Elizabeth M. Streit, Lead Trial Attorney Scott R. Williamson, Deputy Regional Counsel Rosemary Hollinger, Regional Counsel Commodity Futures Trading Commission 525 West Monroe Street, Suite 1100 Chicago, Illinois 60661 312-596-0537 ES-2235 SW-9752 RH-6870

Paul Blaine
Assistant United States Attorney
for the District of New Jersey
Camden Federal Building & U.S. Courthouse
401 Market Street, 4th Floor
Camden, New Jersey 08101
856-757-5412
PB-5422

In The United States District Court For The District Of New Jersey Camden Vicinage

Commodity Futures Trading Commission, Plaintiff,

VS.

Equity Financial Group LLC, Tech Traders, Inc., Tech Traders, Ltd., Magnum Investments, Ltd., Magnum Capital Investments, Ltd., Vincent J. Firth, Robert W. Shimer, Coyt E. Murray, and J. Vernon Abernethy,

Defendants.

Hon. Robert B. Kugler District Court Judge

Hon. Ann Marie Donio Magistrate

Civil Action No: 04-1512 (RBK)

MOTION DATE: June 2, 2006

CFTC's RESPONSE TO DEFENDANT SHIMER'S STATEMENT OF UNDISPUTED FACTS IN OPPOSITION TO THE CFTC'S MOTION FOR PARTIAL SUMMARY JUDGMENT AGAINST EQUITY FINANCIAL GROUP LLC, ROBERT W. SHIMER AND VINCENT J. FIRTH

Plaintiff Commodity Futures Trading Commission (the "Commission") submits the following response to Defendant Shimer's Statement of Undisputed Facts.

- 1. The Commission objects to Shimer's "material facts" ¶ 1 through 3. These are erroneous statements of law, not fact, and should be disregarded by the Court.
- 2. The Commission does not dispute Shimer's undisputed facts ¶¶ 4 through 6.
- 3. The Commission does not dispute Shimer's undisputed fact ¶ 7 that Abernethy forwarded rate of return numbers to Teague. However, the Commission does dispute that Abernethy generated these numbers.
- 4. The Commission does not dispute Shimer's undisputed facts ¶ 8 through 11.
- 5. The Commission objects to Shimer's undisputed fact ¶ 12. He has presented no evidence that "numerous separate entities" placed funds with Tech Traders in reliance on the rate of return numbers reported by Defendant Abernethy. However, this disputed fact is not material to the resolution of the Commission's pending Motion for Partial Summary Judgment.
- 6. The Commission does not dispute Shimer's undisputed fact ¶ 13.
- 7. As for Shimer undisputed fact ¶ 14, the funds deposited by Shasta investors into Shimer's attorney escrow account remained in the account anywhere from 0 to 14 days. See Second Declaration Of Investigator Joy McCormack In Support Of CFTC's Motion For Partial Summary Judgment at ¶ 18.
- 8. The Commission does not dispute Shimer's undisputed facts 15 through 17.

Date: May 25, 2006

Respectfully submitted,

Elizabeth M. Streit Lead Trial Attorney A.R.D.C. No. 06188119

Scott R. Williamson Deputy Regional Counsel A.R.D.C. No. 06191293

Commodity Futures Trading Commission 525 West Monroe Street, Suite 1100 Chicago, Illinois 60661 (312) 596-0537 (Streit)

(312) 596-0520 (Hollinger)

(312) 596-0560 (Williamson)

(312) 596-0700 (office number)

(312) 596-0714 (facsimile)