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<td>Excess Net Capital</td>
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<td>Target Residual Interest in Seg</td>
<td>Funds in Seg</td>
<td>Customer Amount Required In Section 30.7 Accounts</td>
<td>Excess/Deficient Funds in Separate Cleared Swap Seg</td>
<td>Target Residual Interest in Cleared Swap Seg</td>
<td>Funds in Separate Cleared Swap Seg</td>
<td>Customer Amount Required In Cleared Swap Seg</td>
<td>Excess/Deficient Funds in Separate FCM BD Accounts</td>
<td>Target Residual Interest in Separate FCM BD Seg</td>
<td>Funds in Separate FCM BD Seg</td>
<td>Customer Amount Required In Separate FCM BD Seg</td>
<td>Excess/Deficient Funds in Other Accounts</td>
<td>Target Residual Interest in Other Seg</td>
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**Excess Net Capital**
- This represents the total amount of funds that an FCM is required to segregate on behalf of customers who hold retail foreign exchange contracts. This is the sum of all accounts that contain a net liquidating equity.

**Excess/Deficient Funds in Seg**
- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- The amount of capital required by a registered futures association (currently NFA is the only such association); or
- The amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

**Target Residual Interest in Seg**
- The minimum dollar amount for FCMs engaged in retail forex transactions, or
- The minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- The amount of capital required by a registered futures association (currently NFA is the only such association); or
- The amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

**Funds in Seg**
- The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

**Customer Amount Required In Section 30.7 Accounts**
- The SDRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
- This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

**Excess/Deficient Funds in Separate FCM BD Accounts**
- This represents the total amount of funds that an FCM is required to segregate on behalf of customers who hold retail foreign exchange contracts. This is the sum of all accounts that contain a net liquidating equity.

**Target Residual Interest in Separate FCM BD Seg**
- The minimum dollar amount for FCMs engaged in retail forex transactions, or
- The minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- The amount of capital required by a registered futures association (currently NFA is the only such association); or
- The amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

**Funds in Separate FCM BD Seg**
- The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

**Customer Amount Required In Separate FCM BD Seg**
- The SDRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
- This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

**Excess/Deficient Funds in Other Accounts**
- This represents the total amount of funds that an FCM is required to segregate on behalf of customers who hold retail foreign exchange contracts. This is the sum of all accounts that contain a net liquidating equity.

**Target Residual Interest in Other Seg**
- The minimum dollar amount for FCMs engaged in retail forex transactions, or
- The minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- The amount of capital required by a registered futures association (currently NFA is the only such association); or
- The amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

**Total Amount of Required Seg Capital**
- This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

**Excess/Deficient Funds in Seg**
- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- The amount of capital required by a registered futures association (currently NFA is the only such association); or
- The amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

**Target Residual Interest in Seg**
- The minimum dollar amount for FCMs engaged in retail forex transactions, or
- The minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- The amount of capital required by a registered futures association (currently NFA is the only such association); or
- The amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

**Funds in Seg**
- The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

**Customer Amount Required In Seg**
- The SDRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
- This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

**Excess/Deficient Funds in Separate Accounts**
- This represents the total amount of funds that an FCM is required to segregate on behalf of customers who hold retail foreign exchange contracts. This is the sum of all accounts that contain a net liquidating equity.

**Target Residual Interest in Separate Accounts**
- The minimum dollar amount for FCMs engaged in retail forex transactions, or
- The minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- For securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- The amount of capital required by a registered futures association (currently NFA is the only such association); or
- The amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

**Funds in Separate Accounts**
- The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

**Customer Amount Required In Separate Accounts**
- The SDRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
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**Excess/Deficient Funds in Other Accounts**
- This represents the total amount of funds that an FCM is required to segregate on behalf of customers who hold retail foreign exchange contracts. This is the sum of all accounts that contain a net liquidating equity.

**Target Residual Interest in Other Accounts**
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