SELECTED FCM FINANCIAL DATA AS OF April 30, 2018 FROM REPORTS FILED BY May 30, 2018

Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	04/30/2018	647,373,029	156,613,894	490,759,135	3,124,022,841	2,687,224,294	436,798,547	262,831,103	101,792,615	81,889,043	19,903,572	8,188,904	0		0	0	
ADM INVESTOR SERVICES INC	FCM	CBOT	04/30/2018	301,080,367	164,987,744	136,092,623	4,153,644,965	3,949,500,207	204,144,758	120,000,000	377,031,392	329,574,139	47,457,253	20,000,000	15,925,497	5,561,248	10,364,249	8,000,000	
ADVANTAGE FUTURES LLC	FCM	CME	04/30/2018	23,655,721	5,982,587	17,673,134	318,720,913	291,356,617	27,364,296	17,500,000	43,086,217	40,358,229	2,727,988	1,000,000	0	C	0	0	
AMP GLOBAL CLEARING LLC	FCM		04/30/2018	3,767,813	1,000,000	2,767,813	53,513,495	49,577,617	3,935,878	900,000	2,470,430	2,029,687	440,743	150,000	0		0	0	
APEX CLEARING CORPORATION BARCLAYS CAPITAL INC	FCM BD	NFA NYME	04/30/2018 04/30/2018	175,007,812 5,817,629,584	24,966,048 1.006.622.688	150,041,764 4,811,006,896	8,684,491 4,809,749,119	3,059,662 4,295,990,703	5,624,829 513,758,416	2,500,000 258,189,041	3,318,985,214	3,065,931,207	253,054,007	149,004,257	5,081,770,313	4.579.616.547	502.153.766	181.810.777	
BGC FINANCIAL LP	FCM BD	NFA	04/30/2018	47,475,098	2,111,312	45,363,786	4,007,747,117	4,273,770,703	0 0	230,107,041	3,310,703,214	3,003,731,207	255,054,007	147,004,237	3,061,770,313	4,374,010,347	0 302,133,700	181,810,777	
BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	04/30/2018	2,399,110,609	329,243,697	2,069,866,912	2,771,182,373	2,584,758,768	186,423,605	150,000,000	176,713,928	138,706,974	38,006,954	30,000,000	968,359,795	820,457,188	147,902,607	120,000,000	
BOCI COMMODITIES & FUTURES USA LLC	FCM		04/30/2018	7,990,250	1,000,000	6,990,250	14,913,929	9,489,745	5,424,184	1,000,000	6	0	6	1	6	C	6	1	
BOFAML SECURITIES INC	FCM	NFA	04/30/2018	25,000,000	1,500,000	23,500,000	0	0	4.969.921	0	0	0	0	0	0		0	0	
CANTOR FITZGERALD & CO CHARLES SCHWAB FUTURES, INC.	FCM BD FCM	CBOT NFA	04/30/2018 04/30/2018	407,532,553 95,983,936	6,477,238 4,562,373	401,055,315 91,421,563	4,969,921 121,462,171	65.159.918	4,969,921 56,302,253	3,000,000 9,773,988	3,672,192	1,153,689	2.518.503	173.053	0		0	0	
CHS HEDGING LLC	FCM		04/30/2018	45,766,457	10,450,121	35,316,336	174,552,025	129,130,301	45,421,724	13,600,000	1,532,658	4,855	1,527,803	200,000	503,912	0	503,912	120,000	
CITIGROUP GLOBAL MARKETS INC	I OW DD OD	CBOT/NFA		9,793,713,585	2,342,112,060	7,451,601,525	8,221,744,152	7,653,842,743	567,901,409	432,100,000	2,492,560,308	2,309,929,575	182,630,733	150,000,000	21/070/207/000	24,062,506,125	513,702,883	430,800,000	
CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	04/30/2018	9,286,980,727	1,379,672,724	7,907,308,003	5,903,135,761	5,583,526,055	319,609,706	279,176,303	3,717,069,068	3,517,962,494	199,106,574	175,898,125	11,750,673,709	10,657,828,789	1,092,844,920	532,891,439	
CUNNINGHAM COMMODITIES LLC DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CBOT	04/30/2018	5,221,425 627.689.503	1,000,000	4,221,425	67,413,258 2,171,576	63,801,489	3,611,769	3,050,000	2,242,977 581,408	1,757,191	485,786 581,408	100,000	0		0	0	
DEUTSCHE BANK SECURITIES INC	FCM BD		04/30/2018	12.242.750.657	8,302,864 271,831,906	619,386,639	2,171,576	2,262,722,199	2,171,576 200,509,021	125,000,000	581,408 967.898.452	843.812.277	581,408 124.086.175	75.000.000	0	1	0	0	1
DORMAN TRADING LLC	FCM	CME	04/30/2018	13,107,357	1,000,000	12,107,357	146,948,672	142,522,018	4,426,654	2,000,000	3,871,590	3,308,526	563,064	200,000	0	0	0	0	
E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	04/30/2018	157,877,035	52,464,462	105,412,573	1,466,907,403	1,374,964,678	91,942,725	35,000,000	45,998,412	36,714,178	9,284,234	5,000,000	2,746,100	1,566,811	1,179,289	500,000	
E*TRADE FUTURES LLC	FCM	NFA	04/30/2018	26,136,588	2,089,852	24,046,736	61,809,494	36,731,872	25,077,622	5,000,000	0	0	0	0	0	C	0	0	
EFL FUTURES LIMITED GAIN CAPITAL GROUP LLC	FCM FCMRFD	CME NFA	04/30/2018 04/30/2018	46,004,722 46,528,384	17,329,665 34,182,835	28,675,057 12,345,549	204,511,208 224,323,415	188,664,751 207,902,877	15,846,457 16,420,538	5,000,000 10,000,000	0 4,469,722	2,924,068	0 1,545,654	1,000,000	0	1	0	0	251,275,1
GAIN CAPITAL GROUP LLC GH FINANCIALS LLC	FCMRFD FCM	NFA CME	04/30/2018	46,528,384 23,040,717	34,182,835 5,529,595	12,345,549 17.511.122	224,323,415	96.053.265	16,420,538 18,974,615	3,300,000	4,469,722 3,706,254	2,924,068 2,596,155	1,545,654	1,000,000	0	- 0	0	0	251,2/5,1
GOLDMAN SACHS & CO LLC	FCM BD SD		04/30/2018	16,240,401,619	2,383,861,548	13,856,540,071	21,095,429,271	20,237,542,182	857,887,089	675,000,000	9,959,480,776	9,394,312,360	565.168.416	475,000,000	6,160,823,647	5,653,129,729	507.693.918	350,000,000	
HSBC SECURITIES USA INC	FCM BD	CME	04/30/2018	1,045,899,235	281,782,210	764,117,025	1,928,029,730	1,769,699,680	158,330,050	147,000,000	202,802,305	163,374,313	39,427,992	25,000,000		904,440,345	113,102,761	95,000,000	
HUATAI FINANCIAL USA INC	FCM		04/30/2018	2,209,191	1,000,000	1,209,191	0	0	0	0	0	0	0	0	0	C	0	0	
INTERACTIVE BROKERS LLC INTL FCSTONE FINANCIAL INC	FCM BD FCM BD		04/30/2018 04/30/2018	4,353,975,855 158,487,423	462,870,240 88,569,144	3,891,105,615 69,918,279	4,266,507,632 2,429,477,364	4,060,037,676 2,373,625,350	206,469,956 55,852,014	155,000,000 40,000,000	569,359,996 141,492,410	446,341,967 125,567,428	123,018,029 15,924,982	80,000,000	0	0	0	0	36,119,2
IRONBEAM INC	FCM		04/30/2018	3.348.280	1,239,757	2.108.523	66,428,559	63.773,753	2,654,806	800,000	429.087	299.877	129,210	50.000	0		0	0	
JEFFERIES LLC	FCM BD	NFA	04/30/2018	1,639,193,193	95,191,641	1,544,001,552	0	0	0	0	0	0	0	0	0	C	0	0	
JP MORGAN SECURITIES LLC	FCM BD SD		04/30/2018	16,553,145,151	2,907,884,276	13,645,260,875	19,285,821,318	17,759,958,048	1,525,863,270	843,598,007	5,531,366,886	5,024,661,302	506,705,584	427,096,211	13,289,788,311	12,255,301,664	1,034,486,647	643,403,337	
MACQUARIE FUTURES USA LLC MAREX NORTH AMERICA LLC	FCM FCM	CBOT CME	04/30/2018 04/30/2018	276,043,893 19,267,579	145,587,942 14,439,566	130,455,951 4,828,013	2,027,622,666 186,178,609	1,806,525,009 183,272,351	221,097,657 2,906,258	100,000,000 1,820,000	46,025,710 52,593,555	29,742,185 51,163,641	16,283,525 1,429,914	7,000,000 1,100,000	23,774,431	3,272,982	20,501,449	1,000,000	
MCVEAN TRADING & INVESTMENTS LLC	FCM		04/30/2018	19,267,579	2,703,550	4,828,013 11,484,614	782,556,154	760,214,933	2,906,258	18,000,000	2,567,861	51,163,641	2,567,861	1,100,000	0		0	0	1
MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	04/30/2018	12.224.313.291	1.701.546.923	10.522.766.368	14.405.447.893	14.108.130.301	297.317.592	200.000.000	5,137,991,042	4.738.789.410	399,201,632	150,000,000	6.986.388.867	6.591.806.672	394.582.195	150.000.000	
MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	04/30/2018	4,225,525,664	538,985,639	3,686,540,025	2,045,073,898	752,647,775	1,292,426,123	300,000,000	0	0	0	0	0	C	0	0	
MID CO COMMODITIES INC	FCM		04/30/2018	9,479,217	4,983,757	4,495,460	47,598,497	37,470,186	10,128,311	5,138,000	0	0	0	0	0	C	0	0	
MINT BROKERS MIZUHO SECURITIES USA LLC	FCM BD FCM BD SD		04/30/2018	2,714,497 716,523,964	1,000,000 250,628,506	1,714,497 465,895,458	2,978,032,399	2,778,148,648	0 199,883,751	125,000,000	678,279,335	552,091,495	0 126,187,840	70,000,000	32,983,318	3,158,858	0 3 29,824,460	15,000,000	
MORGAN STANIFY & COLLC	FCM BD SD			13.309.348.889	2.358.933.173	10.950.415.716	15.412.093.379	15.015.712.497	396.380.882	331,000,000	5.897.601.797	5.708.401.193	189,200,604	140.000,000	16.474.396.758		148.436.521	92,000,000	
NANHUA USA LLC	FCM		04/30/2018	17,028,078	1,834,910	15,193,168	50,556,464	39,298,053	11,258,411	3,500,000	0	0	0	0	0	0	0	0	
NATWEST MARKETS SECURITIES INC NOMURA SECURITIES INTERNATIONAL INC	FCM BD FCM BD		04/30/2018 04/30/2018	1,220,236,169 2,195,736,263	37,314,951 217,374,743	1,182,921,218 1,978,361,520	650,595,106	499,868,497	150,726,609	125,000,000	42,809,642 18,173,470	12,476,430 83,575	30,333,212	25,000,000	0	C	0	0	
OANDA CORPORATION	FCM BD		04/30/2018	2,195,736,263 90.367.920	53,944,928	36,422,992	237,657,123	140,010,859	97,646,264	15,000,000	18,173,470	83,575	18,089,895 0	1,000,000	0		0	0	181,240.6
PHILLIP CAPITAL INC	FCM BD		04/30/2018	33,202,221	15,541,218	17,661,003	267,107,317	256,312,109	10,795,208	7,500,000	12,646,915	7,120,032	5,526,883	2,000,000	0	0	0	0	101,240,0
PICTET OVERSEAS INC	FCM BD	NFA	04/30/2018	16,119,927	1,514,892	14,605,035	2,135,371	334,151	1,801,220	1,500,000	34,950,985	34,659,131	291,854	500,000	0	C	0	0	
RAND FINANCIAL SERVICES INC	FCM		04/30/2018	88,486,067	11,727,733	76,758,334	167,424,200	126,737,200	40,687,000	3,802,100	33,964,600	9,722,300	24,242,300	291,700	0	C	0	0	
RBC CAPITAL MARKETS LLC	FCM BD FCM SD		04/30/2018	1,986,524,258 204 512 572	156,946,944 130,376,095	1,829,577,314 74 136 477	1,862,502,341	1,401,687,166	460,815,175 92 739 765	160,000,000 50,000,000	199,125,024 221,330,069	137,924,051 196 558 162	61,200,973 24,771,907	35,000,000	527,749,593	401,577,438	126,172,155	40,000,000	1
ROSENTHAL COLLINS GROUP LLC	FCW 3D		04/30/2018	81,781,068	55,713,214	26,067,854	1,317,796,112	1,284,479,897	33,316,215	18,000,000	31,237,034	17,232,602	14,004,432	8,000,000	0		0	0	
SANTANDER INVESTMENT SECURITIES INC	FCM BD			211,203,440	50,000,000	161,203,440	685,685,355	673,478,237	12,207,118	10,000,000	0	0	0	0	0	C	0	0	
SCOTIA CAPITAL USA INC	FCM BD	CME	04/30/2018	925,346,305	48,431,088	876,915,217	0	0	0	0	0	0	0	0	0		0	0	
SG AMERICAS SECURITIES LLC STRAITS FINANCIAL LLC	FCM BD FCM	CME CME	04/30/2018 04/30/2018	4,195,408,324 22,493,580	1,382,053,169 6,513,749	2,813,355,155 15,979,831	13,500,302,536 198,808,612	12,895,015,228 184,365,668	605,287,308 14,442,944	500,000,000 2,000,000	7,129,856,502 29,564,487	6,539,744,664 23,080,229	590,111,838 6,484,258	255,000,000 300,000	739,628,019	513,365,351	226,262,668	75,000,000	1
TD AMERITRADE FUTURES & FOREX LLC	FCM FCM		04/30/2018	22,493,580	6,513,749 22,732,597	15,979,831 95,259,626	198,808,612 260.519.307	184,365,668 185,230,521	14,442,944 75,288,786	2,000,000 35,000,000	∠9,564,48/	23,080,229	6,484,258	300,000	0	0	0	0	64 651 9
TRADESTATION SECURITIES INC	FCM BD	CME	04/30/2018	75,897,227	4,608,124	71,289,103	573,989,557	560,499,834	13,489,723	9,000,000	27,720,290	25,041,683	2,678,607	800,000	0	0	0	0	04,031,9
UBS FINANCIAL SERVICES INC	FCM BD	14171	04/30/2018	1,215,735,508	122,775,303	1,092,960,205	163,301,838	129,371,119	33,930,719	22,000,000	32,493,184	4,622,043	27,871,141	15,000,000	0	C	0	0	
UBS SECURITIES LLC	FCM BD	CBOT	04/30/2018	5,758,727,289	575,238,385	5,183,488,904	5,911,196,319	5,411,057,005	500,139,314	425,000,000	2,044,890,162	1,670,156,342	374,733,820	300,000,000	864,041,187	601,764,596	262,276,591	200,000,000	1
UOBBF CLEARING LIMITED WEDBUSH SECURITIES INC	FCM RD	CME	04/30/2018 04/30/2018	26,186,232 171,391,762	2,620,196 21,668,377	23,566,036 149,723,385	55,170,930 1,315,041,648	34,983,841 1,296,857,647	20,187,089 18,184,001	2,700,000 13,000,000	15,291,203	0 12,922,347	2,368,856	1.000.000	0	0	0	0	1
WELLS FARGO SECURITIES LLC	FCM BD	CME	04/30/2018	7,702,838,094	778,938,673	6,923,899,421	3.305.461.488	3.095.465.079	209,996,409	115,000,000	357,949,979	316,393,409	41,556,570	25,000,000	7,185,151,561	6,776,546,148	408,605,413	260,000,000	
YORK BUSINESS ASSOCIATES LLC	FCM		04/30/2018	5,363,012	1,000,000	4,363,012	44,751,925	40,081,835	4,670,090	2,000,000	1,823,288	1,360,929	462,359	200,000	0	C	0	0	
Totals							155,774,216,610	145,360,407,759	10,413,808,851	6,201,278,543	49,717,500,437	45,622,495,387	4,095,005,050	2,689,802,252	95,698,457,138	90,157,860,728	5,540,596,410	3,195,525,554	533,287,0
March Web Page Update	63																		
Additions	0																		
Polotions	0																		
Deletions	U																		
Name Changes																			
RBS SECURITIES INC to NATWEST MARKETS SECURITIES INC																			
					1			1									1		1

SELECTED FCM FINANCIAL DATA AS OF April 30, 2018 FROM REPORTS FILED BY May 30, 2018

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.	
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.	
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.	
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.	
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.	
(b): DSRO; Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM for the SD is listed second.	
(c): A firm's net capital requirement is the greater of:	
• FCM minimum dollar amount (\$1,000,000): or	
• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin or	
the amount of capital required by a registered futures association (currently NFA is the only such association); or	
for securities brokers and dealers. the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or minimum dollar amount for FCMs offering or engaged in retail (lorex transactions and RFEDs (\$20,000,000); or	
• minimum dollar amount for FoM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.	
Thinlinding distributed in the state of	
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.	
10. Excess first capital is adjusted first capital, less the first sapital requirement.	
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.	•
10. This represents the folial amount or money, securing and properly read in suggestated exceeding for indicating and options described in our management.	
(ff): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.	-
	-
(a): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.	-
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.	
(ii): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.	
(ii): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.	
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.	
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.	
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.	
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.	
[UI]. This represents the amount of funds an row is required to sequedate for customers who take cleared swaps.	
(b): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.	
10. Excess/veriment units in dealers smap customer accounts is turns in separate dealers smap segregation accounts, less the dealers smap customer segregation requirement.	
(b): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.	
107. THIS TOUR DESIRE HE MENOCOLY VICE STATE OF THE SECOND HILD PRODUCT VICE VICE VICE VICE VICE VICE VICE VICE	

(ii): This represents the total amount of funds at an ECM. REED, or ECMRED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or account or accounts, adjusted for the realized and unrealized net profit or loss.