

**COMMODITY FUTURES TRADING COMMISSION**

**FORM DCM**

**APPLICATION OR AMENDMENT TO APPLICATION FOR DESIGNATION AS A  
DESIGNATED CONTRACT MARKET**

Exact name of Applicant as specified in charter: AQUA-INDEX EXCHANGE, LLC

Address of principal executive offices: 216 West Jackson Blvd., Suite 400, Chicago, IL 60606

- If this is an APPLICATION for designation, complete in full and check here.
- If this is an AMENDMENT to an application, or to an existing designation, list all items that are amended and check here.

Exhibits B, C, E and F

---

**GENERAL INFORMATION**

1. Name under which the business of the designated contract market will be conducted, if different than name specified on facing sheet: N/A
2. If name of designated contract market is hereby amended, state previous designated contract market name: N/A
3. Mailing address, if different than address specified on facing sheet: N/A

3(a). Additional contact information:

FAX: N/A

Phone: (312) 371-3229

Website: N/A

4. List of principal office(s) and address(es) where designated contract market activities are/will be conducted:

Office	Address
<u>Business Office</u>	<u>216 West Jackson Blvd., Suite 400, Chicago, IL 60606</u>

**BUSINESS ORGANIZATION**

5. Applicant is a:

- Corporation
- Partnership
- Limited Liability Company
- Other form of organization (specify)

6. If Applicant is a corporation, provide date and state of incorporation: N/A

7. If Applicant is a partnership, provide date and state of filing of partnership articles: N/A

8. If Applicant is a limited liability company, provide date and state of filing of Articles of Organization/Certificate of Formation: The Articles of Organization of AQUA-INDEX EXCHANGE, LLC were filed in Illinois on March 2, 2015

9. Applicant agrees and consents that the notice of any proceeding before the Commission in connection with its application for designation as a contract market may be given by sending such notice by certified mail or confirmed telegram to the officer specified or person named below at the address given.

Name of person (if Applicant is a corporation, limited liability company or partnership, title of officer)

Name of Applicant: AQUA-INDEX EXCHANGE, LLC

Notice of any proceeding should be provided to: Kenneth M. Raisler

Number and Street: c/o Sullivan & Cromwell LLP, 125 Broad Street

City: New York

State: NY

Zip Code: 10004

**SIGNATURES**

10. The Applicant has duly caused this application or amendment to be signed on its behalf by the undersigned, hereunto duly authorized, this 8th day of March, 2016. The Applicant and the undersigned represent that all information contained herein is true, current and complete. It is understood that all required items and Exhibits are considered integral parts of this Form DCM and that the submission of any amendment represents that all unamended items and Exhibits remain true, current, and complete as previously filed.

Name of Applicant: AQUA-INDEX EXCHANGE, LLC

Robert T. Gaffney  
Manual signature of Member, General Partner, Managing Agent, or Principal Agent

Chief Executive Officer

Title