

# RTX FINTECH & RESEARCH LLC

## Swap Execution Facility

RTX Fintech & Research LLC, 90 Broad St, Ste 402, New York, NY 10004

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### **By Email**

Assistant Secretary of the Commission  
for FOI, Privacy and Sunshine Acts Compliance  
Three Lafayette Centre  
1155 21st Street, NW  
Washington, DC 20581  
foiasubmissions@cftc.gov

November 7, 2023

### **FOIA Confidential Treatment Request for 17 CFR 40.6(a) Submission re Liquidity-Provider Program (“23\_LP01”)**

Dear Assistant Secretary:

Under 17 CFR 40.6(a), RTX Fintech & Research LLC (RTX SEF) filed a submission with the Commission today self-certifying a liquidity-provided program entitled “23\_LP01.”

We (i.e., RTX SEF) request that the Commission afford confidential treatment under the Freedom of Information Act (FOIA) to the liquidity-provided program. The grounds for this request are that disclosure would reveal our trade secrets or confidential commercial or financial information. And we request the confidential treatment for an indefinite period.

In accordance with 17 CFR 145.9(d)(4), we have marked the appropriate records for which we seek confidential treatment with “Confidential Treatment Requested by RTX Fintech & Research LLC.” We have also used an identifying number and code to individually mark each portion of these records.

RTX FINTECH & RESEARCH LLC

If a FOIA request seeks the material for which we are seeking confidential treatment, please let us know so that we can file a detailed written justification of our request. Please send any such notice or justification request to:

Chief Compliance Officer  
RTX Fintech & Research LLC  
90 Broad St, Ste 402  
New York, NY 10004

*and*

[glenn@rtxfintech.com](mailto:glenn@rtxfintech.com)

If you have any questions about this request, please let me know at either (917) 694-4856 or [glenn@rtxfintech.com](mailto:glenn@rtxfintech.com).

Thank you.

Sincerely yours,



Glenn Chaleff  
Chief Compliance Officer  
RTX Fintech & Research LLC

Cc by email:

Commission: [secretary@cftc.gov](mailto:secretary@cftc.gov)

Commission, Division of Market Oversight: [dmosubmissions@cftc.gov](mailto:dmosubmissions@cftc.gov)