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<td></td>
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<td>Future Commissioner/Merchant</td>
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<td>Adjusted</td>
<td>Net Capital</td>
<td>Excess/Deficient</td>
<td>Customers'</td>
<td>Excess/Deficient</td>
<td>Target Residual</td>
<td>Funds in</td>
<td>Customer</td>
<td>Excess/Deficient</td>
<td>Target Residual</td>
<td>Total Amount of</td>
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<td>Retail Foreign Exchange Dealer</td>
<td>DEMO</td>
<td>Date</td>
<td>Net Capital</td>
<td>Requirement</td>
<td>Funds in Seg</td>
<td>Assets in Seg</td>
<td>Funds in Separate Section 57 Accounts</td>
<td>Interest in Swap</td>
<td>Separate</td>
<td>Account in</td>
<td>Funds in Separate</td>
<td>Residual Interest</td>
<td>Amount of Retail</td>
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**Note:** The table contains financial data for various retail foreign exchange dealers, including their adjusted net capital, capital requirement, excess or deficient funds in capital, customers' assets in segregated accounts, target residual interest in swap, funds in separate section 57 accounts, customer account in separate swap segregation, and total amount of retail foreign exchange obligation.
December Web Page Update

Additions 0
Deletions 0
Name Changes NONE

January Web Page Update

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities, and property held in a retail forex customer account or accounts, adjusted for the realized and unrealized net profit or loss.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are parties to off-exchange swaps or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs because each DSRO has its own unique requirements. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

(c): A firm’s net capital requirement is the greater of:

- the minimum dollar amount for FCM’s offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM’s or RFED’s total retail forex obligation in excess of $10,000,000.

- excess net capital is adjusted net capital, less the firm’s net capital requirement.

RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.

BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.

FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.

SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.