX SOLUTIONS LLC	FCMRFD	NFA	08/31/2011	37,704,280	20,366,275	17,338,005	0	0	17,325,490
40	FXDIRECTDEALER LLC	FCMRFD	NFA	08/31/2011	34,170,782	21,187,849	12,982,933	0	0	33,756,982
41	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	08/31/2011	53,909,111	24,390,994	29,518,117	0	0	97,819,890
42	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	08/31/2011	79,587,191	24,087,728	55,499,463	301,071	227,199	91,754,561

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	(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation	
							(e)	(f)	(g)	
43	GOLDMAN SACHS & CO	FCM BD	CBOT	08/31/2011	11,521,415,951	2,130,582,972	9,390,832,979	23,166,714,363	9,361,867,066	0
44	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	08/31/2011	1,703,161,024	179,346,333	1,523,814,691	1,247,352,652	41,007,827	0
45	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	08/31/2011	49,206,126	2,672,277	46,533,849	0	0	0
46	HSBC SECURITIES USA INC	FCM BD	CME	08/31/2011	1,026,259,699	121,010,280	905,249,419	1,248,362,969	121,381,326	0
47	ICAP CORPORATES LLC	FCM BD	NFA	08/31/2011	48,362,874	1,000,000	47,362,874	0	0	0
48	ICAP FUTURES LLC	FCM	NFA	08/31/2011	7,434,046	1,000,000	6,434,046	0	0	0
49	IKON GLOBAL MARKETS INC	FCM	NFA	08/31/2011	17,183,731	1,000,000	16,183,731	10,943,321	108,434	0
50	INSTINET LLC	FCM BD	NFA	08/31/2011	118,806,165	1,296,637	117,509,528	0	0	0
51	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	08/31/2011	20,806,478	20,000,000	806,478	0	0	5,207,838
52	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	08/31/2011	1,631,911	1,000,000	631,911	0	0	0
53	INTERACTIVE BROKERS LLC	FCM BD	NFA	08/31/2011	1,330,144,274	176,466,708	1,153,677,566	789,299,515	241,706,430	0
54	INTERBANK FX LLC	FCMRFD	NFA	08/31/2011	24,888,314	21,081,188	3,807,126	0	0	31,623,759
55	IRONBEAM INC	FCM	NFA	08/31/2011	3,036,135	1,000,000	2,036,135	46,993,259	210,077	0
56	ITG DERIVATIVES LLC	FCM BD	NFA	08/31/2011	2,461,655	1,000,000	1,461,655	0	0	0
57	JEFFERIES & COMPANY INC	FCM BD	NFA	08/31/2011	762,729,404	56,802,445	705,926,959	0	0	0
58	JEFFERIES BACHE LLC	FCM	CBOT	08/31/2011	248,824,000	207,488,560	41,335,440	3,257,918,000	283,546,000	0
59	JP MORGAN CLEARING CORP	FCM BD	CME	08/31/2011	7,111,917,575	1,983,122,453	5,128,795,122	571,486,249	519,879,152	0
60	JP MORGAN SECURITIES LLC	FCM BD	CEI	08/31/2011	11,521,546,158	1,659,851,070	9,861,695,088	18,400,680,463	2,485,229,167	0
61	LEK SECURITIES CORPORATION	FCM BD	NFA	08/31/2011	8,857,117	1,704,596	7,152,521	2,752,257	0	0
62	LIMESTONE TRADING LLC	FCM	NFA	08/31/2011	2,190,022	1,000,000	1,190,022	0	0	0
63	LINN GROUP THE	FCM	NFA	08/31/2011	2,264,410	1,000,000	1,264,410	40,461,911	46,853	0
64	LPL FINANCIAL LLC	FCM BD	NFA	08/31/2011	145,179,449	6,266,145	138,913,304	0	0	0
65	MACQUARIE FUTURES USA INC	FCM	CBOT	08/31/2011	132,372,973	68,715,359	63,657,614	1,110,283,222	62,446,639	0
66	MAREX NORTH AMERICA LLC	FCM	CME	08/31/2011	8,115,570	4,940,877	3,174,693	72,080,339	2,035,443	0
67	MAREX USA LIMITED	FCM	NFA	08/31/2011	2,683,547	1,000,000	1,683,547	0	0	0
68	MB TRADING FUTURES INC	FCMRFD	NFA	08/31/2011	26,366,656	21,141,410	5,225,246	0	0	32,828,208
69	MBF CLEARING CORP	FCM	NYME	08/31/2011	8,187,728	1,000,000	7,187,728	70,373,770	5,730,802	0
70	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	08/31/2011	14,107,093	2,732,001	11,375,092	864,365,828	0	0
71	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	08/31/2011	10,217,583,783	908,834,034	9,308,749,749	10,375,631,292	3,364,815,592	0
72	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	08/31/2011	2,415,174,047	190,956,424	2,224,217,623	1,577,810,652	58,344,081	0
73	MF GLOBAL INC	FCM BD	CME	08/31/2011	495,665,616	328,485,943	167,179,673	7,270,301,248	722,852,077	0
74	MID CO COMMODITIES INC	FCM	NFA	08/31/2011	12,336,128	6,757,816	5,578,312	61,476,168	0	0
75	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	08/31/2011	108,908,005	1,461,579	107,446,426	0	0	0
76	MITSUMI BUSSAN COMMODITIES USA INC	FCM	NFA	08/31/2011	2,981,295	1,000,000	1,981,295	0	0	0
77	MIZUHO SECURITIES USA INC	FCM BD	CME	08/31/2011	321,444,803	103,021,916	218,422,887	885,739,182	506,034,885	0
78	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	08/31/2011	453,036,240	8,399,364	444,636,876	0	0	0
79	MORGAN STANLEY & CO LLC	FCM BD	CME	08/31/2011	6,685,669,923	1,119,493,330	5,566,176,593	5,586,486,618	2,078,950,067	0
80	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	08/31/2011	1,036,306,870	38,917,977	997,388,893	622,547,356	2,005,852,464	0
81	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	08/31/2011	214,261,018	1,000,000	213,261,018	0	0	0
82	NEUBERGER BERMAN LLC	FCM BD	NFA	08/31/2011	47,954,140	1,500,000	46,454,140	0	0	0
83	NEWEDGE USA LLC	FCM BD	NYME	08/31/2011	2,208,154,006	1,455,692,649	752,461,357	22,348,933,866	6,962,103,616	0
84	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	08/31/2011	2,122,533,472	23,011,104	2,099,522,368	79,859,195	213,060	0

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	(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation	
							(e)	(f)	(g)	
85	OANDA CORPORATION	FCMRFD	NFA	08/31/2011	157,069,503	27,573,894	129,495,609	0	0	161,477,887
86	OPEN E CRY LLC	FCM	NFA	08/31/2011	3,319,394	1,000,000	2,319,394	81,526,403	2,879,791	0
87	OPPENHEIMER & CO INC	FCM BD	NFA	08/31/2011	164,082,477	24,429,076	139,653,401	20,527,356	0	0
88	OPTIONSXPRESS INC	FCM BD	NFA	08/31/2011	79,565,119	13,822,249	65,742,870	96,584,128	2,934,199	0
89	PENSON FINANCIAL SERVICES INC	FCM BD	CBOT	08/31/2011	191,148,644	33,100,070	158,048,574	867,775,264	35,403,831	0
90	PENSON FUTURES	FCM	NFA	08/31/2011	2,152,683	1,000,000	1,152,683	774,464	0	0
91	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	08/31/2011	35,922,896	21,199,593	14,723,303	410,835,847	27,129,986	33,991,858
92	PHILLIP FUTURES INC	FCM	CME	08/31/2011	9,787,785	1,103,086	8,684,699	48,723,777	0	0
93	PIONEER FUTURES INC	FCM	NFA	08/31/2011	3,181,608	1,000,000	2,181,608	0	0	0
94	RAND FINANCIAL SERVICES INC	FCM	CME	08/31/2011	74,673,034	17,183,293	57,489,741	207,273,400	28,240,900	0
95	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	08/31/2011	434,295,925	30,604,913	403,691,012	0	0	0
96	RBC CAPITAL MARKETS LLC	FCM BD	CME	08/31/2011	1,159,503,935	87,452,235	1,072,051,700	419,595,870	27,273,785	0
97	RBS SECURITIES INC	FCM BD	CBOT	08/31/2011	2,417,786,700	295,179,160	2,122,607,540	2,181,456,842	116,444,635	0
98	RJ OBRIEN ASSOCIATES LLC	FCM	CME	08/31/2011	165,942,254	109,830,025	56,112,229	2,777,662,134	82,413,509	3,257,564
99	ROSENTHAL COLLINS GROUP LLC	FCM	CME	08/31/2011	71,118,764	46,060,126	25,058,638	1,477,750,577	20,420,330	0
100	ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	08/31/2011	42,480,711	1,000,000	41,480,711	0	0	0
101	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	08/31/2011	178,661,142	13,641,849	165,019,293	0	0	0
102	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	08/31/2011	224,487,717	14,169,209	210,318,508	432,041,515	0	0
103	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	08/31/2011	425,238,397	7,685,741	417,552,656	61,957,187	3,129,268	0
104	STEPHENS	FCM BD	NFA	08/31/2011	76,008,021	13,615,787	62,392,234	0	0	0
105	STERLING COMMODITIES CORP	FCM	NFA	08/31/2011	4,143,072	1,000,000	3,143,072	0	0	0
106	STRAITS FINANCIAL LLC	FCM	CME	08/31/2011	7,558,514	1,000,000	6,558,514	14,497,560	74,307	0
107	TCA FUTURES LLC	FCM	NFA	08/31/2011	1,598,611	1,000,000	598,611	0	0	0
108	TD AMERITRADE INC	FCM BD	NFA	08/31/2011	367,642,606	1,000,000	366,642,606	0	0	0
109	TENCO INC	FCM	CBOT	08/31/2011	61,508,561	8,359,439	53,149,122	267,767,842	0	0
110	TIMBER HILL LLC	FCM BD	CME	08/31/2011	876,232,874	54,457,279	821,775,595	633,344,713	0	0
111	TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	08/31/2011	1,547,117	1,000,000	547,117	0	0	0
112	TRADELINK LLC	FCM BD	CBOT	08/31/2011	35,250,005	1,000,000	34,250,005	0	0	0
113	TRADESTATION FOREX INC	RFED	NFA	08/31/2011	24,638,534	21,366,830	3,271,704	0	0	37,336,604
114	TRADESTATION SECURITIES INC	FCM BD	NFA	08/31/2011	58,390,273	2,556,652	55,833,621	421,542,431	9,523,579	0
115	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	08/31/2011	1,542,288	1,000,000	542,288	0	0	0
116	UBS FINANCIAL SERVICES INC	FCM BD	NFA	08/31/2011	1,426,293,972	127,441,001	1,298,852,971	175,966,344	424,682	0
117	UBS SECURITIES LLC	FCM BD	CBOT	08/31/2011	10,947,812,161	1,101,711,750	9,846,100,411	9,845,853,959	5,383,509,589	0
118	VELOCITY FUTURES LLC	FCM	NFA	08/31/2011	1,901,168	1,000,000	901,168	80,465,444	16,813,785	0
119	VISION FINANCIAL MARKETS LLC	FCM BD	CME	08/31/2011	27,969,471	8,964,130	19,005,341	360,781,068	9,222,600	0
120	WALL STREET DERIVATIVES INC	FCM	NFA	08/31/2011	1,477,897	1,000,000	477,897	0	0	0
121	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	08/31/2011	53,738,006	4,178,999	49,559,007	0	0	0
122	WELLS FARGO ADVISORS LLC	FCM BD	NFA	08/31/2011	848,868,046	1,500,000	847,368,046	0	0	0
123	WELLS FARGO SECURITIES LLC	FCM BD	CME	08/31/2011	1,909,474,162	13,659,425	1,895,814,737	0	0	0
124	WHITE COMMERCIAL CORPORATION	FCM	NFA	08/31/2011	2,668,191	1,000,000	1,668,191	0	0	0
125	XPRESSTRADE LLC	RFED	NFA	08/31/2011	22,865,434	20,000,000	2,865,434	0	0	578,289
126	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	08/31/2011	3,400,473	1,000,000	2,400,473	79,337,509	1,798,598	0

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Totals							170,185,554,883	44,069,283,523	711,381,458
July Web Page Update	125								
Additions	2								
BNP PARIBAS PRIME BROKERAGE INC									
PENSON FINANCIAL SERVICES INC									
Deletions	1								
TRILAND USA INC									
Name Change									
None									
August Web Page Update	126								
*Allianz Global Investors Distributors LLC files financial statements quarterly.									
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission; BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer; RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission; FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.									
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of:									
<ul style="list-style-type: none"> • FCM minimum dollar amount (\$1,000,000); or • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or • the amount of capital required by a registered futures association (currently NFA is the only such association); or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. 									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.									
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.									
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.									

SELECTED FCM FINANCIAL DATA AS OF
 August 31, 2011
 FROM REPORTS FILED BY
 October 2, 2011

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
	(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)
(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.									
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Swap Dealer and Intermediary Oversight via e-mail:									
DCIO@cftc.gov									