| Futures Commission Merchant / Retail Foreign Exchange Dealer | Registered As | DISRIO | As of Date | Adjusted Net Capital | Net Capital Requirement | Excess Net Capital | Customers' Assets in Seg | Customers' Seg Required Assets(2) | Excess/Deficient Funds in Seg | Target Residual Interests in Seg | Funds in Separate Section 35.7 Accounts | Customer Amount Required | Excess/Deficient Funds in Separate Section 35.7 Accounts | Target Residual Interests in Section 35.7 | Funds in Separate Clearing Swap Segregation | Customer Amount Required in Clearing Swap Segmentation | Excess/Deficient Funds in Clearing Swap Segmentation Accounts | Target Residual Interests in Clearing Swap Segmentation | Total Amount of Retail Foreign Obligation |
|-------------------------------------------------------------|---------------|--------|------------|----------------------|----------------------|----------------------|---------------------------|-----------------------------------|-----------------------------------|------------------------------------------|--------------------------------|--------------------------------|------------------------------------------|--------------------------------|--------------------------------|--------------------------------|-------------------------------------------------|-----------------------------------------------------------------|
| CANTOR FITZGERALD & CO FCM BD CBOT 03/31/2018 463,161,521 | 5,605,595 | 457,555,926 | 4,969,921 | 3,000,000 | 0 | | | | | | | | | | | | | | | |
| DEUTSCHE BANK SECURITIES INC FCM BD CBOT 03/31/2018 11,967,088,409 | 2,562,543,901 | 11,714,544,508 | 2,363,181,564 | 215,942,139 | 1 | | | | | | | | | | | | | | | | |
| HSBC SECURITIES USA INC FCM BD CME 03/31/2018 274,901,183 | 161,705,965 | 113,195,218 | 2,063,246,497 | 100,000,000 | 0 | | | | | | | | | | | | | | | | |
| MACQUARIE FUTURES USA LLC FCM CBOT 03/31/2018 274,901,183 | 161,705,965 | 113,195,218 | 2,063,246,497 | 100,000,000 | 0 | | | | | | | | | | | | | | | | |
| PICTET OVERSEAS INC FCM BD NFA 03/31/2018 16,897,389 | 1,000,000 | 15,897,389 | 1,785,407 | 1,500,000 | 500,000 | | | | | | | | | | | | | | | |
| SHIEKH FUTURES INT'LS INC FCM CBOT 03/31/2018 274,901,183 | 161,705,965 | 113,195,218 | 2,063,246,497 | 100,000,000 | 0 | | | | | | | | | | | | | | | | |
| SCOTIA CAPITAL USA INC FCM BD CME 03/31/2018 961,081,569 | 49,144,297 | 911,937,272 | 20,000,000 | 1,051,860,602 | 919,719,944 | 132,140,658 | 75,000,000 | 25,189,331 | 4,715,508 | 20,473,823 | 1,000,000 | 0 | | | | | | | | |

**Excess/Deficient Customers' Seg Funds in Separate Section 30.7**
February Web Page Update

Name Chan

Registered with the Commodity Futures Trading Commission as a Retail Forex Dealer.

FCMRFD: The FCM is also registered as a swap dealer.

RFED: Retail Forex Dealer.

Interpretation and application of Parts 30 and 4d.

The amount of funds at an FCM represents the total amount of money, securities and property held in customer accounts for cleared swaps.

Deficient funds in cleared swap accounts is funds in separate Section 4d accounts, less the customer amount Part 4d requirement.

Excess swap capital is adjusted net capital, less the firm's net capital required by Section 4d of the commodity Exchange Act.

Excess net capital is adjusted net capital, less the firm's net capital required by Section 30.7 of the commodity Exchange Act.

Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

Excess/Deficient funds in separate Section 4d accounts is funds in separate Section 4d accounts, less the customer amount Part 4d requirement.

Customer accounts in separate Section 4d accounts are accounts for cleared swaps.

Deficient funds in swap accounts is funds in customer accounts for cleared swaps, less the customer amount Part 4d requirement.

Deficient funds in separate Section 4d accounts is funds in separate Section 4d accounts, less the customer amount Part 4d requirement.

Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

Deficient funds in customer accounts is funds in customer accounts, less the customer amount Part 30 requirement.

Customer accounts in separate Section 30.7 accounts are accounts for cleared swaps.

Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

Excess/Deficient funds in customer accounts is funds in customer accounts, less the customer amount Part 30 requirement.

Interpretation and application of Parts 30 and 4d.