

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date: July 14, 2017

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.

ORGANIZATION

ICE Trade Vault, LLC

FILING AS A:

DCM

SEF

DCO

SDR

ECM/SPDC

TYPE OF FILING

• Rules and Rule Amendments

Certification under § 40.6 (a) or § 41.24 (a)

“Non-Material Agricultural Rule Change” under § 40.4 (b)(5)

Notification under § 40.6 (d)

Request for Approval under § 40.4 (a) or § 40.5 (a)

Advance Notice of SIDCO Rule Change under § 40.10 (a)

• Products

Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)

Swap Class Certification under § 40.2 (d)

Request for Approval under § 40.3 (a)

Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

ICE Trade Vault, LLC Rulebook and Participant Agreement

DESCRIPTION

ICE Trade Vault, LLC has amended the ICE Trade Vault Participant Agreement as a result of a corporate reorganization. The ICE Trade Vault Participant Agreement has been updated to remove provisions related to the eConfirm confirmation matching platform and its terms and conditions of use. In addition, the ICE Trade Vault Rulebook has been updated to make conforming changes due to the corporate reorganization and to remove references to the eConfirm service being an ancillary service. This amended Rulebook and Participant Agreement are scheduled to be effective on July 31, 2017.

