

DTCC Data Repository

55 WATER STREET

NEW YORK, NY 10041-0099

July 13, 2017

By Electronic Submission

Mr. Chris Kirkpatrick
Secretary
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, D.C. 20581

DDR Rule Filing: DDR07

Re: Amendments to DTCC Data Repository (U.S.) LLC Rulebook Pursuant to Section 5c(c)(1) of the Commodity Exchange Act and Commission Regulation § 40.6

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, and Commodity Futures Trading Commission (the “Commission” or “CFTC”) Regulation § 40.6, DTCC Data Repository (U.S.) LLC (“DDR”) submits, by written certification, amendments to the DTCC Data Repository (U.S.) LLC Rulebook (“DDR Rulebook”), including, but not limited to, the changes enumerated below.

- I. DDR has amended the cover page of the DDR Rulebook to update the effective date.
- II. DDR has amended various sections of the DDR Rulebook to replace references to DDR Chief Executive Officer (CEO) with references to DDR Senior Officer.
- III. DDR has amended various sections of the DDR Rulebook to replace references to a specific individual DDR Counsel with a general reference to DDR legal counsel.
- IV. DDR has amended DDR Rulebook Section 10.3 to update the process, timing, approval and notification requirements associated with the Involuntary Termination of a User.
- V. DDR has amended DDR Rulebook Section 10.4 to limit the scope of the section to suspension of a User and the assessment of costs resulting from such action against a User.

Additionally, the proposed revisions update the process, timing, approval and notification requirements associated with suspension and assessment of costs.

- VI. DDR has amended DDR Rulebook Section 12 to include a new definition of DDR Senior Officer as “the Senior Officer as referred to herein shall be such person who is authorized to take the actions or bear the responsibility and obligations described. This could be a Managing Director, business manager or Chief Executive Officer, if one is designated, or such other individual with the requisite authority.”

In addition to the changes described above, additional minor edits have been made throughout the DDR Rulebook. Any material modifications to the DDR Rulebook, including the DDR Operating Procedures, outlined in this letter have been approved by the DDR Board pursuant to DDR Operating Procedures Important Legal Information Section 3 Notices.

DDR certifies that these amendments comply with the Commodity Exchange Act and the rules and regulations promulgated by the Commission thereunder. No substantive opposing views have been expressed with respect to the amendments. DDR further certifies that, concurrent with this filing today, a copy of this submission was posted on its website and may be accessed at: <http://www.dtcc.com/legal/sec-rule-filings?subsidiary=DerivSERV&pgs=1>.

Enclosed with this letter is a marked version of the DDR Rulebook. If you have any questions or need further information, please contact me at 813 470-1447.

Sincerely,



Kyle Romig
Director and Assistant General Counsel

Enclosures