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<td>A/O</td>
<td>Adjusted Net Capital</td>
<td>Excess Net Capital</td>
<td>Seg Required</td>
<td>4d(a)(2)</td>
<td>Pt. 30 Required</td>
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**Name Change**

NONE

**August Web Page Update**

125

*Allianz Global Investors Distributors LLC files financial statements quarterly.

(a): B/D?: A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.

(b): DSRO: Designated Self-Regulatory Organization.

(c): A firm's net capital requirement is the greater of:

(1) $1,000,000; or

(2) risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total noncustomer risk maintenance margin; or

(3) The amount of capital required by a registered futures association (currently NFA is the only such association); or

(4) for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission.
| Futures Commission Merchant | Adjusted Net Capital | Net Capital Requirement | Excess Net Capital | Seg Required | 4d(a)(2) Pl. 30 Required | Customer | Customer
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<td>(b)</td>
<td>(c)</td>
<td>(d)</td>
<td>(e)</td>
<td>(f)</td>
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<td>(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.</td>
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<td>(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.</td>
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<td>(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.</td>
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Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: mhendrickso@cftc.gov or mfranklin@cftc.gov.