Office of the Secretariat

November 29, 2017

ELECTRONIC MAIL

United States Senate
Washington, DC 20510

U.S. House of Representatives
Washington, DC 20515


Dear Chairs and Ranking Members:

A copy of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period ending September 30, 2017, is enclosed. This report, with the accompanying statistical tables and required explanations, is submitted to you in accordance with the requirements of Section 5 of the Inspector General Act of 1978, as amended. The report provides a description of the resources and procedures of the OIG and its audit, investigation, and legislative and regulatory review programs.

During this reporting period, there were no instances of audit reports over six months old where corrective action had not been completed.

If you have any questions regarding the OIG Semiannual Report, please contact Mr. A. Roy Lavik, the Inspector General, at 202-418-5110.

Sincerely,

Christopher J. Kirkpatrick
Secretary of the Commission

Enclosures

Recipients (as listed below):