

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date: July 22, 2016

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.

ORGANIZATION

ICE Trade Vault, LLC

FILING AS A:

DCM

SEF

DCO

X SDR

ECM/SPDC

TYPE OF FILING

• Rules and Rule Amendments

Certification under § 40.6 (a) or § 41.24 (a)

“Non-Material Agricultural Rule Change” under § 40.4 (b)(5)

Notification under § 40.6 (d)

Request for Approval under § 40.4 (a) or § 40.5 (a)

Advance Notice of SIDCO Rule Change under § 40.10 (a)

• Products

Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)

Swap Class Certification under § 40.2 (d)

Request for Approval under § 40.3 (a)

Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

ICE Trade Vault, LLC Rulebook

DESCRIPTION

ICE Trade Vault, LLC has amended its Rulebook to update Section 4.7 relating to verification of single-sided trade data. This amended Rulebook is scheduled to be effective on August 8, 2016.