

SELECTED FCM FINANCIAL DATA AS OF
February 28, 2011
FROM REPORTS FILED BY
March 31, 2011

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount	
Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex	
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	02/28/2011	210,493,802	94,734,179	115,759,623	1,670,631,941	230,987,909	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	02/28/2011	289,038,753	170,738,345	118,300,408	2,871,255,184	58,703,525	0
3	ADVANCED MARKETS LLC	RFED	NFA	02/28/2011	20,177,732	20,000,000	177,732	0	0	3,427,233
4	ADVANTAGE FUTURES LLC	FCM	CME	02/28/2011	21,488,656	13,455,178	8,033,478	408,296,486	41,667,538	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	12/31/2010	74,553,831	11,501,943	63,051,888	0	0	0
6	ALPARI (US) LLC	RFED	NFA	02/28/2011	21,069,733	20,000,000	1,069,733	0	0	4,923,096
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	02/28/2011	86,309,196	1,000,000	85,309,196	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	02/28/2011	1,416,651	1,000,000	416,651	24,946,441	53,615	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	02/28/2011	6,047,988,199	776,368,563	5,271,619,636	5,647,557,495	2,141,095,814	0
10	BGC FINANCIAL LP	FCM BD	NFA	02/28/2011	52,477,622	1,000,000	51,477,622	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	02/28/2011	344,800,109	232,434,258	112,365,851	1,592,791,152	478,627,560	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	02/28/2011	1,596,601,810	81,659,739	1,514,942,071	490,854,614	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	02/28/2011	78,918,235	4,761,597	74,156,638	860,607	8	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	02/28/2011	165,159,323	14,944,376	150,214,947	3,137,724	0	0
15	CAPITAL MARKET SERVICES LLC	RFED	NFA	02/28/2011	2,398,703	1,000,000	1,398,703	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	02/28/2011	468,416,612	1,500,000	466,916,612	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	02/28/2011	375,636,680	1,000,000	374,636,680	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	02/28/2011	9,009,283,250	697,273,070	8,312,010,180	10,296,884,426	1,627,154,169	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	02/28/2011	1,536,513	1,000,000	536,513	0	0	0
20	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	02/28/2011	3,556,972	1,000,000	2,556,972	0	0	0
21	COUNTRY HEDGING INC	FCM	NFA	02/28/2011	27,394,463	20,277,408	7,117,055	210,670,296	264,362	0
22	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	02/28/2011	7,552,267,018	1,046,827,620	6,505,439,398	5,293,123,491	1,917,515,435	0
23	CROSSLAND LLC	FCM	CBOT	02/28/2011	10,899,550	4,613,842	6,285,708	87,709,438	28,092,011	0
24	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	02/28/2011	3,488,189	1,000,000	2,488,189	48,486,330	44,013	0
25	CX CAPITAL MARKETS LLC	FCM	NFA	02/28/2011	2,312,594	1,000,000	1,312,594	24,925,162	0	0
26	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	02/28/2011	269,863,999	1,562,654	268,301,345	5,793,719	40,979	0
27	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	02/28/2011	4,939,912,403	645,062,421	4,294,849,982	15,946,904,559	1,434,777,676	0
28	DORMAN TRADING LLC	FCM	CME	02/28/2011	7,700,013	1,000,000	6,700,013	103,691,066	1,221,530	0
29	EAGLE MARKET MAKERS INC	FCM	CBOT	02/28/2011	6,166,265	1,000,000	5,166,265	9,205,576	0	0
30	EASY FOREX US LTD	FCM	NFA	02/28/2011	1,958,487	1,000,000	958,487	0	0	0
31	ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD	NFA	02/28/2011	4,891,387	1,000,000	3,891,387	0	0	0
32	ENSKILDA FUTURES LTD	FCM	CME	02/28/2011	29,903,596	21,338,755	8,564,841	309,026,661	0	0
33	FARR FINANCIAL INC	FCM	NFA	02/28/2011	1,242,295	1,000,000	242,295	0	0	0
34	FCSTONE LLC	FCM	CME	02/28/2011	121,012,540	74,136,896	46,875,644	1,395,992,481	14,653,778	12,100,473
35	FOREX CAPITAL MARKETS LLC	RFED	NFA	02/28/2011	82,725,462	26,500,031	56,225,431	0	0	140,000,627
36	FOREX CLUB LLC	RFED	NFA	02/28/2011	20,847,578	20,000,000	847,578	0	0	2,781,774
37	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	02/28/2011	3,421,351	1,000,000	2,421,351	9,427,644	1,017,679	0
38	FRONTIER FUTURES INC	FCM	NFA	02/28/2011	1,847,501	1,330,248	517,253	31,732,777	0	0
39	FUTURES TECH LLC	FCM	NFA	02/28/2011	1,571,030	1,000,000	571,030	0	0	0
40	FX SOLUTIONS LLC	RFED	NFA	02/28/2011	34,001,538	20,596,277	13,405,261	0	0	21,925,530
41	FXDIRECTDEALER LLC	RFED	NFA	02/28/2011	26,176,690	21,474,391	4,702,299	0	0	39,487,825
42	GAIN CAPITAL GROUP LLC	RFED	NFA	02/28/2011	49,718,169	26,684,390	23,033,779	0	0	143,687,795
43	GLOBAL FUTURES & FOREX LTD	RFED	NFA	02/28/2011	78,589,527	23,945,585	54,643,942	568,127	190,557	88,911,700

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							4d(a)(2)	Pt. 30 Required	Obligation	
44	GOLDMAN SACHS & CO	FCM BD	CBOT	02/28/2011	12,128,277,439	1,974,174,100	10,154,103,339	21,290,945,765	7,228,856,165	0
45	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	02/28/2011	1,899,615,340	128,501,666	1,771,113,674	1,225,553,024	28,041,156	0
46	HSBC SECURITIES USA INC	FCM BD	CME	02/28/2011	1,131,280,503	90,083,227	1,041,197,276	1,202,069,166	113,348,354	0
47	ICAP FUTURES LLC	FCM	NFA	02/28/2011	7,263,408	1,000,000	6,263,408	0	0	0
48	IG MARKETS INC	FCM	NFA	02/28/2011	28,859,019	20,000,000	8,859,019	149,859	0	1,365,641
49	IKON GLOBAL MARKETS INC	FCM	NFA	02/28/2011	16,782,598	1,000,000	15,782,598	19,235,629	108,434	0
50	INSTINET LLC	FCM BD	NFA	02/28/2011	112,901,417	3,079,361	109,822,056	0	0	0
51	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	02/28/2011	20,932,375	20,000,000	932,375	0	0	0
52	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	02/28/2011	1,685,270	1,000,000	685,270	0	0	0
53	INTERACTIVE BROKERS LLC	FCM BD	NFA	02/28/2011	1,207,588,284	186,859,566	1,020,728,718	710,317,128	241,743,442	0
54	INTERBANK FX LLC	RFED	NFA	02/28/2011	23,681,871	21,243,145	2,438,726	0	0	34,862,895
55	IRONBEAM INC	FCM	NFA	02/28/2011	2,033,941	1,021,550	1,012,391	47,700,030	171,466	0
56	ITG DERIVATIVES LLC	FCM BD	NFA	02/28/2011	4,877,500	1,000,000	3,877,500	0	0	0
57	JEFFERIES & COMPANY INC	FCM BD	NFA	02/28/2011	514,816,751	88,637,217	426,179,534	0	0	0
58	JP MORGAN CLEARING CORP	FCM BD	CME	02/28/2011	5,994,047,510	1,915,104,739	4,078,942,771	556,374,627	312,140,761	0
59	JP MORGAN FUTURES INC	FCM	NYME	02/28/2011	2,505,552,725	1,378,854,133	1,126,698,592	16,549,351,062	1,860,532,682	0
60	JP MORGAN SECURITIES LLC	FCM BD	NFA	02/28/2011	7,564,973,170	589,081,682	6,975,891,488	0	0	0
61	LEK SECURITIES CORPORATION	FCM BD	NFA	02/28/2011	9,581,728	1,500,000	8,081,728	268,435	0	0
62	LIMESTONE TRADING LLC	FCM	NFA	02/28/2011	6,507,198	1,000,000	5,507,198	0	0	0
63	LINN GROUP THE	FCM	NFA	02/28/2011	2,402,025	1,116,191	1,285,834	37,173,768	50,574	0
64	LPL FINANCIAL LLC	FCM BD	NFA	02/28/2011	165,383,127	5,718,908	159,664,219	0	0	0
65	MACQUARIE FUTURES USA INC	FCM	CBOT	02/28/2011	130,819,430	60,893,557	69,925,873	705,080,293	29,049,282	0
66	MAREX NORTH AMERICA LLC	FCM	CME	02/28/2011	11,286,214	3,278,242	8,007,972	46,828,801	0	0
67	MAREX USA LIMITED	FCM	NFA	02/28/2011	2,746,413	1,000,000	1,746,413	0	0	0
68	MB TRADING FUTURES INC	RFED	NFA	02/28/2011	23,795,246	21,356,774	2,438,472	0	0	37,135,480
69	MBF CLEARING CORP	FCM	NYME	02/28/2011	9,401,588	1,316,902	8,084,686	99,852,851	1,369,793	0
70	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	02/28/2011	10,433,136	5,290,760	5,142,376	823,362,807	0	0
71	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	02/28/2011	9,729,633,615	793,475,027	8,936,158,588	9,380,909,760	2,525,186,917	0
72	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	02/28/2011	2,352,385,862	167,287,997	2,185,097,865	936,167,754	36,010,351	0
73	MF GLOBAL INC	FCM BD	CME	02/28/2011	586,979,985	425,540,471	161,439,514	8,700,810,648	751,476,798	0
74	MID CO COMMODITIES INC	FCM	NFA	02/28/2011	14,916,402	9,181,433	5,734,969	62,410,115	0	0
75	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	02/28/2011	97,296,309	1,788,294	95,508,015	0	0	0
76	MITSU BUSSAN COMMODITIES USA INC	FCM	NFA	02/28/2011	2,564,804	1,000,000	1,564,804	0	0	0
77	MIZUHO SECURITIES USA INC	FCM BD	CME	02/28/2011	434,547,757	74,841,930	359,705,827	799,754,905	475,350,698	0
78	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	02/28/2011	456,704,961	10,661,883	446,043,078	0	0	0
79	MORGAN STANLEY & CO INCORPORATED	FCM BD	CME	02/28/2011	7,853,044,139	1,304,449,956	6,548,594,183	5,197,817,358	1,897,967,392	0
80	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	02/28/2011	1,172,868,093	41,675,237	1,131,192,856	637,104,800	1,493,389,985	0
81	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	02/28/2011	223,900,816	1,000,000	222,900,816	0	0	0
82	NEUBERGER BERMAN LLC	FCM BD	NFA	02/28/2011	50,789,599	1,500,000	49,289,599	0	0	0
83	NEWEDGE USA LLC	FCM BD	NYME	02/28/2011	2,213,569,152	1,531,336,402	682,232,750	23,696,353,347	7,700,489,947	0
84	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	02/28/2011	1,813,006,159	23,074,950	1,789,931,209	75,784,863	22,010,681	0
85	OANDA CORPORATION	RFED	NFA	02/28/2011	150,231,824	27,932,794	122,299,030	0	0	168,655,879
86	OPEN E CRY LLC	FCM	NFA	02/28/2011	3,120,814	1,476,045	1,644,769	96,377,893	1,312,069	0

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	(a)	(b)			(c)	(d)	(e)	(f)	(g)
January Web Page Update	123								
Additions	2								
JEFFERIES & COMPANY INC									
PHILLIP FUTURES INC									
Deletions	0								
None									
Name Change									
None									
February Web Page Update	125								
*Allianz Global Investors Distributors LLC files financial statements quarterly.									
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission; BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer; RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.									
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of : <ul style="list-style-type: none"> • FCM minimum dollar amount (\$1,000,000); or • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or • the amount of capital required by a registered futures association (currently NFA is the only such association); or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. 									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.									
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.									
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.									
(g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.									
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: DCIO@cftc.gov									