



## U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre  
1155 21st Street, NW, Washington, DC 20581  
Telephone: (202) 418-5110  
Facsimile: (202) 418-5522

Office of the  
Inspector General

January 8, 2015

TO: Chairman Timothy G. Massad  
Commissioner Mark Wetjen  
Commissioner Sharon Y. Bowen  
Commissioner J. Christopher Giancarlo

FROM: A. Roy Lavik *arl*  
Inspector General

SUBJECT: FY 2016 President's Budget

In accordance with the IG Act, I am submitting our Fiscal Year 2016 budget request for the Office of the Inspector General. OIG activities include audits, investigations, reviews, inspections, and other activities evaluating the operations and programs of the Commission. Such activities assist in improving the economy, efficiency, and effectiveness of operations, as well as in detecting and preventing fraud, waste, and mismanagement. We are requesting \$2,660,000, an increase of 1.37% over our 2015 appropriation. Of this amount, \$14,100 is budgeted for training purposes and will satisfy all training requirements for my Office.

In addition to funding training, travel, contracted audits and services, and all other OIG activities including salaries and benefits, the request also includes the Inspector General's contribution to the Council of the Inspectors General on Integrity and Efficiency (CIGIE) as required by the IG Act.

This budget request does not include funds for Agency-wide overhead. While the CFTC may, for internal accounting purposes, designate that portion of Agency-wide overhead spent for our Office, our intent is to submit an OIG budget request that is solely for funds under the control of, and to be spent directly by, the Office of the Inspector General. We believe this avoids confusion and reserves to the Agency maximum flexibility to manage Agency-wide overhead, while ensuring compliance with the Inspector General Act.

Attachment

## FY 2016 Amended OIG Budget Request

Budget Elements	Budget Figures	Notes
Salaries and Benefits	\$2,145,557	OIG staffing levels unchanged at 10 FTE
Contract Audits	\$420,000	Reflects contracted audits (in addition to internal audits)
Travel	\$35,600	Reflects site visits by IG staff to regional offices
Training	\$14,100	Satisfies all audit and investigative training needs
Miscellaneous	\$37,700	Includes OPM fees, supplies, summer interns, etc
<b>Subtotal Budget for OIG</b>	<b>\$2,652,957</b>	***This subtotal reflects funds under the control of OIG***
<b>CIGIE of .2442%</b>	<b>\$6,479</b>	Reflects estimate for required payment to CIGIE
<b>Total OIG Budget</b>	<b>\$2,659,436</b>	
<b>2016 OIG Budget Request</b>	<b>\$2,660,000</b>	

<b>2015 OIG Budget</b>	<b>2624000</b>	
<b>Requested Increase for FY 2016</b>	<b>\$36,000</b>	Requested increase of 1.37%