

SELECTED FCM FINANCIAL DATA AS OF
June 30, 2013
FROM REPORTS FILED BY
August 02, 2013

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount	
2		Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex	
3									in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation	
4															Accounts	
5				(a)	(b)					(e)	(f)	(g)	(h)	(i)	(j)	(k)
6																
7	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	6/30/2013	438,981,131	125,119,181	313,861,950	2,720,601,655	2,465,733,838	254,867,817	148,377,996	105,593,754	42,784,242	0	
8	2	ADM INVESTOR SERVICES INC	FCM	CBOT	6/30/2013	271,925,497	139,189,496	132,736,001	3,240,534,700	2,996,963,649	243,571,051	206,042,478	135,503,551	70,538,927	0	
9	3	ADVANTAGE FUTURES LLC	FCM	CME	6/30/2013	22,772,553	10,505,479	12,267,074	458,266,191	443,152,550	15,113,641	31,870,674	28,559,821	3,310,853	0	
10	4	ALPARI (US) LLC	FCM	NFA	6/30/2013	23,321,257	20,077,365	3,243,892	4,094,012	1,332,575	2,761,437	4,103,896	2,580,231	1,523,665	11,547,290	
11	5	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	6/30/2013	136,047,354	1,000,000	135,047,354	0	0	0	0	0	0	0	
12	6	AMP GLOBAL CLEARING LLC	FCM	NFA	6/30/2013	2,508,979	1,500,000	1,008,979	42,618,086	40,997,979	1,620,107	559,327	364,968	194,359	0	
13	7	BARCLAYS CAPITAL INC	FCM BD	NYME	6/30/2013	5,993,062,818	1,192,813,959	4,800,248,859	6,297,318,824	6,061,103,578	236,215,246	4,359,729,431	4,119,114,747	240,614,684	0	
14	8	BGC FINANCIAL LP	FCM BD	NFA	6/30/2013	48,325,117	7,487,426	40,837,691	0	0	0	0	0	0	0	
15	9	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	6/30/2013	3,386,135,246	228,410,786	3,157,724,460	2,494,374,125	2,251,596,291	242,777,834	20,669,559	3,220,238	17,449,321	0	
16	10	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	6/30/2013	1,400,900,250	138,229,988	1,262,670,262	978,591,048	615,566,709	363,024,339	1,000,000	0	1,000,000	0	
17	11	BNY MELLON CLEARING LLC	FCM	CME	6/30/2013	287,463,464	20,000,000	267,463,464	255,352,341	93,531,405	161,820,936	34,969,888	15,553,793	19,416,095	0	
18	12	BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	6/30/2013	4,769,865	1,000,000	3,769,865	0	0	0	0	0	0	0	
19	13	CANTOR FITZGERALD & CO	FCM BD	CBOT	6/30/2013	221,804,656	9,227,995	212,576,661	6,212,232	1,247,147	4,965,085	0	0	0	0	
20	14	CAPITAL MARKET SERVICES LLC	FCM	NFA	6/30/2013	2,250,260	1,000,000	1,250,260	0	0	0	0	0	0	0	
21	15	CHS HEDGING INC	FCM	CBOT	6/30/2013	25,745,543	9,349,663	16,395,880	180,747,828	156,366,548	24,381,280	1,447,905	454,525	993,380	0	
22	16	CITADEL SECURITIES LLC	FCM BD	CME	6/30/2013	393,089,594	1,215,260	391,874,334	0	0	0	0	0	0	0	
23	17	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	6/30/2013	5,090,243,714	796,530,980	4,293,712,734	7,512,038,174	7,173,583,785	338,454,389	1,249,835,222	972,871,441	276,963,781	0	
24	18	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	6/30/2013	6,775,330,708	2,076,470,324	4,698,860,384	8,572,355,980	7,177,950,056	1,394,405,924	3,310,194,016	2,683,084,863	627,109,153	0	
25	19	CROSSLAND LLC	FCM	CBOT	6/30/2013	9,194,606	3,139,575	6,055,031	85,109,702	83,490,249	1,619,453	18,212,162	17,438,071	774,091	0	
26	20	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	6/30/2013	2,654,670	1,500,000	1,154,670	58,843,126	57,850,926	992,200	894,232	741,875	152,357	0	
27	21	CX CAPITAL MARKETS LLC	FCM BD	NFA	6/30/2013	1,014,164	1,000,000	14,164	0	0	0	0	0	0	0	
28	22	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	6/30/2013	339,099,150	7,339,356	331,759,794	8,183,026	3,546,631	4,636,395	1,010,107	0	1,010,107	0	
29	23	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	6/30/2013	7,397,566,133	685,244,849	6,712,321,284	14,168,918,178	13,531,238,789	637,679,389	1,334,841,513	1,088,818,794	246,022,719	0	
30	24	DORMAN TRADING LLC	FCM	CME	6/30/2013	11,304,554	1,251,303	10,053,251	138,297,442	134,866,947	3,430,495	2,230,646	1,854,561	376,085	0	
31	25	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	6/30/2013	24,942,510	5,333,653	19,608,857	162,479,032	153,641,468	8,837,564	1,907,613	1,300,064	607,549	0	
32	26	E TRADE CLEARING LLC	FCM BD	NFA	6/28/2013	687,445,077	134,114,006	553,331,071	49,414,203	39,025,119	10,389,084	1,380,675	380,836	999,839	0	
33	27	ENSKILDA FUTURES LTD	FCM	CME	6/28/2013	35,076,303	16,568,844	18,507,459	211,053,524	199,569,145	11,484,379	0	0	0	0	
34	28	FCSTONE LLC	FCM	CME	6/30/2013	106,179,518	66,215,840	39,963,678	1,638,625,455	1,617,184,543	21,440,912	66,130,261	56,180,145	9,950,116	0	
35	29	FOREX CAPITAL MARKETS LLC	FCM	NFA	6/30/2013	75,518,635	27,052,140	48,466,495	0	0	0	0	0	0	151,042,804	
36	30	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	6/30/2013	4,626,094	1,000,000	3,626,094	4,655,337	4,059,261	596,076	1,578,004	1,518,378	59,626	0	
37	31	FRONTIER FUTURES INC	FCM	NFA	6/30/2013	1,974,840	1,000,000	974,840	29,420,489	28,649,452	771,037	0	0	0	0	
38	32	FXDIRECTDEALER LLC	FCM	NFA	6/30/2013	23,747,949	20,864,981	2,882,968	0	0	0	0	0	0	27,299,630	
39	33	GAIN CAPITAL GROUP LLC	FCM	NFA	6/30/2013	45,390,222	24,983,703	20,406,519	135,169,487	129,417,775	5,751,712	4,187,199	2,946,112	1,241,087	109,674,065	
40	34	GH FINANCIALS LLC	FCM	CME	6/30/2013	9,550,089	1,000,000	8,550,089	2,526,469	1,940,182	586,287	0	0	0	0	
41	35	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	6/30/2013	1,043,005	20,000,000	-18,956,995	0	0	0	0	0	0	0	
42	36	GOLDMAN SACHS & CO	FCM BD	CBOT	6/30/2013	13,985,860,536	2,019,764,330	11,966,096,206	18,882,981,406	18,360,733,356	522,248,050	9,726,988,631	9,230,038,097	496,950,534	0	
43	37	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	6/30/2013	1,950,215,929	133,082,105	1,817,133,824	1,483,675,459	895,677,803	587,997,656	70,899,944	24,812,145	46,087,799	0	
44	38	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	6/30/2013	76,680,508	1,394,797	75,285,711	0	0	0	0	0	0	0	
45	39	HSBC SECURITIES USA INC	FCM BD	CME	6/30/2013	1,059,976,468	106,978,911	952,997,557	882,647,060	827,082,633	55,564,427	95,897,327	64,326,041	31,571,286	0	
46	40	IBFX INC	RFED	NFA	6/30/2013	28,612,338	23,280,270	5,332,068	0	0	0	0	0	0	75,605,391	
47	41	INSTINET LLC	FCM BD	NFA	6/30/2013	95,284,044	3,160,054	92,123,990	0	0	0	0	0	0	0	
48	42	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	6/30/2013	33,733,420	20,177,695	13,555,725	0	0	0	0	0	0	13,553,891	
49	43	INTERACTIVE BROKERS LLC	FCM BD	NFA	6/30/2013	1,909,626,877	251,970,295	1,657,656,582	2,180,351,545	1,954,667,368	225,684,177	511,510,170	373,496,368	138,013,802	33,093,884	
50	44	IRONBEAM INC	FCM	NFA	6/30/2013	2,472,794	1,000,000	1,472,794	43,118,460	41,684,094	1,434,366	111,185	4,150	107,035	0	
51	45	ITG DERIVATIVES LLC	FCM BD	NFA	6/30/2013	3,905,267	1,000,000	2,905,267	0	0	0	0	0	0	0	
52	46	JEFFERIES BACHE LLC	FCM	CBOT	6/30/2013	244,062,000	130,468,160	113,593,840	2,178,598,000	2,078,132,000	100,466,000	196,061,000	124,800,000	71,261,000	0	
53	47	JP MORGAN CLEARING CORP	FCM BD	NFA	6/28/2013	6,690,730,070	1,690,944,668	4,999,785,402	917,231,039	679,504,350	237,726,689	647,246,164	394,555,542	252,690,622	0	
54	48	JP MORGAN SECURITIES LLC	FCM BD	CEI	6/30/2013	13,768,970,971	1,808,638,069	11,960,332,902	18,087,555,511	16,127,569,437	1,959,986,074	3,455,283,785	3,073,495,456	381,788,329	0	
55	49	KNIGHT CAPITAL AMERICAS LLC	FCM BD	CME	6/30/2013	318,684,657	21,296,172	297,388,485	517,503,201	501,771,934	15,731,267	12,053,300	7,482,183	4,571,117	35,923,441	
56	50	LEK SECURITIES CORPORATION	FCM BD	NFA	6/30/2013	9,050,018	1,500,000	7,550,018	10,389,652	8,829,375	1,560,277	0	0	0	0	
57	51	LINN GROUP THE	FCM	NFA	6/30/2013	3,391,175	1,934,073	1,457,102	81,791,016	78,236,220	3,554,796	347,705	0	347,705	0	

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1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount	
2		Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex	
3									in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation	
4															Accounts	
5				(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6																
124	(a): FCM:	Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;														
125	BD:	The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;														
126	RFED:	Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;														
127	FCMRFD:	The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.														
128																
129	(b): DSRO:	Designated Self-Regulatory Organization.														
130																
131	(c): A firm's net capital requirement is the greater of:															
132																
133		• FCM minimum dollar amount (\$1,000,000); or														
134		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or														
135		• the amount of capital required by a registered futures association (currently NFA is the only such association); or														
136		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or														
137		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or														
138		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.														
139																
140	(d):	Excess net capital is adjusted net capital, less the firm's net capital requirement.														
141																
142	(e):	This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.														
143																
144	(f):	This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.														
145																
146	(g):	Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.														
147																
148	(h):	This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.														
149																
150	(i):	This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.														
151																
152	(j):	Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.														
153																
154	(k):	This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.														