

ATTACHMENT A

U.S. CFTC v. EQUITY FINANCIAL GROUP, et al.

NJ Case No. 04-cv-1512

Resititution Schedule as to Shasta Commodity Pool Participants



1	A Wall Street Fund c/o Thomas Buckley	\$450,000.00	\$0.00	\$318,857.27	\$131,142.74	3.17%	\$37,861.94	\$169,004.67
2	Aaron, Susan D. c/o Vanguard Fiduciary Trust Company	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$8,413.76	\$37,556.59
3	Acacia Fund	\$115,000.00	\$0.00	\$81,485.75	\$33,514.25	0.81%	\$9,675.83	\$43,190.08
4	Ardill, Thomas A.	\$99,980.00	\$71,133.00	\$0.00	\$28,847.00	0.70%	\$8,328.36	\$37,175.36
6	Batchelar, Robert Scott	\$150,000.00	\$0.00	\$106,285.76	\$43,714.25	1.06%	\$12,620.65	\$56,334.89
7	BPU Banca Popolare Commercio, Industria International SA c/o Massimo Munzin	\$519,914.60	\$0.00	\$368,396.77	\$151,517.83	3.67%	\$43,744.38	\$195,262.21
8	Broadtree Reinsurance Co. c/o John Evans	\$200,000.00	\$0.00	\$141,714.34	\$58,285.66	1.41%	\$16,827.53	\$75,113.19

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9	Bubonovich, Michael and Carol					0.00%	
10	Bubonovich, Michael c/o First Regional Bank					0.00%	
Totals for Claim Numbers 9 and 10		\$372,789.00	\$0.00	\$264,147.74	\$108,641.26	2.63%	\$146,008.85
11	Castle Rock Company Limited c/o Nesrin Gunkut	\$499,988.00	\$0.00	\$354,263.18	\$145,704.82	3.53%	\$187,770.95
12	Chambers, James F. and Iva N.	\$100,000.00	\$36,500.00	\$34,357.17	\$29,142.83	0.71%	\$37,556.59
14	Chen, Jun	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$37,556.59
15	Chung, Anita Li	\$150,000.00	\$0.00	\$106,285.76	\$43,714.25	1.06%	\$56,334.89
17	Corcoran, Steve	\$200,000.00	\$0.00	\$141,714.34	\$58,285.66	1.41%	\$75,113.19
18	Dent, Thomas c/o Vanguard Fiduciary Trust Company					0.00%	
19	Dent, Thomas W. and Barbara L.					0.00%	

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Totals for Claim Numbers 18 and 19		\$375,000.00	\$0.00	\$265,714.39	\$109,285.61	2.84%	\$31,551.61	\$140,837.23
20	Deverett, Howard/Elexis Capital					0.00%		
21	Deverett, Howard					0.00%		
Totals for Claim Numbers 20 and 21		\$400,000.00	\$0.00	\$283,428.68	\$116,571.32	2.82%	\$33,655.05	\$150,226.37
23	Freda, Richard R. and Marcia c/o Charles Schwab & Co.	\$75,000.00	\$0.00	\$53,142.88	\$21,857.12	0.53%	\$6,310.32	\$28,167.45
25	Gambrell, Byron	\$60,000.00	\$0.00	\$42,514.30	\$17,485.70	0.42%	\$5,048.26	\$22,533.96
26	Green, Marsha	\$152,000.00	\$0.00	\$114,400.03	\$37,599.97	0.91%	\$10,855.41	\$48,455.38
28	Heiler, William D. and Janet E.	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$8,413.76	\$37,556.59
29	Hirsch, Norman	\$205,000.00	\$0.00	\$145,257.20	\$59,742.80	1.45%	\$17,248.22	\$76,991.02
33	Kalb, Austin	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$8,413.76	\$37,556.59

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34	KCL Corporation c/o Faith and Cengiz Ozcelebi	\$200,482.00	\$0.00	\$142,055.87	\$58,426.13	1.41%	\$16,868.08	\$75,294.21
35	Kraus, Stephen J. c/o Lincoln Trust Company	\$136,000.00	\$0.00	\$96,365.75	\$39,634.25	0.96%	\$11,442.72	\$51,076.97
36	Leveque, Laurent	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$8,413.76	\$37,556.59
37	List, Thomas c/o Millennium Trust Company	\$95,000.00	\$0.00	\$67,314.31	\$27,685.69	0.67%	\$7,993.08	\$35,678.77
38	Lopez, Alfred	\$25,000.00	\$0.00	\$17,714.29	\$7,285.71	0.18%	\$2,103.44	\$9,389.15
39	Loucks, Richard	\$95,000.00	\$60,000.00	\$7,314.31	\$27,685.69	0.67%	\$7,993.08	\$35,678.76
40	Marrongelle, Dr. Jeffrey L. and Barbara	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$8,413.76	\$37,556.59
41	McManigal, Paul G.					0.00%		
42	McManigal, Paul G. IRA c/o Millennium Trust Company					0.00%		
Totals for Claim Numbers 41 and 42		\$466,000.00	\$366,000.00	\$8.00	\$100,000.00	2.42%	\$28,370.73	\$128,370.73

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44	Mukerji, Jaydip	\$200,000.00	\$0.00	\$141,714.34	\$58,285.66	1.41%	\$16,827.53	\$75,113.19
45	Northridge, G. Stephen	\$350,000.00	\$0.00	\$248,000.10	\$101,999.91	2.47%	\$29,448.17	\$131,448.08
46	Omaha Boy, Nancy H.	\$195,000.00	\$0.00	\$138,114.22	\$56,885.78	1.38%	\$16,423.37	\$73,309.15
53	Podila, Prasad	\$400,000.00	\$0.00	\$283,428.68	\$116,571.32	2.82%	\$33,655.05	\$150,226.37
56	Richardson, Robert c/o Charles Schwab & Co.	\$48,000.00	\$0.00	\$34,011.44	\$13,988.56	0.34%	\$4,038.61	\$18,027.16
57	Richardson, Theresa c/o Charles Schwab & Co.	\$28,000.00	\$0.00	\$19,840.01	\$8,159.99	0.20%	\$2,355.85	\$10,515.85
59	Saner, Cheri	\$110,000.00	\$0.00	\$77,942.89	\$32,057.11	0.78%	\$9,255.14	\$41,312.25
60	Saner, Dale c/o First Regional Bank	\$360,000.00	\$0.00	\$255,065.81	\$104,914.19	2.54%	\$30,289.55	\$135,203.74
61	Schulz, Diana c/o Millennium Trust Co.					0.00%		

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62	Schulz, William C. and Diana						0.00%		
63	Schulz, William C. c/o Millennium Trust Co.						0.00%		
Totals for Claim Numbers 61, 62, and 63		\$308,860.35	\$105,000.00	\$113,849.70	\$90,010.65	\$25,986.76	2.16%	\$15,997.43	\$22,533.96
64	Seward, Charles	\$60,000.00	\$0.00	\$42,514.30	\$17,485.70	\$5,048.26	0.42%		
66	G.W. Bond Management, LLC	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	\$8,413.76	0.71%		\$37,556.59
68	Shimer, Stephen D.						0.00%		
69	Shimer, Stephen/ SDS Defined Benefit Plan						0.00%		
Totals for Claim Numbers 68 and 69		\$225,000.00	\$0.00	\$159,428.63	\$65,571.37	\$18,990.97	1.59%	\$34,502.34	
77	Stevenson, Nicholas c/o Millennium Trust Company						0.00%		
78	Stevenson, Nicholas						0.00%		
Totals for Claim Numbers 77 and 78		\$535,939.16	\$0.00	\$379,757.32	\$156,187.84	\$46,092.65	3.78%	\$201,280.49	

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79	Taleb, Nazih and May c/o Karim Taleb	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$8,413.76	\$37,556.59
80	Tate Family Limited Partnership	\$430,000.00	\$0.00	\$304,685.83	\$125,314.17	3.03%	\$36,179.18	\$161,493.35
81	Tate, Philip	\$300,000.00	\$0.00	\$212,571.51	\$87,428.49	2.12%	\$25,241.29	\$112,669.78
82	Triester International Trading Corporation	\$200,000.00	\$0.00	\$141,714.34	\$58,285.66	1.41%	\$16,827.53	\$75,113.19
83	Unger, Kathrin	\$238,000.00	\$66,600.00	\$102,040.06	\$69,359.94	1.68%	\$20,024.76	\$89,384.69
84	Unger, Samuel S.	\$125,000.00	\$0.00	\$88,571.46	\$36,428.54	0.88%	\$10,517.20	\$46,945.74
85	Universe Capital Appreciation, LLC c/o David Perkins	\$3,079,500.00	\$432,435.00	\$1,685,826.76	\$961,238.24	23.26%	\$277,517.01	\$1,238,755.25
86	Vabracht, Walter William and Janice	\$170,000.00	\$0.00	\$120,457.19	\$49,542.81	1.20%	\$14,303.40	\$63,846.21
87	Wood, Dennis c/o Charles Schwab & Co.	\$53,000.00	\$0.00	\$37,554.30	\$15,445.70	0.37%	\$4,459.29	\$19,904.99

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88	Zinman, Don	\$250,000.00	\$0.00	\$177,142.93	\$72,857.08	1.76%	\$21,034.41	\$93,891.48
89	Zwyssig, Marco	\$99,965.00	\$0.00	\$70,832.37	\$29,132.63	0.70%	\$8,410.82	\$37,543.45
90	Hirn, Ron c/o Ryan Allan Ltd.	\$100,000.00	\$0.00	\$70,857.17	\$29,142.83	0.71%	\$8,413.76	\$37,556.59
91	Chicago Freight Car Leasing	\$300,000.00	\$0.00	\$212,571.51	\$87,428.49	2.12%	\$25,241.29	\$112,669.78
TOTALS		\$14,108,398.11	\$1,137,668.00	\$8,898,094.30	\$4,192,634.81	100.00%	\$1,193,124.36	\$5,325,760.16

ATTACHMENT B

U.S. CFTC v. EQUITY FINANCIAL GROUP, et al.

SCHEDULE OF SHIMER DISGORGMENT TO BE REPAID TO INVESTOR CLAIMANTS AFFECTED BY REPAYMENTS FROM KAIVALYA AND EDGAR

Universe Capital Appreciation	COOPER, ROBERT	\$35,000.00	7.05%	\$10,104.77	\$45,104.77
Universe Capital Appreciation	GRAVES, AMANDA	\$25,000.00	5.04%	\$7,217.70	\$32,217.70
Shasta Capital Associates	GREEN, MARSHA	\$84,280.00	16.99%	\$24,332.30	\$108,612.30
Universe Capital Appreciation	GUBLER, CORY	\$15,000.00	3.02%	\$4,330.62	\$19,330.62
Shasta Capital Associates	LIST, THOMAS & NANCY	\$46,605.00	9.39%	\$13,455.23	\$60,060.23
Shasta Capital Associates	MARRONGELLE, JEFFREY & BARBARA	\$51,605.00	10.40%	\$14,898.77	\$66,503.77
Shasta Capital Associates	OMAHA-BOY, NANCY	\$138,617.48	27.94%	\$40,019.95	\$178,637.43
Universe Capital Appreciation	SCHMALZ, HARRY	\$20,000.00	4.03%	\$5,774.16	\$25,774.16
Universe Capital Appreciation	TRINIDAD/VICO/PINNACLE	\$50,000.00	10.08%	\$14,435.39	\$64,435.39
Universe Capital Appreciation	WEDEL, HARLAND & DONNA	\$30,000.00	6.05%	\$8,661.24	\$38,661.24