

UNITED STATES OF AMERICA

Before the

U.S. COMMODITY FUTURES TRADING COMMISSION

In the Matter of the Request of Chicago Mercantile Exchange, Inc.
to Amend Its Swap Data Repository Provisional Registration Order
to Add the Equity Asset Class

ORDER AMENDING PROVISIONAL REGISTRATION ORDER

Chicago Mercantile Exchange, Inc. (“CME”), submitted amendments to Form SDR and supporting material dated through May 8, 2019, pursuant to Part 49 of the Commodity Futures Trading Commission’s (“Commission”) regulations, in order to add the equity asset class to those asset classes it intends to serve under its Order of Provisional Registration dated November 20, 2012 (“Provisional Registration Order”).

WHEREAS, CME has filed amendments to Form SDR, according to section 49.3(a)(5) of the Commission’s regulations, adding the equity asset class;

WHEREAS, CME represents that it will continue to comply with the requirements of the Provisional Registration Order, including, but not limited to, the conditions set forth by the Commission in said Provisional Registration Order;

WHEREAS, CME represents that it will continue to meet all the requirements and comply with all core principles, duties, and other requirements applicable to a swap data repository under section 21 of the Commodity Exchange Act (“CEA”) and Part 49 of the Commission’s regulation, remain compliant with all other applicable CEA provisions and Commission regulations thereunder, and will comply with all future regulations and requirements applicable to swap data repositories; and

WHEREAS, CME represents that it will operate as a swap data repository for the interest rate, credit, foreign exchange, equity, and other commodity asset classes;

IT IS HEREBY ORDERED that the Provisional Registration Order be amended to add the equity asset class;

IT IS FURTHER ORDERED that CME shall continue to provide, in a timely manner to the Commission, documentation and information regarding its application for permanent registration currently pending before the Commission in addition to any information relevant to this Order; and

IT IS FINALLY ORDERED that as this Order is based upon the representations made, and supporting material provided to the Commission by CME, and in the event of any material changes to or omissions of the facts or circumstances pursuant to which this Order is issued, or for any reason in its own discretion and after appropriate notice and opportunity to respond, the Commission may condition, modify, suspend, terminate, or otherwise restrict the terms of CME's Provisional Registration Order and this Order, as appropriate. CME shall immediately inform the Commission of any material changes in the facts or circumstances pursuant to which this Order is issued.

Except as expressly provided herein, the Provisional Registration Order is unaffected and shall be in full force and effect in accordance with its terms.

Issued in Washington, D.C. this 11th day of June, 2019.

By the Commission



Christopher Kirkpatrick
Secretary