

Date:

DTCC Data Repository (U.S.) LLC
55 Water Street
New York, NY 10041-0099
Attn: DDR Onboarding

RE: Reporting Requirements for End-User Clearing Exception

This letter (“Attestation Letter”) is being submitted on behalf of (the “Firm”), whose ~~GMEI or LEI~~ is , in connection with the ~~End-User Bulk Swap-by-Swap Spreadsheet~~ (the “spreadsheet”) submitted by the Firm from time to time containing the required reporting information for each Electing Counterparty¹, ~~contained therein~~. This information applies to each swap entered into between the Firm and Electing Counterparty(s)ies that is subject to ~~the an~~ exception or exemption from the mandatory clearing requirement provided by CFTC Regulations §50.50 and §50.51, ~~or and applicable CFTC Staff No-Action Letters 14-144~~, and is in lieu of the Electing Counterparty providing an Annual End-User Clearing Exception/Exemption Form as specified in CFTC Regulations §50.50(b)(2) and §50.51(c), ~~and or any Reporting-reporting Condition-conditions (v) in CFTC outlined in applicable CFTC Letters Staff No-Action Letter 14-144~~. Requests for additional information ~~about-related to~~ the spreadsheet or specific swaps for which the End-User Clearing Exception has been elected should be directed to:

Name:
Title:
Mailing Address:
Telephone:
Email:

The Firm hereby represents that it has a reasonable basis to believe, based on representations from the Electing Counterparty and/or through other means, that each Electing Counterparty meets the requirements for an exception or exemption to the mandatory clearing requirement. If the Firm determines that the information provided on the attached spreadsheet has changed or is ~~determined-to-be~~ incorrect, the Firm will promptly provide DTCC Data Repository (U.S.) LLC (“DDR”) with an updated or corrected spreadsheet, ~~prior to reporting any additional swaps for which the Electing Counterparty has elected the exception~~. Additionally, the Firm will provide to DDR an updated spreadsheet ~~for Electing Counterparties~~ and ~~an updated~~ Attestation Letter on at least an annual basis for Electing Counterparties for which it retains a reporting obligation.

Sincerely,

¹ Electing Counterparty has the meaning assigned to it in CFTC Regulation Section 50.50(a)(iii).

Name:
Title: