

DTCC Data Repository

55 WATER STREET

NEW YORK, NY 10041-0099

September 19, 2014

By Electronic Mail

Mr. Christopher Kirkpatrick
Secretary
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, D.C. 20581

DDR Rule Filing: 2014-06

Re: Amendments to DTCC Data Repository (U.S.) LLC Rulebook and Operating Procedures Pursuant to Section 5c(c) (1) of the Commodity Exchange Act and Commission Regulation § 40.6

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, and Commodity Futures Trading Commission (the “CFTC” or the “Commission”) Regulation § 40.6, DTCC Data Repository (U.S.) LLC (“DDR”) submits, by written certification, amendments to the DTCC Data Repository (U.S.) LLC Rulebook (“DDR Rulebook”) specified below.

DDR recently submitted applications with the Ontario Securities Commission, Autorité des Marchés Financiers and The Manitoba Securities Commission (collectively, the “Canadian Regulators”) for designation or recognition as a trade repository in the their respective jurisdictions pursuant to OSC Rule 91-507 Trade Repositories and Derivatives Data Reporting, AMF Rule 91-507 Trade Repositories and Derivatives Data Reporting and MSC Rule 91-507 Trade Repositories and Derivatives Data Reporting (collectively, the “Canadian Regulations”). In connection with such applications DDR has made several non-material changes to the DDR Rulebook as noted below:

- I. DDR has amended the cover page of the Rulebook to update the effective date.
- II. DDR has amended *Section 1.1 – User Access to DDR Services* to add “designated” as a type of trade repository distinction.
- III. DDR has amended *Section 5.5 – Aggregate Data Available to the Public* to include the provision of transaction level reports at a future date.

- IV. DDR has amended *Section 6.5 – Access to U.S. Data by Appropriate Domestic or Foreign Regulators* to change the sentence from “access is limited to submission made by Market Participants in compliance with applicable Canadian law” to “is submitted pursuant to applicable Canadian Law.”
- V. DDR has amended *Section 12 – Terms & Terminology* to correct the definition of UTI.

At the DDR Board meeting held on August 13, 2014 the DDR Board authorized DDR to make non-material changes to the DDR Rulebook in order to accommodate changes requested by DDR’s various regulators.

DDR certifies that these amendments comply with the Commodity Exchange Act and the rules and regulations promulgated by the Commission thereunder. No substantive opposing views have been expressed by Users or others with respect to the amendments. DDR further certifies that, concurrent with this filing today, a copy of this submission was posted on its website and may be accessed at: <http://www.dtcc.com/legal/sec-rule-filings.aspx?subsidiary=DerivSERV&pgs=1>.

As noted above, enclosed with this letter is a marked version of the DDR Rulebook. If you have any questions or need further information, please contact me at 212.855.3273

Sincerely,



Paul Gottlieb
DDR Counsel

Enclosures