

SELECTED FCM FINANCIAL DATA AS OF
 March 31, 2017
 FROM REPORTS FILED BY
 April 30, 2017

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
1																				
2																				
3																				
4	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	3/31/2017	647,908,861	183,913,863	463,994,998	3,089,789,218	2,754,407,697	335,381,521	162,911,310	127,740,354	103,454,944	24,285,410	10,345,494	0	0	0	0
5	2	ADM INVESTOR SERVICES INC	FCM	CBOT	3/31/2017	306,488,623	195,242,687	111,245,936	4,828,586,473	4,596,823,246	231,763,227	120,000,000	432,906,105	374,993,050	57,913,055	20,000,000	12,493,640	2,952,212	9,541,428	8,000,000
6	3	ADVANTAGE FUTURES LLC	FCM	CME	3/31/2017	24,769,297	17,539,354	7,229,943	648,226,590	634,710,901	13,015,689	9,000,000	46,466,323	44,526,406	1,939,917	1,000,000	0	0	0	0
7	4	AMP GLOBAL CLEARING LLC	FCM	NFA	3/31/2017	2,683,234	1,500,000	1,183,234	55,148,978	52,123,202	3,025,776	900,000	1,786,957	1,484,223	302,734	150,000	0	0	0	0
8	5	APERTURE LLC	FCM	NFA	3/31/2017	28,137,072	1,051,483	27,085,589	8,165,370	5,989,290	2,176,080	600,000	0	0	0	0	0	0	0	0
9	6	APEX CLEARING CORPORATION	FCM BD	NFA	3/31/2017	125,809,830	20,336,350	105,473,480	0	0	0	0	0	0	0	0	0	0	0	0
10	7	BARCLAYS CAPITAL INC	FCM BD	NYME	3/31/2017	7,780,515,625	1,248,147,258	6,532,368,367	6,216,816,398	5,582,356,115	634,460,283	307,029,586	4,027,156,816	3,600,004,400	427,152,416	180,720,221	5,740,881,470	5,040,654,516	700,226,954	200,113,984
11	8	BGC FINANCIAL LP	FCM BD	NFA	3/31/2017	44,620,651	1,931,451	42,689,200	0	0	0	0	0	0	0	0	0	0	0	0
12	9	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	3/31/2017	1,544,286,634	206,288,371	1,337,998,263	2,419,507,392	2,221,635,459	197,871,933	150,000,000	36,630,771	26,835,262	9,795,509	7,500,000	22,653,235	13,017,938	9,635,297	7,500,000
13	10	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	3/31/2017	1,560,901,056	234,078,640	1,326,822,416	1,217,604,143	1,114,041,881	103,562,262	82,500,000	101,549,869	72,670,548	28,879,321	22,500,000	828,734,125	678,458,862	150,275,263	120,000,000
14	11	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	3/31/2017	8,024,689	1,485,052	6,539,637	19,910,687	16,868,765	3,041,922	1,000,000	6	6	6	1	6	6	6	1
15	12	CANTOR FITZGERALD & CO	FCM BD	CBOT	3/31/2017	272,093,587	4,737,028	267,356,559	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0
16	13	CHS HEDGING LLC	FCM	CBOT	3/31/2017	38,242,268	9,211,023	29,031,245	183,451,694	131,430,349	52,021,345	13,100,000	1,740,510	212,614	1,527,896	545,000	503,912	0	503,912	310,000
17	14	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	3/31/2017	10,538,766,077	1,958,199,343	8,580,566,734	9,019,909,240	8,534,698,345	485,210,895	324,200,000	1,865,407,726	1,683,837,691	181,570,035	150,000,000	16,667,843,185	16,272,503,976	395,339,209	304,875,000
18	15	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	3/31/2017	9,883,940,154	1,564,303,458	8,319,636,696	6,789,049,430	6,379,363,812	409,685,618	318,968,191	3,292,867,774	3,090,549,799	202,317,975	154,527,490	13,274,968,747	12,573,142,215	701,826,532	628,657,111
19	16	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	3/31/2017	5,191,796	1,196,385	3,995,411	69,377,038	65,556,979	3,820,059	3,050,000	166,207	5,610	160,597	50,000	0	0	0	0
20	17	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	3/31/2017	630,257,901	8,642,039	621,615,862	2,168,517	0	2,168,517	1	550,020	0	550,020	1	0	0	0	0
21	18	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	3/31/2017	12,573,164,302	586,310,757	11,986,853,545	3,328,360,125	3,124,754,804	203,605,321	125,000,000	769,770,250	644,824,621	124,945,629	75,000,000	557,362,698	356,989,422	200,373,276	100,000,000
22	19	DORMAN TRADING LLC	FCM	CME	3/31/2017	11,408,920	1,995,541	9,413,379	171,482,253	167,413,637	4,068,616	2,000,000	3,857,981	3,171,641	686,340	200,000	0	0	0	0
23	20	E & F MAN CAPITAL MARKETS INC	FCM BD	CME	3/31/2017	124,979,104	61,303,549	63,675,555	1,600,165,898	1,534,572,764	65,593,134	35,000,000	45,248,263	37,695,617	7,552,646	5,000,000	3,015,971	1,876,357	1,139,614	500,000
24	21	E TRADE SECURITIES LLC	FCM BD	NFA	3/31/2017	1,005,838,227	167,198,816	838,639,411	75,193,221	56,942,610	18,250,611	10,000,000	1,000,000	0	1,000,000	1,000,000	0	0	0	0
25	22	EFL FUTURES LIMITED	FCM	CME	3/31/2017	38,865,437	19,844,892	19,020,545	265,549,609	252,029,696	13,519,913	5,000,000	0	0	0	0	0	0	0	0
26	23	GAIN CAPITAL GROUP LLC	FCM/NFA	NFA	3/31/2017	43,579,557	34,100,367	9,479,190	296,391,327	284,362,288	12,029,039	10,000,000	4,788,199	3,099,833	1,688,366	1,000,000	0	0	0	272,197,721
27	24	GH FINANCIALS LLC	FCM	CME	3/31/2017	21,680,778	5,849,281	15,831,497	108,730,735	96,585,125	12,145,610	6,000,000	2,885,441	1,805,541	1,079,900	550,000	0	0	0	0
28	25	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	3/31/2017	16,094,995,138	2,592,908,998	13,502,086,150	24,589,390,439	23,533,256,545	1,056,133,894	875,000,000	12,137,941,524	11,491,873,210	646,068,314	475,000,000	4,981,784,504	4,486,872,928	494,911,576	350,000,000
29	26	HSBC SECURITIES USA INC	FCM BD	CME	3/31/2017	1,039,962,375	229,785,907	810,176,468	1,276,994,868	1,194,996,998	81,997,850	70,000,000	203,043,588	167,173,541	35,870,047	25,000,000	1,197,456,450	1,074,102,512	123,353,938	100,000,000
30	27	INTERACTIVE BROKERS LLC	FCM BD	CME	3/31/2017	3,105,501,166	313,264,318	2,792,236,848	3,495,675,840	3,224,540,007	271,135,833	155,000,000	522,822,164	393,172,541	129,649,623	80,000,000	0	0	0	32,909,904
31	28	INTL FCSTONE FINANCIAL INC	FCM BD	CME	3/31/2017	146,715,915	83,361,183	63,354,732	2,126,966,838	2,075,580,269	51,386,569	35,000,000	145,523,853	128,696,880	16,826,973	8,000,000	0	0	0	0
32	29	IRONBEAM INC	FCM	NFA	3/31/2017	3,640,657	1,282,306	2,358,351	72,999,545	71,555,354	1,444,191	800,000	668,104	668,104	216,240	50,000	0	0	0	0
33	30	JEFFERIES LLC	FCM BD	NFA	3/31/2017	1,377,615,631	81,079,585	1,296,536,046	0	0	0	0	0	0	0	0	0	0	0	0
34	31	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	3/31/2017	15,303,940,210	2,831,706,429	12,472,233,781	19,313,940,552	17,782,245,685	1,531,694,867	978,023,513	4,786,447,962	4,311,316,037	475,131,925	366,461,863	11,155,679,476	10,274,967,977	880,711,499	642,185,499
35	32	MACQUARIE FUTURES USA LLC	FCM	CBOT	3/31/2017	278,766,403	147,701,726	131,064,677	2,008,088,552	1,796,646,628	211,623,924	100,000,000	47,089,302	27,948,229	19,141,073	7,000,000	30,274,104	11,567,883	18,706,221	1,000,000
36	33	MAREX NORTH AMERICA LLC	FCM	CME	3/31/2017	33,479,259	21,484,990	12,994,269	279,545,579	273,574,628	5,970,951	5,200,000	56,457,417	54,988,193	1,469,224	1,000,000	0	0	0	0
37	34	MCFEEN TRADING & INVESTMENTS LLC	FCM	NFA	3/31/2017	13,466,851	1,040,139	12,426,712	764,366,441	742,950,468	21,415,973	18,000,000	2,417,861	0	2,417,861	1,000,000	0	0	0	0
38	35	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	3/31/2017	12,532,476,418	1,703,172,836	10,829,303,582	13,964,204,041	13,672,376,940	291,827,101	200,000,000	3,707,178,532	3,433,930,291	273,248,241	150,000,000	5,559,993,011	5,070,564,987	489,428,024	150,000,000
39	36	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	3/31/2017	3,421,985,663	518,263,010	2,903,722,653	2,030,070,706	1,368,736,091	661,334,615	300,000,000	0	0	0	0	0	0	0	0
40	37	MID CO COMMODITIES INC	FCM	NFA	3/31/2017	8,380,610	3,243,221	5,137,389	40,736,672	41,564,929	9,171,743	5,138,000	0	0	0	0	0	0	0	0
41	38	MINT BROKERS	FCM BD	NFA	3/31/2017	5,035,441	1,000,000	4,035,441	0	0	0	0	0	0	0	0	0	0	0	0
42	39	MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	3/31/2017	748,864,017	225,314,735	523,549,282	2,649,172,640	2,450,480,064	198,692,576	125,000,000	702,835,614	576,763,662	126,071,952	70,000,000	44,366,127	14,274,428	30,091,699	15,000,000
43	40	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	3/31/2017	10,153,966,189	2,205,489,830	7,948,476,359	15,855,934,514	15,587,383,129	268,551,385	210,000,000	4,698,796,694	4,491,031,763	207,764,931	160,000,000	12,810,785,440	12,697,262,301	113,523,139	92,000,000
44	41	MUFG SECURITIES AMERICAS INC	FCM BD	NFA	3/31/2017	358,780,917	1,021,745	357,759,172	0	0	0	0	0	0	0	0	0	0	0	0
45	42	NANHUA USA LLC	FCM	CME	3/31/2017	18,091,491	4,925,080	13,166,411	108,757,256	96,487,165	12,270,091	3,500,000	0	0	0	0	0	0	0	0
46	43	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	3/31/2017	2,838,304,858	73,760,594	2,764,544,264	289,184,755	186,955,294	102,229,461	15,000,000	17,326,780	73,057	17,253,723	1,000,000	0	0	0	0
47	44	OANDA CORPORATION	FCM/NFA	NFA	3/31/2017	67,702,895	51,879,052	15,823,843	0	0	0	0	0	0	0	0	0	0	0	161,560,026
48	45	OPTIONSPRESS INC	FCM BD	NFA	3/31/2017	284,762,230	7,133,514	277,628,716	128,709,942	87,982,286	40,727,656	13,197,343	2,354,829	867,323	1,487,506	130,099	0	0	0	

