

SELECTED FCM FINANCIAL DATA AS OF
 June 30, 2015
 FROM REPORTS FILED BY
 July 28, 2015

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation	
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)		
1																						
2																						
3																						
4	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	6/30/2015	541,251,170	160,772,998	380,478,172	2,632,729,300	2,335,711,976	297,017,324	126,636,400	168,405,933	119,618,093	48,787,840	17,942,714		0	0	0	0	
5	2	ADM INVESTOR SERVICES INC	FCM	CBOT	6/30/2015	295,124,124	163,331,231	131,792,893	4,042,028,282	3,801,741,515	240,286,767	120,000,000	256,216,182	195,651,976	60,564,206	20,000,000	11,890,130	904,170	10,985,960	8,000,000	0	
6	3	ADVANTAGE FUTURES LLC	FCM	CME	6/30/2015	23,553,923	15,724,066	7,829,837	5,759,909,408	560,564,234	15,345,174	9,000,000	35,855,739	34,096,271	1,759,468	1,000,000	0	0	0	0	0	
7	4	AMP GLOBAL CLEARING LLC	FCM	NFA	6/30/2015	2,733,233	1,500,000	1,233,233	59,106,010	57,460,577	1,645,433	900,000	1,550,105	1,194,257	355,848	150,000	0	0	0	0	0	
8	5	BARCLAYS CAPITAL INC	FCM BD	NYME	6/30/2015	6,382,843,235	1,257,075,893	5,125,767,342	6,722,598,191	5,976,106,727	746,491,464	328,685,870	3,088,188,660	2,865,230,418	222,958,242	143,834,567	5,920,138,048	5,024,651,814	895,486,234	180,385,000	0	
9	6	BGC FINANCIAL LP	FCM BD	NFA	6/30/2015	48,278,819	2,245,724	46,033,095	0	0	0	0	0	0	0	0	0	0	0	0	0	
10	7	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	6/30/2015	3,133,806,079	258,509,547	2,875,296,532	2,474,754,484	2,197,701,548	277,052,936	219,770,155	28,021,397	15,000,626	13,020,771	10,000,000	9,997,439	1,890,936	8,106,503	5,000,000	0	
11	8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	6/30/2015	1,655,890,206	210,008,318	1,445,881,888	1,128,196,991	916,102,690	212,094,301	183,220,538	40,450,809	24,057,878	16,392,931	2,405,788	753,987,030	604,190,996	149,796,034	60,419,100	0	
12	9	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	6/30/2015	11,950,517	1,000,000	10,950,517	203	0	203	1	184	0	184	1	6	0	6	1	0	
13	10	CANTOR FITZGERALD & CO	FCM BD	CBOT	6/30/2015	281,785,756	3,574,877	278,210,879	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0	
14	11	CHS HEDGING LLC	FCM	CBOT	6/30/2015	28,192,093	10,127,358	18,064,735	109,885,021	91,062,735	18,822,286	13,100,000	1,703,394	279,610	1,423,784	545,000	686,187	182,100	504,087	310,000	0	
15	12	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	6/30/2015	6,928,279,337	1,368,080,418	5,560,198,919	6,923,815,945	6,587,048,341	336,767,604	316,000,000	1,331,658,131	1,072,911,231	258,746,900	150,000,000	7,626,637,618	7,136,662,069	489,975,549	209,175,000	0	
16	13	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	6/30/2015	8,329,224,934	2,276,772,350	6,052,452,584	8,045,768,551	7,533,780,950	511,987,601	376,689,047	3,414,950,306	2,939,496,213	475,454,093	146,974,811	14,211,351,254	12,469,517,615	1,741,833,639	623,475,881	0	
17	14	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	6/30/2015	5,245,407	1,184,384	4,061,023	67,978,164	63,964,045	4,014,119	3,050,000	338,025	61,299	276,726	100,000	0	0	0	0	0	
18	15	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	6/30/2015	492,937,578	8,423,227	484,514,351	5,452,667	0	5,452,667	1	545,059	0	545,059	1	0	0	0	0	0	
19	16	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	6/30/2015	11,620,226,773	610,702,048	11,009,524,725	3,696,792,101	3,417,968,802	278,823,299	200,000,000	1,174,779,235	957,775,301	217,003,934	150,000,000	2,269,384,411	1,918,848,356	350,536,055	250,000,000	0	
20	17	DORMAN TRADING LLC	FCM	CME	6/30/2015	9,760,996	1,977,211	7,783,785	181,123,696	177,072,638	4,051,058	2,000,000	3,814,606	3,286,114	528,492	200,000	0	0	0	0	0	
21	18	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	6/30/2015	74,451,599	35,300,779	39,150,820	822,688,898	846,662,157	23,973,259	14,000,000	66,739,546	61,630,378	5,109,168	2,000,000	0	0	0	0	0	
22	19	E TRADE CLEARING LLC	FCM BD	NFA	6/30/2015	971,250,398	179,842,541	791,407,857	59,369,709	44,979,313	14,390,396	10,000,000	1,556,655	447,501	1,109,154	1,000,000	0	0	0	0	0	
23	20	EFL FUTURES LIMITED	FCM	CME	6/30/2015	36,166,331	15,768,366	20,397,965	226,392,346	213,430,508	12,961,838	5,000,000	0	0	0	0	0	0	0	0	0	
24	21	FCSTONE LLC	FCM	CME	6/30/2015	115,884,502	63,273,116	52,611,386	1,688,607,114	1,641,465,690	47,141,424	24,000,000	78,459,694	59,488,031	18,971,663	8,000,000	0	0	0	0	0	
25	22	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	6/30/2015	57,515,616	28,933,785	28,581,831	0	0	0	0	0	0	0	0	0	0	0	188,675,708	0	
26	23	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	6/30/2015	32,617,317	25,116,011	7,501,306	243,629,145	227,246,801	16,382,344	2,500,000	7,516,645	3,969,676	3,546,969	312,500	0	0	0	0	112,320,218	
27	24	GH FINANCIALS LLC	FCM	CME	6/30/2015	15,695,542	3,832,590	11,862,952	61,741,876	51,669,219	10,072,657	2,500,000	300,650	1,290	299,360	75,000	0	0	0	0	0	
28	25	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	6/30/2015	15,351,554,891	2,468,099,192	12,883,455,699	22,622,868,650	21,998,863,482	624,005,168	475,000,000	11,920,684,021	10,760,907,415	1,159,776,606	475,000,000	4,778,687,477	4,260,328,017	518,359,460	350,000,000	0	
29	26	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	6/30/2015	1,616,316,835	173,380,647	1,442,936,188	1,226,656,934	643,838,989	582,817,945	540,000,000	70,089,607	19,636,208	50,453,399	40,000,000	0	0	0	0	0	
30	27	HSBC SECURITIES USA INC	FCM BD	CME	6/30/2015	1,063,527,973	222,340,491	841,187,482	1,205,893,044	1,109,299,070	96,593,974	50,000,000	133,466,869	96,772,285	36,694,584	10,000,000	897,178,977	745,077,533	152,101,444	70,000,000	0	
31	28	IBFX INC	RFED SD	NFA	6/30/2015	35,071,679	22,056,613	13,015,066	0	0	0	0	0	0	0	0	0	0	0	0	47,142,529	
32	29	INTERACTIVE BROKERS LLC	FCM BD	CME	6/30/2015	2,341,335,394	303,778,215	2,037,557,179	2,693,820,366	2,467,386,015	226,434,351	155,000,000	611,703,394	468,860,847	142,842,547	80,000,000	0	0	0	0	41,722,892	
33	30	INTL FCSTONE FINANCIAL INC	FCM BD	CME	6/30/2015	12,888,901	1,254,795	11,634,106	0	0	0	0	0	0	0	0	0	0	0	0	0	
34	31	IRONBEAM INC	FCM	NFA	6/30/2015	2,204,643	1,000,000	1,204,643	41,061,936	39,747,050	1,314,886	800,000	257,194	123,429	133,765	50,000	0	0	0	0	0	
35	32	ITG DERIVATIVES LLC	FCM BD	NFA	6/30/2015	1,981,450	1,000,000	981,450	0	0	0	0	0	0	0	0	0	0	0	0	0	
36	33	JEFFERIES LLC	FCM BD	CBOT	6/30/2015	1,144,857,619	79,030,274	1,065,827,345	502,344,096	385,767,459	116,576,637	90,000,000	97,557,448	27,670,006	69,887,442	50,000,000	17,301,444	5,062,143	12,239,301	5,000,000	0	
37	34	JP MORGAN CLEARING CORP	FCM BD	NFA	6/30/2015	8,047,617,233	1,714,349,195	6,333,268,038	805,560,015	552,899,639	252,660,376	49,760,968	778,994,927	594,683,888	184,311,039	53,521,550	250,000	0	250,000	1	0	
38	35	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	6/30/2015	13,278,725,074	2,456,605,709	10,822,119,365	18,325,899,957	16,722,449,458	1,603,450,499	1,505,020,451	4,025,983,383	3,496,560,203	529,423,180	314,690,418	7,302,256,320	6,628,826,520	673,429,800	596,594,387	0	
39	36	MACQUARIE FUTURES USA LLC	FCM	CBOT	6/30/2015	184,698,473	153,774,461	30,924,012	2,138,477,478	1,977,480,571	160,996,907	90,000,000	30,774,150	14,722,117	16,052,033	1,030,548	22,760,182	4,431,202	18,328,980	310,184	0	
40	37	MAREX NORTH AMERICA LLC	FCM	CME	6/30/2015	18,805,907	10,763,754	8,042,153	141,281,060	136,698,784	4,582,276	3,500,000	35,184,242	33,912,919	1,271,323	1,000,000	0	0	0	0	0	
41	38	MB TRADING FUTURES INC	RFED	NFA	6/30/2015	24,234,321	20,392,037	3,842,284	0	0	0	0	0	0	0	0	0	0	0	0	17,840,735	
42	39	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	6/30/2015	13,907,125	2,390,167	11,516,958	933,686,906	912,942,675	20,744,231	18,000,000	2,417,861	0	2,417,861	400,000	0	0	0	0	0	
43	40	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	6/30/2015	9,490,479,540	1,456,789,619	8,033,689,921	12,110,285,822	11,736,721,808	373,564,014	200,000,000	3,313,661,762	3,094,644,706	219,017,056	150,000,000	4,213,260,314	3,869,374,868	343,885,446	150,000,000	0	
44	41	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	6/30/2015	2,956,651,676	461,995,704	2,494,655,972	1,487,895,571	904,990,885	582,904,686	300,000,000	4,032,811	324,163	3,708,648	1,000,000	0	0	0	0	0	
45	42	MID CO COMMODITIES INC	FCM	NFA	6/30/2015	8,230,183	2,413,708	5,816,475	12,184,578	8,822,031	3,362,547	5,000,000	0	0	0	0	0	0	0	0	0	
46	43	MINT BROKERS	FCM BD	NFA	6/30/2015	6,708,855	1,000,000	5,708,855	0	0	0	0	0	0	0	0	0	0	0	0	0	
47	44	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	6/30/2015	403,461,072	1,000,000	402,461,072	0	0	0	0	0	0	0	0	0	0	0	0	0	
48	45	MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	6/30/2015	647,554,378	233,790,812</															

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		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1																					
2																					
3																					
87																					
88		Deletions	0																		
89																					
90		Name Changes																			
91		NONE																			
92																					
93		June Web Page Update	75																		
94																					
95		(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.																			
96		BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.																			
97		RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.																			
98		FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.																			
99		SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.																			
100																					
101		(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.																			
102																					
103		(c): A firm's net capital requirement is the greater of:																			
104		• FCM minimum dollar amount (\$1,000,000); or																			
105		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or																			
106		• the amount of capital required by a registered futures association (currently NFA is the only such association); or																			
107		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or																			
108		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or																			
109		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.																			
110																					
111																					
112		(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.																			
113																					
114		(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.																			
115																					
116		(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.																			
117																					
118		(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.																			
119																					
120		(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.																			
121																					
122		(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.																			
123																					
124		(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.																			
125																					
126		(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.																			
127																					
128		(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.																			
129																					
130		(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.																			
131																					
132		(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.																			
133																					
134		(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.																			
135																					
136		(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.																			
137																					
138		(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.																			